

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Zhengfang Ye	11/29/2010
RECEIVING PARTY DATA	
Name:	Peking University
Street Address:	No. 5 Yiheyuan Road
Internal Address:	Haidian District
City:	Beijing
State/Country:	CHINA
Postal Code:	100871
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13322335
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ATTORNEY DOCKET NUMBER:	M-6300.029 PCT US
NAME OF SUBMITTER:	STEVEN S. RUBIN
Total Attachments: 8 source=373b#page1.tif	

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Zhengfang YeApplication No./Patent No.: 13/322,335Filed/Issue Date: November 23, 2012Titled: TREATMENT OF WASTE PRODUCTPeking University, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

STEVEN S. RUBIN

Printed or Typed Name

March 12, 2012

Date

ATTORNEY

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT

ASSIGNMENT OF PATENT RIGHTS

专利权转让证明

For valuable consideration, the receipt and sufficiency of which is hereby acknowledged,

基于等价有偿, 兹确认其足数并已收讫

Zhengfang YE, having an address of Room 414 Yingjie Center, Peking University, No.5 Yiheyuan Road, Haidian District Beijing, 100871 China, and

叶正芳, 北京市海淀区颐和园路5号北京大学英杰交流中心414办公室 邮编100871,
以及

Zhongyou WANG, having an address of Room 414 Yingjie Center, Peking University, No.5 Yiheyuan Road, Haidian District Beijing, 100871 China, and

王中友, 北京市海淀区颐和园路5号北京大学英杰交流中心414办公室 邮编100871,
以及

Mohe ZHANG, having an address of Room 421 Yingjie Center, Peking University, No.5 Yiheyuan Road, Haidian District Beijing, 100871 China,

张默贺, 北京市海淀区颐和园路5号北京大学英杰交流中心421办公室 邮编100871

(collectively, "Assignor"),

(统称为 "转让人")

effective as of May 4th, 2010 hereby sells, assigns, transfers, and conveys unto Peking University of No.5 Yiheyuan Road, Haidian District, Beijing, 100871 China ("Assignee"), all right, title, and interest that exist today and may exist in the future in and to any and all of the following (collectively, the "Rights"):

自2010年5月4日起生效, 将现在存在而且将来可能存在于以下任何或所有项目中的所有权利, 所有权, 以及收益权(统称为该"权利")出售, 转让和转移给北京大学, 中国北京市海淀区颐和园路5号 邮编100871 ("受让人"):

1. the inventions disclosed in the solution report/invention disclosure titled Method for Treating TNT Wastewater and all inventions claimed and/or described in the Application (collectively, the "Invention");

在方案报告/发明披露书中揭示的标题为 Method for Treating TNT Wastewater 的发明以及以下申请中请求保护以及/或者描述的所有发明(统称为该"发明");

2. the patent applications listed in the table below (the "Application");

下表中所列的专利申请 (该 "申请") :

Patent Application No. 专利申请号码	Country 国家	Filing Date 申请日	Title 标题
PCT/CN2010/078166	PCT	27 October 2010	TREATMENT OF WASTE PRODUCT
13/322,335	US	Nov. 23, 2011	

3. all rights with respect to the Invention, including all UNITED STATES patents or other governmental grants or issuances that may be granted with respect to the Invention or from any direct or indirect divisionals, continuations, continuations-in-part, or other patent applications claiming priority rights from the Application ("Potential Patents");

与该发明有关的所有权利, 包括与该发明有关的或者来自任何直接的或间接的分案申请, 继续申请, 部分继续申请, 或其他要求该申请优先权的专利申请的所有美国专利或其他政府授权或颁证 ("潜在专利") ;

4. all reissues, reexaminations, extensions, or registrations of the Potential Patents;

该潜在专利的所有再颁, 复审, 续展, 或者注册;

5. all non-United States patents, patent applications, and counterparts relating to any or all of the Invention, the Application, and the Potential Patents, including, without limitation, certificates of invention, utility models, industrial design protection, design patent protection, and other governmental grants or issuances ("Foreign Rights"), and including the right to file foreign applications directly in the name of Assignee, its successors and assigns;

与该发明、专利申请、和潜在专利相关的全部非美国专利、非美国专利申请和对应申请, 包括, 但不限于, 发明证书、实用新型、工业品外观设计保护、外观设计专利保护, 以及其他政府授权或颁证 ("外国权利"), 并包括直接以受让人, 其继承人以及再受让人的名义提交外国申请的权利;

6. all rights to claim priority rights deriving from the Application;

要求源于该申请的优先权的所有权利;

7. all causes of action and remedies related to any or all of the Application, the Invention, the Potential Patents, and the Foreign Rights (including, without limitation, the right to sue for past, present, or future infringement, misappropriation or violation of rights related to any of the foregoing and the right to collect royalties and other payments under or on account of any of the foregoing); and

与任何或所有该申请, 该发明, 该潜在专利, 以及该外国权利相关的所有诉由和救济 (包括, 但不限于, 对过去, 现在, 或将来的对与任何前述相关的权利的侵害, 滥用或侵权行为提起诉讼的权利以及领取任何前述下或由其产生的使用费和其他报酬的权利); 以及

8. any and all other rights and interests arising out of, in connection with, or in relation to the

Application, the Invention, Potential Patents, and the Foreign Rights.

由该申请，该发明，潜在专利，以及该外外权利产生的，与之关联的或涉及它们的任何和其他权利和利益。

Assignor will not sign any document or do any act conflicting with this Assignment, and, without further compensation, will sign all documents and do such additional acts as Assignee, its successors, legal representatives, and assigns deem necessary or desirable to perfect enjoyment of the Rights, conduct proceedings regarding the Rights (including any litigation or interference proceedings), or perfect or defend title to the Rights. Assignor requests the respective patent office or governmental agency in each jurisdiction to issue any and all patents, certificates of invention, utility models, or other governmental grants or issuances that may be granted upon any of the Rights in the name of the Assignee, as the assignee to the entire interest therein.

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Assignor hereby authorizes and requests authorized representative to insert on this Assignment the filing date and Patent Application Numbers in the table above when known.

转让人特此授权并要求授权代表人在得知申请日以及专利申请号码之后将其填入本转让证明上面的表格中。

The terms and conditions of this Assignment will inure to the benefit of Assignee, its successors, legal representatives, and assigns and will be binding upon Assignor, their successors, legal representatives and assigns.

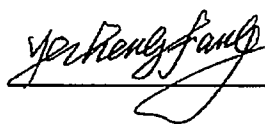
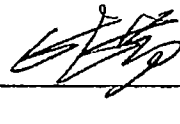
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Assignor and Assignee acknowledge and agree that any version of this Assignment in a language other than English will be non-binding and shall exist only for the convenience of both Assignors and Assignee.

转让人与受让人承认并同意本转让书的非英文版本不具有约束力，非英文版本仅为转让人与受让人的便利而存在。

[Signature Page(s) to Follow]
[下面是签名页]

By:
签名:

Print name:

打印名称: Zhengfang YE 叶正芳

ATTESTATION

证词

The undersigned witnessed the signature of Zhengfang YE to this document and makes the following statements:

下面签署人见证了叶正芳签署此文件，特作以下陈述：

1. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.

我年满 18 周岁，若被要求，有能力对本证词的事实予以证实。

2. Zhengfang YE is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on 11/16, 2010, to execute this document.

叶正芳本人，为我所知（或有充分证据证明其身份），并于 2010年11月16日在我面前签署此文件。

3. Zhengfang YE subscribed to this document.

叶正芳同意本文件。

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that the foregoing is true and correct.

根据美利坚合众国法律有关伪证处罚以及本文件可能面临的任何其他司法管辖区的处罚法的规定，本人声明上述信息是真实的，正确的。


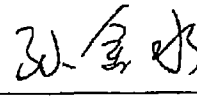
By:
签名:

Print name:

打印名称: _____

By:
签名:

Print name:

打印名称: _____

By: Zhongyou WANG 王中友
签名:

Print name: Zhongyou WANG 王中友
打印名称:

ATTESTATION

证词

The undersigned witnessed the signature of Zhongyou WANG to this document and makes the following statements:

下面签署人见证了王中友签署此文件，特作以下陈述：

1. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.

我年满 18 周岁，若被要求，有能力对本证词的事实予以证实。

2. Zhongyou WANG is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on Nov 16, 2019 to execute this document.

王中友本人，为我所知（或有充分证据证明其身份），并于 20__年__ 在我面前签署此文件。

3. Zhongyou WANG subscribed to this document.

王中友同意本文件。

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that the foregoing is true and correct.

根据美利坚合众国法律有关伪证处罚以及本文件可能面临的任何其他司法管辖区的处罚法的规定，本人声明上述信息是真实的，正确的。

By: Ji Jundong 纪军东
签名:

Print name: _____
打印名称:

By: Sun Jinsun 孙金水
签名:

Print name: _____
打印名称:

By:
签名:

Mohe ZHANG 张默贺

Print name:

打印名称: Mohe ZHANG 张默贺

ATTESTATION

证词

The undersigned witnessed the signature of Mohe ZHANG to this document and makes the following statements:

下面签署人见证了张默贺签署此文件，特作以下陈述：

1. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.

我年满 18 周岁，若被要求，有能力对本证词的事实予以证实。

2. Mohe ZHANG is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on Nov 16, 2019 to execute this document.

张默贺本人，为我所知（或有充分证据证明其身份），并于 2019 年 11 月 16 日在我面前签署此文件。

3. Mohe ZHANG subscribed to this document.

张默贺同意本文件。

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that the foregoing is true and correct.

根据美利坚合众国法律有关伪证处罚以及本文件可能面临的任何其他司法管辖区的处罚法的规定，本人声明上述信息是真实的，正确的。

By:
签名:

Ji Sencak 纪森察

Print name:

打印名称:

By:
签名:

Sun Jinsun 孙金水

Print name:

打印名称:

ACCEPTANCE BY ASSIGNEE

受让人接受书

In connection with an assignment in any jurisdiction in which an acceptance by ASSIGNEE is required, I hereby accept this assignment on behalf of Peking University. I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that (check all that apply):

在任何要求需要受让人为转让提供接受书的管辖权，我兹代表北京大学接受本转让书。我以美利坚合众国法律和本文件可能被提交的任何其他管辖区域的法律保证（请检查所有适用选项），

☒ I am an officer of the above-identified ASSIGNEE,
我是上述确认的受让人的官员，

☐ I have signed this document on behalf of ASSIGNEE with the full authority of its board of directors,
我接受受让人董事会的全权委托，代表受让人签署本文件，

and that all the foregoing is true and correct.

上述文件真实无误。

Dated: 29/11/2010.
日期:

By: 张铭.
签名人:

Name:
姓名:

Title: Director of IP Office
职务: