

Recordation
Pa

02/15/2012



Docket Number

PA-1199-US

To the Director of the United States Patent and Trademark Office

103640684

attached documents or the new address(es) below.

1. Name of conveying party(ies):

Steven J. Fredette; and
Rishi Grover

2. Name and address of receiving party(ies):

Name: UTC Power Corporation

Address: 195 Governor's Highway

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution date(s):

Execution Date(s): December 17 & 21, 2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other: _____

City: South Windsor

State/Prov.: CT

Postal
Code: 06074

Country: United States of America

Additional name(s) & address(es): ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

USSN 12/735,224 (=PCT/2007/026268 = PA-1199-US)

B. Patent No.(s)



Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Deborah Crenshaw

Registration No.: 41,689

Address: Pratt and Whitney

400 Main Street

Mail Stop- 132-13

City: East Hartford State/Prov.: CT

Country: U. S. A. Postal
Code: 06108

Phone Number: (860) 565-6520

Fax Number: (860) 755-5959

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41): \$40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number AW110279 00000023 210279 1273522

Authorized User Name Stephen A. Schneeberger

9. Signature:

Date: February 13, 2012

Stephen A. Schneeberger
Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents: 4

ASSIGNMENT

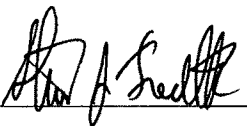
WHEREAS, We, Steven J. Fredette of South Windsor, Connecticut and Rishi Grover of Manchester, Connecticut have invented certain improvements in "Harmonic Filter with Integrated Power Factor Correction" identified as Attorney Docket No. PA-0001199, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UTC Power Corporation, of South Windsor, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, their entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also their entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

(L.S.) 

STEVEN J. FREDETTE

December 17, 2007

(L.S.) Rishi Grover

RISHI GROVER

12/21 / , 2007

State of Connecticut)
County of Hartford) ss:

On this 17th day of December, 2007 before me personally appeared STEVEN J. FREDETTE to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.



Mary Forcier
Notary Public

My Commission Expires February 28, 2012
MARY FORCIER
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2012

State of Connecticut)
County of Hartford) ss:

On this 21st day of December, 2007 before me personally appeared RISHI GROVER to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.



Mary Forcier
Notary Public

My Commission Expires 2/28/2012
MARY FORCIER
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2012