

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**  
Thermo Ortel Corporation  
  
Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**  
Name: Spectra-Physics Stratford Inc.  
Internal Address: \_\_\_\_\_

**3. Nature of conveyance/Execution Date(s):**  
Execution Date(s) February 6, 2003  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other \_\_\_\_\_

Street Address: 150 Long Beach Blvd.  
  
City: Stratford  
State: CT  
Country: USA Zip: 06615  
Additional name(s) & address(es) attached?  Yes  No

**4. Application or patent number(s):**  
A. Patent Application No.(s)  
  
Additional numbers attached?  Yes  No

This document is being filed together with a new application.  
B. Patent No.(s)  
5,280,338

**5. Name and address to whom correspondence concerning document should be mailed:**  
Name: Scott Trask  
Internal Address: \_\_\_\_\_  
Street Address: 1791 Deere Ave.  
City: Irvine  
State: CA Zip: 92606  
Phone Number: 949-224-0568  
Fax Number: 949-253-1221  
Email Address: scott.trask@newport.com

**6. Total number of applications and patents involved:** 1  
**7. Total fee (37 CFR 1.21(h) & 3.41)** \$ 40  
 Authorized to be charged by credit card  
 Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

**8. Payment Information**  
a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_  
b. Deposit Account Number 503411  
Authorized User Name E. Scott Trask

**9. Signature:** \_\_\_\_\_  
Signature  
  
E. Scott Trask  
Name of Person Signing

\_\_\_\_\_  
Date 3/2/12  
Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 503411 528033

# Delaware

PAGE 1

## The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED ARE TRUE AND CORRECT COPIES OF ALL DOCUMENTS ON FILE OF "SPECTRA-PHYSICS STRATFORD INC." AS RECEIVED AND FILED IN THIS OFFICE.

THE FOLLOWING DOCUMENTS HAVE BEEN CERTIFIED:

CERTIFICATE OF INCORPORATION, FILED THE ELEVENTH DAY OF JULY, A.D. 1988, AT 10 O'CLOCK A.M.

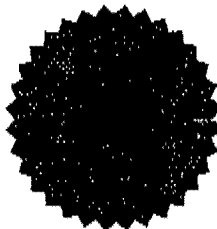
CERTIFICATE OF OWNERSHIP, FILED THE TWENTY-EIGHTH DAY OF SEPTEMBER, A.D. 1988, AT 10 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF OWNERSHIP IS THE THIRTIETH DAY OF SEPTEMBER, A.D. 1988.

CERTIFICATE OF AMENDMENT, CHANGING ITS NAME FROM "ORIEL CORPORATION" TO "ORIEL INSTRUMENTS CORPORATION", FILED THE TWENTY-NINTH DAY OF JANUARY, A.D. 1997, AT 4 O'CLOCK P.M.

CERTIFICATE OF AMENDMENT, FILED THE FIRST DAY OF JUNE, A.D. 2000, AT 5 O'CLOCK P.M.

CERTIFICATE OF AMENDMENT, CHANGING ITS NAME FROM "ORIEL INSTRUMENTS CORPORATION" TO "THERMO ORIEL CORPORATION", FILED THE TWENTY-SEVENTH DAY OF OCTOBER, A.D. 2000, AT 10:30 O'CLOCK



2166153 8100H

040503709

*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 3222058

DATE: 07-08-04

PATENT  
REEL: 027809 FRAME: 0245

# Delaware

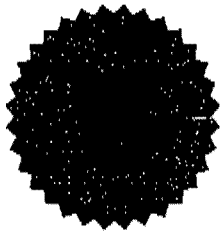
PAGE 2

*The First State*

A.M.

CERTIFICATE OF AMENDMENT, CHANGING ITS NAME FROM "THERMO  
ORIEL CORPORATION" TO "SPECTRA-PHYSICS STRATFORD INC.", FILED  
THE SEVENTH DAY OF FEBRUARY, A.D. 2003, AT 1:30 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE AFORESAID  
CERTIFICATES ARE THE ONLY CERTIFICATES ON RECORD OF THE  
AFORESAID CORPORATION.



*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 3222058

DATE: 07-08-04

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**CERTIFICATE OF AMENDMENT**  
**OF CERTIFICATE OF INCORPORATION**  
**OF**  
**THERMO ORIEL CORPORATION**

Thermo Oriel Corporation, a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware,

**DOES HEREBY CERTIFY:**

**FIRST:** That the Board of Directors of said Corporation, by the unanimous written consent of its members, filed with the minutes of the Board, adopted a resolution proposing and declaring advisable the following amendment to the Certificate of Incorporation of said corporation:

**RESOLVED:** That the Certificate of Incorporation of Thermo Oriel Corporation be amended by changing the First Articles thereof so that, as amended, said Article shall be and read as follows:

1. The name of the Corporation is Spectra-Physics Stratford Inc.

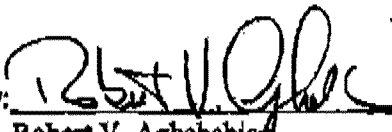
**SECOND:** That in lieu of a meeting and vote of stockholders, the stockholders have given their unanimous written consent to said amendment in accordance with the provisions of Section 228 of the General Corporation Law of the State of Delaware.

**THIRD:** That the aforesaid amendment was duly adopted in accordance with the applicable provisions of Sections 242 and 228 of the General Corporation Law of the State of Delaware.

STATE OF DELAWARE  
 SECRETARY OF STATE  
 DIVISION OF CORPORATIONS  
 FILED 01:30 PM 02/07/2003  
 030082385 - 2166153

IN WITNESS WHEREOF, said Thermo Oriel Corporation has caused this certificate to be signed by Robert V. Aghababian, its Assistant Secretary, this 6<sup>th</sup> day of February, 2003.

THERMO ORIEL CORPORATION

By:   
Robert V. Aghababian  
Assistant Secretary