## 501837413 02/29/2012

## PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
John Wardle	08/30/2011

#### **RECEIVING PARTY DATA**

Name:	Ivantis, Inc.	
Street Address: 13766 Alton Parkway, Suite 150		
City:	Irvine	
State/Country: CALIFORNIA		
Postal Code:	92618	

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13167644

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ATTORNEY DOCKET NUMBER:	10502-710.200
NAME OF SUBMITTER	Justin P. Thomas, Reg. No. 63 301

Total Attachments: 3

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<u>PATENT</u>

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# **ATTORNEY DOCKET NO. 10502-710.200**

U.S. DEPARTMENT OF COMMERCE

# RECORDATION FORM COVER SHEET

PATENT AND TRADEMARK OFFICE

PATENTS ONLY		
TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT ORIGINAL DOCUMENTS OR COPY THEREOF.	AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED	
Name of conveying party(ies):	Name and address of receiving party(ies):	
John WARDLE (08/30/2011)  Additional name(s) of conveying party(ies) attached?  ———————————————————————————————————	Name: IVANTIS, INC. 13766 Alton Parkway, Suite 150 Irvine, CA 92618	
Nature of Conveyance:      Assignment	Name and address of receiving party(ies):  Name:  Street Address:  City: State: Zip:  Country: Additional name(s) & address(es) attached?	
Application number(s) or patent number(s):  If this document is being filed together with a new application, t	LJ Yes ⊠ No he execution date of the application is:	
A. Patent Application No.(s) – 13/167,644  Title: Ocular Implants Deployed in Schlemm's Canal of the Eye	B. Patent No.(s)	
Additional num	nbers attached? Yes No	
5. Name and address of party to whom correspondence concerning document should be mailed:  Justin P. Thomas Shay Glenn LLP 2755 Campus Drive, Suite 210	6. Total number of applications and patents involved: 1	
San Mateo, CA 94403	7. Total fee (37 CFR 3.41): \$40.00  A check is enclosed that includes the total fee.  The \$40 fee is being paid by EFS.	
DO NOT USE	THIS SPACE	
Statement and signature.  To the best of my knowledge and belief, the foregoing information original document.  (	ion is true and forrect and any attached copy is a true copy of the	
Justin P. Thomas, # 63,301  Name of Person Signing	Signature February 7 . 2012  Date	
Total	number of pages including cover sheet, attachments, and documents:	

**PATENT REEL: 027785 FRAME: 0901** 

#### ASSIGNMENT OF PATENT APPLICATION

Attorney Docket No. 10502-710.200

This Assignment of Patent Application is between **JOHN WARDLE** of San Clemente, CA (hereinafter referred to as "Inventor"), and **IVANTIS, INC.,** a corporation of the State of <u>Delaware</u>, having a place of business at 13766 Alton Parkway, Suite 150, Irvine, CA 92618 (hereinafter termed "Assignee").

WHEREAS, Inventor has invented certain new and useful improvements in:

#### "OCULAR IMPLANTS DEPLOYED IN SCHLEMM'S CANAL OF THE EYE"

for which an application for a United States Patent was filed on June 23, 2011, as Application No. 13/167,644.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

- 1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention: (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.
- 4. Said Inventor hereby warrants and represents that he/she has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

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IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assigned	
Dated: 8/30/2011	JOHN WARDLE

PATENT REEL: 027785 FRAME: 0903

**RECORDED: 02/29/2012**