



02/10/2012



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cket No.:

U.S. DEPARTMENT OF COMMERCE
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To the Director of the United States Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

(1) Masahide MOCHIZUKI, Heir of Koichi
MOCHIZUKI(deceased)

(2) Sumiko MOCHIZUKI, Heir of Koichi
MOCHIZUKI(deceased)

(3) Yoshio UEDA

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): December 22, 2011

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies):

Name: NEC Corporation

Address: 7-1, Shiba 5-chome, Minato-ku

City: Tokyo

State/Prov.:

Country: Japan ZIP: 108-8001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent numbers(s):

A. Patent Application No. (s)

13/389,396

- ☐ This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Sean M. McGinn, Esq.

Registration No.: 34,386

Address: McGinn Intellectual Property Law Group, PLLC
8321 Old Courthouse Road
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6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers

Expiration Date

b. Deposit Account Number 50-0481(deficiencies only)

Authorized User Name Sean M. McGinn

Signature:

Signature

Sean M. McGinn, Esq.

Name of Person Signing

02/10/2012 11:11 00000016 13389396

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February 8, 2012
Date

Total number of pages including cover sheet, attachments, and document: ☐

Documents to be recorded (including cover sheet) should be faxed to (571)273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS

NAME AND ADDRESS
OF ASSIGNOR

Masahide MOCHIZUKI, heir of Koichi Mochizuki (deceased)
1110-11, Fujizuka, Kasukabe-shi, Saitama, 344-0011 JAPAN

Sumiko MOCHIZUKI, heir of Koichi Mochizuki (deceased)
1110-11, Fujizuka, Kasukabe-shi, Saitama, 344-0011 JAPAN

Yoshio UEDA
c/o NEC CORPORATION, 7-1, Shiba 5-chome, Minato-ku,
Tokyo 108-8001 Japan

(HEREINAFTER REFERRED TO AS ASSIGNOR), have
invented and own a certain new and useful invention
entitled:

TITLE OF INVENTION:

Mobile Communication System, Base Station, Higher-
Order Apparatus, Gateway Apparatus, Communication
Method, and Program

WHEREAS

FULL NAME AND ADDRESS
OF ASSIGNEE

NEC CORPORATION
7-1, Shiba 5-chome, Minato-ku, Tokyo, 108-8001 Japan

(hereinafter referred to as ASSIGNEE), is desirous of
acquiring the entire right, title, and interest in, to and under
said invention and the United States Letters Patent to be
obtained therefore.

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be
it known that in consideration of the payment of ASSIGNEE
to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt
of which is hereby acknowledged, and for other good and
valuable consideration, ASSIGNOR, by these presents,
hereby sells, assigns and transfers to ASSIGNEE the entire
and exclusive right, title and interest to said invention and
all Letters Patent of the United States to be obtained
therefor on said application or any continuation, division,
renewal, substitute or reissue thereof for the full term or
terms for which the same may be granted.

ASSIGNOR hereby authorizes and requests my attorney,
Sean M. McGinn, of McGinn Intellectual Property Law
Group, PLLC, 8321 Old Courthouse Road, Suite 200,
Vienna, Virginia, U.S.A. 22182-3817 to insert here in
parentheses (Application No. 13/389,396, filed
February 7, 2012) the filing date and application number of
said application when known.

(Assignment of Application for Patent -- page 1 of 2)

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the

United States Patent obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms to said ASSIGNEE, of the entire right, title, and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term or terms for which said Letters Patent obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for may be granted, as full and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

DATE OF SIGNING

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 22nd day of December, 2011.

SIGNATURE

By: Masahide Mochizuki
Masahide MOCHIZUKI,
Heir of Koichi Mochizuki (deceased)

SIGNATURE

By: Sumiko Mochizuki
Sumiko MOCHIZUKI,
Heir of Koichi Mochizuki (deceased)

SIGNATURE

By: Yoshio Ueda
Yoshio UEDA

WITNESSES

Masako Mineda
Shiro Mineda

(Assignment of Application for Patent -- page 2 of 2)