

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT										
NATURE OF CONVEYANCE:	Corrective Assignment to correct the Re-record to add missing Assignor previously recorded on Reel 027050 Frame 0303. Assignor(s) hereby confirms the Assignment.										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Colin Gerald Caro</td> <td>08/11/2003</td> </tr> <tr> <td>Denis Joseph Doorly</td> <td>08/11/2003</td> </tr> <tr> <td>Mary Anne McLean</td> <td>08/11/2003</td> </tr> <tr> <td>Imperial College of Science, Technology and Medicine</td> <td>08/11/2003</td> </tr> </tbody> </table>		Name	Execution Date	Colin Gerald Caro	08/11/2003	Denis Joseph Doorly	08/11/2003	Mary Anne McLean	08/11/2003	Imperial College of Science, Technology and Medicine	08/11/2003
Name	Execution Date										
Colin Gerald Caro	08/11/2003										
Denis Joseph Doorly	08/11/2003										
Mary Anne McLean	08/11/2003										
Imperial College of Science, Technology and Medicine	08/11/2003										
RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>Imperial College Innovations Ltd.</td> </tr> <tr> <td>Street Address:</td> <td>Sherfield Building</td> </tr> <tr> <td>Internal Address:</td> <td>Imperial College</td> </tr> <tr> <td>City:</td> <td>London, SW7 2AZ</td> </tr> <tr> <td>State/Country:</td> <td>UNITED KINGDOM</td> </tr> </table>		Name:	Imperial College Innovations Ltd.	Street Address:	Sherfield Building	Internal Address:	Imperial College	City:	London, SW7 2AZ	State/Country:	UNITED KINGDOM
Name:	Imperial College Innovations Ltd.										
Street Address:	Sherfield Building										
Internal Address:	Imperial College										
City:	London, SW7 2AZ										
State/Country:	UNITED KINGDOM										
PROPERTY NUMBERS Total: 1											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13271733</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13271733						
Property Type	Number										
Application Number:	13271733										
CORRESPONDENCE DATA											
Fax Number: (216)363-9001 Phone: 216-363-9000 Email: jmoldovanyi@faysharpe.com <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i> Correspondent Name: Jay F. Moldovanyi Address Line 1: Fay Sharpe LLP Address Line 2: 1228 Euclid Ave 5th Flr Address Line 4: Cleveland, OHIO 44115											
ATTORNEY DOCKET NUMBER:	DEHN 200004US03										

NAME OF SUBMITTER:

Jay F. Moldovanyi

Total Attachments: 11

source=ASSIGN1#page1.tif

source=ASSIGN1#page2.tif

source=ASSIGN1#page3.tif

source=ASSIGN1#page4.tif

source=ASSIGN1#page5.tif

source=ASSIGN1#page6.tif

source=ASSIGN1#page7.tif

source=ASSIGN1#page8.tif

source=ASSIGN1#page9.tif

source=ASSIGN1#page10.tif

source=ASSIGN1#page11.tif



United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)*Electronic Patent Assignment System***Confirmation Receipt**

Your assignment has been received by the USPTO.
The coversheet of the assignment is displayed below:

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Colin Gerald Caro	08/11/2003
Denis Joseph Doorly	08/11/2003
Mary Anne McLean	08/11/2003

RECEIVING PARTY DATA

Name:	Imperial College Innovations Ltd.
Street Address:	Sherfield Building
Internal Address:	Imperial College
City:	London, SW7 2AZ
State/Country:	UNITED KINGDOM

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13271733

CORRESPONDENCE DATA

Fax Number: (216)363-9001
Phone: 216.363.9000
Email: jmoldovanyi@faysharpe.com
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.
Correspondent Name: FAY SHARPE LLP
Address Line 1: 1228 Euclid Avenue, 5th Floor
Address Line 2: The Halle Building
Address Line 4: Cleveland, OHIO 44115

ATTORNEY DOCKET
NUMBER:

DEHN 200004US03

NAME OF SUBMITTER:

Jay F. Moldovanyi

Signature:

/jfm/

Date:

10/12/2011

Total Attachments: 9

source=ASSIGN001#page1.tif
source=ASSIGN001#page2.tif
source=ASSIGN001#page3.tif
source=ASSIGN001#page4.tif
source=ASSIGN001#page5.tif
source=ASSIGN001#page6.tif
source=ASSIGN001#page7.tif
source=ASSIGN001#page8.tif
source=ASSIGN001#page9.tif

RECEIPT INFORMATION

EPAS ID: PAT1719641
Receipt Date: 10/12/2011
Fee Amount: \$40

[Return to home page](#)

| [HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)

41

1234-003
2506**COPY****ASSIGNMENT**

THIS DEED dated 11th August 2003 2003 is between:

- (1) Professor Colin Caro of 7 Parkfields, London, SW15 6NH ("Prof Caro");
- (2) Dr Denis Doorly of 17 Clarence Road, London, SW19 8QF ("Dr Doorly");
- (3) Dr Mary McLean of 15 Capell Road, Chorleywood, Rickmansworth, WD3 5HY ("Dr McLean");
- (4) Dr Spencer Sherwin of 20 Killyon Road, London, SW8 2XT ("Dr Sherwin");
- (5) Dr Brian Falzon of 30 Evelyn Gardens, London, SW7 3BG ("Dr Falzon");
- (6) Mr Nicholas Watkins of 37 Addison Road, London, W14 8JH ("Mr Watkins");
- (7) Mr Philip Birch of Old Pockford Cottage, Vann Lane, Chiddingfold, Surrey, GU8 4XU ("Mr Birch");
- (8) Imperial College of Science, Technology and Medicine of London, SW7 2AZ ("ICSTM"); and
- (9) Imperial College Innovations Ltd, whose registered office is at Sherfield Building, Imperial College, London, SW7 2AZ ("ICIL").

WHEREAS:

- A. Prof Caro, Dr Doorly, Dr McLean, Dr Sherwin, Dr Falzon, Mr Watkins and Mr Birch (collectively "the Inventors") have jointly generated the Intellectual Property (as defined below).
- B. At the time of their respective contributions to the Intellectual Property Prof Caro, Dr Doorly, Dr McLean, Dr Sherwin, Dr Falzon and Mr Watkins (collectively "the Imperial Inventors") were full-time employees of ICSTM, and consequently their contributions to the Intellectual Property belong to ICSTM pursuant to section 39 of the Patents Act 1977.
- C. At the time of his contribution to the Intellectual Property Mr Birch was engaged as a consultant to ICIL, and Mr Birch is prepared to assign his contribution to the Intellectual Property to ICIL.
- D. In order to further the commercial exploitation of the Intellectual Property, the Inventors and ICSTM have agreed to assign to ICIL all their right, title and interest in and to the Intellectual Property.

THIS DEED OF ASSIGNMENT WITNESSES as follows:

1. In this Assignment, the following terms shall have the following meanings:

"Intellectual Property"

means the Know-how, the Patents and the Inventions;

"Inventions"

means the inventions disclosed by the Patents;

"Know-how"

means technical information that is secret, substantial and which relates directly to the Inventions;

"Patents"

means the patent applications identified in the attached Schedule and all patents, patent applications and other intellectual property rights that derive priority from the patent applications in the Schedule in any country of the world (and including supplementary protection certificates, divisions, continuations, continuations in part, reissues and extensions).

2. The Inventors warrant that they are the sole contributors to the Intellectual Property.
3. The Imperial Inventors acknowledge that their contributions to the Intellectual Property were made in the course of their normal duties as employees of ICSTM and that the circumstances were such that an invention might reasonably have been expected to result from the carrying out of their duties, and that consequently their contributions to the Intellectual Property belong to ICSTM pursuant to Section 39 of the Patents Act 1977. To the extent that any of the Imperial Inventors own any rights in the Intellectual Property they hereby assign the same to ICIL on the same terms as set out at clause 5 below.
4. The Inventors and ICSTM covenant with ICIL that they have not assigned or agreed to assign the Intellectual Property to any person, company or undertaking other than ICIL, or otherwise encumbered the Intellectual Property.

5. Assignment
- 5.1 ICSTM and Mr Birch hereby assign and transfer to ICIL absolutely and with full title guarantee:
- (a) all the right, title and interest in and to the Intellectual Property, including all rights, privileges and advantages attaching to the Intellectual Property and in particular all patents and other intellectual property that may be granted pursuant to the Patents as well as all patents and other intellectual property that may derive priority from or have equivalent claims to the Patents in any country of the world (and including supplementary protection certificates, divisions, continuations, continuations in part, reissues and extensions), and the Intellectual Property shall be deemed to include all such items of property,
 - (b) the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of all infringements of any of the Intellectual Property whether committed on, before or after the date of this Agreement;
 - (c) all rights of ownership of any materials that form part of the Intellectual Property; and
 - (d) the full, unfettered and exclusive right throughout the world to use the Intellectual Property for any purpose whatsoever.
- 5.2 The Inventors and ICSTM shall each execute such documents and give such reasonable assistance as ICIL may require:
- (a) to secure the vesting in ICIL or its nominees of all rights in the Intellectual Property;
 - (b) to uphold ICIL's rights in the Intellectual Property; and
 - (c) to defeat any challenge to the validity of, and resolve any questions concerning, the Intellectual Property.
6. The Inventors and ICSTM hereby irrevocably appoint ICIL as their attorney in their name to execute any document and do any act or thing which may be necessary to comply with the provisions of clause 5 above.
7. The Inventors and ICSTM hereby agree not, without the prior written consent of ICIL, to communicate or otherwise make available the Know-how to any third party nor use the Know-how for any commercial purpose.

8. The validity, construction and performance of this Agreement shall be governed by English law, and the parties submit to the jurisdiction of the English courts in respect of any dispute arising in connection therewith.

EXECUTED AND DELIVERED AS A DEED by the parties:

Signed as a Deed by Professor Colin Caro in the presence of a witness and delivered upon signature:

Colin Caro

Professor Colin Caro

William Rayner

witness signature

W N RAYNER

witness name

124 Aberdeen Park, London, N5 2BA

witness address

Signed as a Deed by Dr Denis Doorly in the presence of a witness and delivered upon signature:

Denis Doorly

Dr Denis Doorly

William Rayner

witness signature

W N RAYNER

witness name

124 Aberdeen Park, London, N5 2BA

witness address

Signed as a Deed by Dr Mary McLean in the presence of a witness and delivered upon signature:

Mary McLean

Dr Mary McLean

[Signature]

witness signature

P.A. HITCHINGS

witness name

44 Beech Lane, Earley, Reading, RG6 5PT

witness address

Signed as a Deed by Dr Spencer Sherwin in the presence of a witness and delivered upon signature:

[Signature]

Dr Spencer Sherwin

[Signature]

witness signature

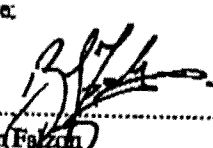
B C GRAVES

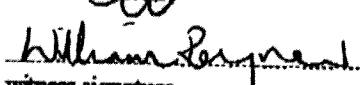
witness name

Clock Cottage, Stud Green

witness address *HOLYPORT
BERKS SL6 2JH.*

Signed as a Deed by Dr Brian Falzon in the presence of a witness and delivered upon signature:

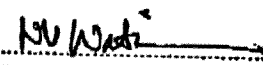

.....
Dr Brian Falzon

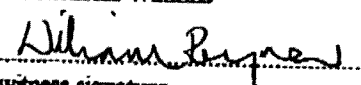

.....
witness signature

W N RAYNER
.....
witness name

24 Aberdeen Park, London, N5 2BA
.....
witness address

Signed as a Deed by Mr Nicholas Watkins in the presence of a witness and delivered upon signature:


.....
Mr Nicholas Watkins


.....
witness signature

W N RAYNER
.....
witness name

24 Aberdeen Park, London, N5 2BA
.....
witness address

Signed as a Deed by Mr Philip Birch in the presence of a witness and delivered upon signature:

Mr Philip Birch

witness signature

witness name

witness address

Executed and delivered as a Deed by
Imperial College of Science, Technology
and Medicine, the Common Seal of which
was affixed hereto in the presence of
two authorized signatories

Signed

Signed

Executed and delivered as a Deed by Imperial College Innovations Ltd acting through two
of its Directors:

Signed

Signed

SCHEDULE

The Patents

Application No.	Filing Date	Subject
PCT/GB94/02023	16 Sept 1994	Vascular prosthesis to generate non-planar flow
PCT/GB95/02959	18 Dec 1995	Canula to generate swirl flow
PCT/GB98/01529	27 May 1998	Stent to generate non-planar flow at bypass graft anastomoses
PCT/GB99/03999	30 Nov 1999	Stent to generate non-planar flow
PCT/GB00/04946	21 Dec 2000	Helical wire stent
GB0306176.9	18 Mar 2003	Small Amplitude Helical Technology
[not yet allocated]	18 July 2003 21	SMAHT stent & deployment Title: "Stent" Applicant: ICIL
[not yet allocated]	18 July 2003 21	SMAHT stent & deployment Title: "Tubing" Applicant: ICIL