

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2012)

U.S. DEPARTMENT OF COMMERCE
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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Research Corporation Technologies, Inc.
5210 E. Williams Circle, Suite 240
Tucson, AZ 85711

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address: _____

Street Address: 1111 Franklin Street, 12th Floor

City: Oakland

State: CA

Country: USA Zip: 94607

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) September 29, 2011

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

6,090,380
6,200,565

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: John P. O'Banion

Internal Address: O'BANION & RITCHEY LLP

Street Address: 400 Capitol Mall, Suite 1550

City: Sacramento

State: CA Zip: 95814

Phone Number: 916-498-1010

Fax Number: 916-498-1074

Email Address: docketing@intellectual.com

6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 80

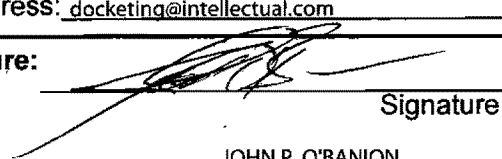
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 07-1137

Authorized User Name John P. O'Banion

9. Signature:


Signature

3/16/12
Date

JOHN P. O'BANION
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$80.00 071137 609038

RCT Project No. 494-1703A & -1703B, Richard H. Weisbart, Leon E. Barstow -- RCT to Institution

DEED OF ASSIGNMENT

"INVENTION" means Oral Gammaglobulin Treatment for Rheumatoid Arthritis & Treatment of Autoimmune Hearing Loss.

"PATENT RIGHTS" mean:

- Canada Patent Application No. 2424623, Filed 10/5/2001
- United States Patent No. 6090380, Issued 7/18/2000
- United States Patent No. 6200565, Issued 3/13/2001

"ASSIGNEE" means The Regents of the University of California, 1111 Franklin St., 12th floor Oakland, CA 94607.

"RCT" means Research Corporation Technologies, Inc., 5210 E. Williams Circle, Suite 240, Tucson, Arizona 85711.

1. RCT hereby assigns to ASSIGNEE RCT's entire right, title and interest in:
 - (a) the INVENTION;
 - (b) the PATENT RIGHTS;
 - (c) all foreign applications and all divisional and continuation, in whole and in part, applications based on an application that resulted in the PATENT RIGHTS; and
 - (d) all reissues, extensions, renewals and reexaminations of the patent rights described in (b).
2. RCT hereby authorizes and requests the United States Patent Office to issue to the ASSIGNEE all patents described in Paragraph 1 that may be granted.
3. RCT agrees to execute any further lawful documents that ASSIGNEE deems reasonably necessary to fully protect ASSIGNEE's interest in the INVENTION and the documents described in Paragraph 1.
4. This assignment hereto is subject to the rights of the United States Government, if any, as set forth in that certain License made effective August 31, 1994, between Richard H. Weisbart and the Government of the United States (Department of Veterans Affairs) and that Confirmatory License dated February 14, 2006 between Richard H. Weisbart and the Government of the United States (Department of Veterans Affairs).

RESEARCH CORPORATION TECHNOLOGIES, INC.



By: [Signature]
Shaun A. Kirkpatrick
President & Chief Executive Officer

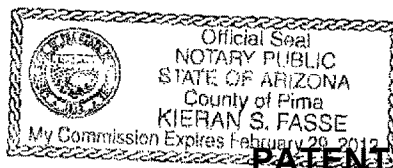
Date: 9/29/2011

STATE OF ARIZONA)
) ss.
COUNTY OF PIMA)

On this 29 day of September, 2011, before me personally came Shaun A. Kirkpatrick, to me known to be the President and Chief Executive Officer of Research Corporation Technologies, Inc., the assignor named above, and acknowledged that he executed the foregoing instrument on behalf of the assignor and pursuant to authority duly received.

[Signature]
Notary Public

My Commission Expires: 2/29/2012



PATENT