

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2012)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

James D. Ralph (06/21/2002), Stephen Tatar
(06/21/2002), and Joseph P. Errico (06/21/2002)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: THIRD MILLENNIUM ENGINEERING, LLC

Internal Address: _____

Street Address: _____

447 Springfield Avenue

City: Summit

State: New Jersey

Country: United States of America Zip: 07901

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

4. Application or patent number(s):

A. Patent Application No.(s)

11/453,129

13/402,328

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Brent L. Farese
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.: SPINE 3.0-453

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: 908-654-5000

Fax Number: 908-654-7866

Email Address: ataylor@ldlkm.com

6. Total number of applications and patents involved:

2

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 80.00

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 12-1095

Authorized User Name Brent L. Farese

9. Signature:

Brent L. Farese

Signature

February 24, 2012

Date

Brent L. Farese - 63,617

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

F-237

Whereas, I/We, James D. Ralph; of Seaside Park, NJ, hereafter referred to as applicant, have invented certain new and useful improvements in _____

Artificial Intervertebral Disc Having a Spider Spring Force Restoring Element

☐ for which an application for a United States Patent was filed on _____
Application Number _____ / _____

☒ for which an application for a United States Patent was executed on 6/21/2002, and

Whereas, Third Millennium Engineering, LLC of Summit, NJ herein referred to as assignee whose mailing address is 447 Springfield Avenue, Summit, NJ 07901 is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign, and transfer unto said assignee the full and exclusive right to the said invention and the entire right, title and interest in and to any and all Patents which may be granted therefor. I/We hereby authorize and request that said Patent be issued to said assignee, of the entire, right, title and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 21st day of June, 20 02
at Seaside Park, NJ

State of _____

County of _____

Before me personally appeared said _____
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____, 20 ____.

Seal

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

* ☒ Total of 3 forms are submitted.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

F-237

Whereas, I/We, Stephen Tatar; of Montville, NJ hereafter referred to as applicant, have invented certain new and useful improvements in Artificial Intervertebral Disc Having a Spider Spring Force Restoring Element

☐ for which an application for a United States Patent was filed on _____
Application Number _____/_____

☒ for which an application for a United States Patent was executed on 6/21/2002 and

Whereas, Third Millennium Engineering, LLC of Summit, NJ herein referred to as "assignee" whose mailing address is 447 Springfield Avenue, Summit, NJ 07901 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign, and transfer unto said assignee the full and exclusive right to the said invention and the entire right, title and interest in and to any and all Patents which may be granted therefor. I/We hereby authorize and request that said Patent be issued to said assignee, of the entire, right, title and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 21st day of June, 20 02
at Montville, NJ

State of _____
County of _____ ss:

(Signature)

Before me personally appeared said _____
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____, 20 ____.

Seal

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

* ☒ Total of 3 forms are submitted.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

F-237

Whereas, I/We, Joseph P. Errico of Kirkland, WA, hereafter referred to as applicant, have invented certain new and useful improvements in Artificial Intervertebral Disc Having a Spider Spring Force Restoring Element

☐ for which an application for a United States Patent was filed on _____
Application Number _____/_____

☒ for which an application for a United States Patent was executed on 6/21/2002, and

Whereas, Third Millennium Engineering, LLC of Summit, NJ herein referred to as assignee whose mailing address is 427 Springfield Avenue, Summit, NJ 07901 is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign, and transfer unto said assignee the full and exclusive right to the said invention and the entire right, title and interest in and to any and all Patents which may be granted therefor. I/We hereby authorize and request that said Patent be issued to said assignee, of the entire, right, title and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 21st day of June, 20 02
at Kirkland, WA

State of _____

County of _____

(Signature)

Before me personally appeared said _____

and acknowledged the foregoing instrument to be his free act and deed this _____

day of _____, 20 _____.

Seal

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

* ☒ Total of 3 forms are submitted.

PATENT

RECORDED: 02/24/2012

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