

## PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME
CONVEYING PARTY DATA	
Name	Execution Date
BNY CONVERGEX GROUP LLC	11/30/2011
RECEIVING PARTY DATA	
Name:	CONVERGEX GROUP, LLC
Street Address:	1633 Broadway
Internal Address:	30th Floor
City:	New York
State/Country:	NEW YORK
Postal Code:	10019
PROPERTY NUMBERS Total: 1	
Property Type	Number
Patent Number:	7778896
CORRESPONDENCE DATA	
Fax Number:	(212)931-8521
Email:	info@byrnepoh.com
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>	
Correspondent Name:	ByrnePoh LLP
Address Line 1:	11 Broadway
Address Line 2:	Suite 814
Address Line 4:	New York, NEW YORK 10004
ATTORNEY DOCKET NUMBER:	2003180.125-US1
NAME OF SUBMITTER:	Joanna M Polizzi
Total Attachments: 2 source=Certificate of Amendment - Name Change -Group (00029621)#page1.tif source=Certificate of Amendment - Name Change -Group (00029621)#page2.tif	

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# Delaware

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*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "BNY CONVERGEX GROUP, LLC", CHANGING ITS NAME FROM "BNY CONVERGEX GROUP, LLC" TO "CONVERGEX GROUP, LLC", FILED IN THIS OFFICE ON THE THIRTIETH DAY OF NOVEMBER, A.D. 2011, AT 8:19 O'CLOCK A.M.

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You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 9187570

DATE: 11-30-11

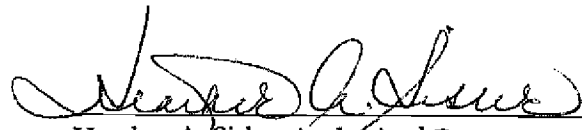
PATENT  
REEL: 027697 FRAME: 0409

**CERTIFICATE OF AMENDMENT  
TO THE  
CERTIFICATE OF FORMATION  
OF  
BNY CONVERGEX GROUP, LLC**

1. The name of the limited liability company is BNY ConvergeEx Group, LLC.
2. Paragraph 1 of the Certificate of Formation of BNY ConvergeEx Group, LLC is hereby amended to read as follows:

1. The name of the limited liability company is ConvergeEx Group, LLC.

IN WITNESS WHEREOF, the undersigned, Secretary and authorized person, has executed this Certificate of Amendment as of the 30<sup>th</sup> day of November, 2011.

  
Heather A. Sisler, Authorized Person