

Form PTO-1595 (Rev. 06/04)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark OfficeRECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office. Please record the attached documents or the address(es) below..

1. Name of conveying party(ies)/Execution Date(s):

Thomas RUDEL

Execution Date(s): 1/2/12

Additional name(s) of conveying party(ies) attached?
attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

NAME: AEterna Zentaris GmbH

ADDRESS: Weismuellerstrasse 50
60314 Frankfurt, Germany

3. Name of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

Additional name(s) & addresses(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

☐ This document is being filed together with a new application

A. Patent Application No.(s)

11/663,591

13/275,666

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document should be
mailed:

Name:

Address: Rothwell, Figg, Ernst & Manbeck
Suite 800Street Address: 607 14th St., N.W.

City: Washington,

State: D.C. Zip: 20005

Telephone No.: 202/783-6040

Facsimile No.: 202/783-6031

Email Address: pto-pat-email@rfem.com

Attorney Docket No. : 2923-1122

6. Total number of applications
and patents involved: 27. Total fee (37 CFR 3.41): . . . \$ 80.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account
☐ None required (government interest not affecting title)

8. Deposit account number: 02-2135

Authorized User Name Monica Chin Kitts

DO NOT USE THIS SPACE

9. Signature.


Signature

March 19, 2012

Date

Monica Chin Kitts Total number of pages including cover sheet, attachments and documents: 2

Name of Person Signing

CH \$80.00 022135 11663591

Patentanwälte Linka Prochmal Bikun Weiß Tesmeyer Herzog Kutenberger Jordan Dey Lachnit Herberich Trostlin Feller Heubeck - Richard-Strauss-Str. 80 - 81679 München - Telefon 45563 999 - Telefax 45563 999 - email@weichmann.de

^PPatent - Gebrauchsmuster -
Geschmacksmuster - Marke

Patent - Utility Model -
Design Patent - Trademark

ÜBERTRAGUNG

1. Zur Übertragung des folgenden Schutzrechts
(der folgenden Schutzrechte):

2. Ich (wir)

habe(n) das (die) unter Punkt 1. genannte(n)
Schutzrecht(e) auf

übertragen und habe(n) mich (uns) mit dessen
(deren) Umschreibung einverstanden erklärt.

Frankfurt 02.01.2012
Ort/Place Datum/Date

3. Ich (wir)

habe(n) mich (uns) mit der Übertragung und
der Umschreibung des unter Punkt 1. ge-
nannten Schutzrechts (der unter Punkt 1.
genannten Schutzrechte) auf mich (uns)
einverstanden erklärt.

Frankfurt am Main 05.03.2012
Ort/Place Datum/Date

ASSIGNMENT

1. As to the assignment of the following protec-
tive right(s):

- a) National Patents of European Patent
No. 1 764 616 in
Germany (Patent No. 601 38 321.4)
Switzerland (Patent No. 1 764 616)
France (Patent No. 1 764 616)
United Kingdom (Patent No. 1 764 616)
- b) US Patent Application No. 11/663 591
- c) US Patent Application No. 13/275 666

2. I (We)

Dr. Thomas RUDEL
Breddiner Weg 5
13591 Berlin
DE

have assigned the protective right(s) listed in
item 1. to

AEterna Zentaris GmbH
Weismüllerstrasse 50
60314 Frankfurt
DE

and have agreed that the assignment thereof
is recorded in the Patent Office Register.

Dr. Thomas Rudel

[Signature]
Unterschrift/Signature

3. I (We)

AEterna Zentaris GmbH
Weismüllerstrasse 50
60314 Frankfurt
DE

have agreed that the protective right(s) listed
in item 1. be assigned to me (us) and that the
assignment be recorded in the Patent Office
Register.

AEterna Zentaris GmbH

[Signature] [Signature]
Unterschrift/Signature

Prof. Dr. Jürgen Engel
Matthias Seeber
Managing Directors

PATENT