#### 501817184 02/10/2012

# PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

# **CONVEYING PARTY DATA**

Name	Execution Date
Shioko Kimura	10/16/2007
Reiko Kurotani	02/29/2008

# **RECEIVING PARTY DATA**

Name:	The United States of America, as represented by the Secretary, Department of Health and Human Services
Street Address:	6011 Executive Blvd., Office of Technology Transfer
Internal Address:	Suite 325, MSC 7660
City:	Bethesda
State/Country:	MARYLAND
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# PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13367006

## CORRESPONDENCE DATA

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ATTORNEY DOCKET NUMBER:	4239-76447-05
NAME OF SUBMITTER:	Gregory K. Scott

### Total Attachments: 3

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**PATENT** REEL: 027687 FRAME: 0862

# ASSIGNMENT

We, Shioko Kimura, of Bethesda, Maryland, a citizen of Japan, and Reiko Kurotani, of Kanagawa, Japan, a citizen of Japan, have invented a certain invention entitled SCGB3A2 AS A GROWTH FACTOR AND ANTI-APOPTOTIC AGENT for which the following patent applications have been filed:

U.S. Provisional Patent Application No. 60/847,747, filed on September 27, 2006;

U.S. Provisional Patent Application No. 60/880,134 filed on January 12, 2007; and

PCT Application No. PCT/US2007/079771 filed on September 27, 2007;

all of which applications are collectively referred to herein as "the patent applications."

At the time the invention was made, we were employed by the National Cancer Institute at the National Institutes of Health, a component of the Department of Health and Human Services. The conditions under which said invention was made entitle THE UNITED STATES OF AMERICA, as represented by the Secretary, Department of Health and Human Services (hereinafter "THE GOVERNMENT) to the entire right, title, and interest in the invention under Paragraph 1(a) of Executive Order 10096 and its amendments, 35 USC Chapter 18, and 37 CFR Parts 401 and 501.

In consideration of our legal obligations to assign our right, title and interest in the invention as well as other valuable consideration, we the undersigned, have sold, assigned, and transferred and do sell, assign, and transfer to THE GOVERNMENT, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, Maryland 20892-7660, the full and exclusive right, title, and interest in the patent applications and invention throughout the United States of America, its territories and dependencies, and all other countries. This includes an assignment of all Letters Patent that may be granted on the invention in the United States of America and all countries throughout the world, and any divisional, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof; and the right to claim priority from the patent applications as provided for by United States law, the Patent Cooperation Treaty, the European Patent Convention, the Paris Convention, or other applicable law.

We authorize and request the issuance of said Letters Patent to THE GOVERNMENT as assignee of the entire right, title, and interest to be held as fully and entirely as the same would have been held by us had this assignment not been made.

We warrant that there are no outstanding assignments, grants, liens, encumbrances, or agreements either written, oral, or implied that will impair, diminish, limit, or abridge the interest herein conveyed at the time of the execution of the present assignment.

We also agree upon reasonable request to communicate to THE GOVERNMENT, its representatives, assigns or agents, any facts known to us respecting the invention, and testify in any legal proceedings, make, execute and/or deliver to THE GOVERNMENT all papers, documents, affidavits or other instruments that may be necessary in the prosecution of any patent application, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, and do everything reasonably possible to aid THE GOVERNMENT and its assigns to obtain and enforce proper patent protection for the invention in the United States or any foreign country. These provisions are binding upon our heirs, legal representatives, administrators and assigns.

We have authorized THE GOVERNMENT to file and prosecute the patent applications, as well as any corresponding international or national applications that claim priority from them. THE GOVERNMENT has

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[SEAL]

the right to select attorneys or agents of its choice to prosecute at its discretion the patent applications on its behalf.

We grant the law firm of Klarquist Sparkman, LLP, the power to insert on this Assignment any further information that may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: October 16, 2007	Shush truing Shioko Kimura
STATE OF <u>Maryland</u> ) ss. COUNTY OF <u>Montgomery</u> )	
This 16 day of October, 2007 who executed the foregoing Assignment in my prunder that person's own free will for the purpose.	esence, and who acknowledged to me that it was executed set forth therein.
MY COMMISSION DEXPIRES 6/22/2010	Notary Public for NIH FCU.  My commission expires: 06-22-10
Dated:	Reiko Kurotani
STATE OF	, before me personally came the above-named Reiko Kurotani
who executed the foregoing Assignment in my puunder that person's own free will for the purpose	
	Notary Public for My commission expires:

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TMH/GLB:8kd 4239-76447-03 E-286-2006/2-PCT-01 10/25/07

the right to select attorneys or agents of its choice to prosecute at its discretion the patent applications on its behalf.

We grant the law firm of Klarquist Sparkman, LLP, the power to insert on this Assignment any further information that may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated:			
	Shioko Kimura		
STATE OF)			
STATE OF			
This day of, who executed the foregoing Assignment in my punder that person's own free will for the purpose	, before me personally came the above-named Shioko Kimura, resence, and who acknowledged to me that it was executed as set forth therein.		
	Notary Public for		
[SEAL]	My commission expires:		
Dated; 2.29.08	Reiko Kurotani		
This 29 day of February, 2007, before me personally came the above-named Reiko Kurotani, who signed and dated the foregoing instrument in my presence.			
	Market St.		
	•		
	Satushi Okumura Witnessed By:		
	*		

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**RECORDED: 02/10/2012**