

PATENT

03/07/2012



To the Honorable Commissioner of Patents and Trademarks

Documents or copy thereof

1. Name of conveying party(ies):

1. Wolfgang Kabatzke
2. Hermann Rochholz
3. Jochen Birkemeyer



Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 1. 09 Jan 12
2. 22 Jan 12
3. 09 Jan 12

2. Name and address of receiving party(ies):

Name: Nordex Energy GmbH
Address: Langenhorner Chaussee 600
22419 Hamburg
Federal Republic of Germany

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

☐ This document is being filed together with a new application

A. Patent Application No.
13/315,756

B. Patent No.

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Walter Ottesen
Patent Attorney

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Gaithersburg, Maryland 20885-4026

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6. Total number of applications and patents involved: ☒ 1

7. Total fee (37 CFR 1.21(h) & 3.41):..... \$40.00
☐ Enclosed
☐ Authorized to be charged to deposit account
☒ Authorized to be charged to credit card

8. Payment Information

a. Credit Card Last 4 Numbers 4167
Expiration Date 10/15
b. Deposit Account Number _____
Authorized User Name _____

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Walter Ottesen

Name of Person Signing

Signature

February 3, 2012

Date

Total number of pages comprising cover sheet: ☒ 4

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of USPTO,
P.O. Box 1450, Alexandria, VA 22313-1450

03/06/2012 MBELETE1 00000019 13315756
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PATENT
REEL: 027930 FRAME: 0683

ASSIGNMENT

Whereas, We, WOLFGANG KABATZKE of Hansastrasse 9, 21502 Geesthacht, Federal Republic of Germany, HERMANN ROCHHOLZ of Sarntaler Str. 39, 39100 Bozen, Italy, and JOCHEN BIRKEMEYER of Auenweg 7, 22848 Norderstedt, Federal Republic of Germany, have invented certain new and useful improvements in Method for Operating a Pitch-Controlled Wind Turbine for which application serial no. 13/315,756 for United States Letters Patent was filed on December 9, 2011, (said application having Attorney Docket No. 54872-25); and,

Whereas, NORDEX ENERGY GMBH, a corporation organized and existing under the laws of the Federal Republic of Germany, having its principal office at Langenhorner Chaussee 600, 22419 Hamburg, Federal Republic of Germany (hereinafter referred to as NORDEX), is desirous of obtaining Letters Patent for said invention in the United States;

Now, therefore, we hereby confirm that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have transferred unto said NORDEX, its successors, assigns, nominees or other legal representatives, the full and exclusive right to said invention and have agreed to assign and transfer and do hereby assign and transfer unto said NORDEX, its successors, assigns, nominees or other legal representatives, the full and exclusive right, title and interest in and to said application including all priority rights under 35 USC 119 and under the International Convention for the Protection of Industrial Property associated therewith and the full and exclusive right to any and all Letters Patent which may be granted in the United States on said application to the full end of the term for which said Letters Patent is granted and to any and all divisional, continuation, substitute and reissue applications derived from said application.

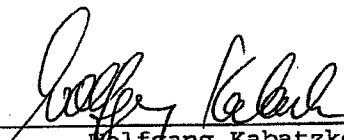
We agree for ourselves and for our heirs, executors and administrators to communicate to said NORDEX, its successors, assigns, nominees or other legal representatives, all facts known to us

respecting said improvements, whenever requested, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute and reissue applications, make all rightful oaths and do all lawful acts requisite for the application, for such divisional, continuation, substitute and reissue applications or the procuring thereof, and we further covenant and agree that we will, at any time upon request, do everything legally possible to aid said NORDEX, its successors, assigns, nominees or other legal representatives, either in its or their own name, to maintain and enforce any patent for said improvements without further consideration but at the expense of said NORDEX, its successors, assigns, nominees or other legal representatives.

We hereby authorize and request the United States Commissioner of Patents and Trademarks to issue any and all Letters Patent which may be granted upon any of said applications, to said NORDEX as the Assignee of the entire right, title and interest in and to the same, for its sole use and behoof; and for the use and behoof of its successors, assigns, nominees, or other legal representatives, to the full end of the term for which said Letters Patent may be granted.

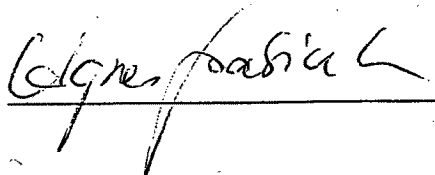
Witness:




Wolfgang Kabatzke

Date: 04.01.2012

Witness:




Hermann Rochholz

Date: 22.1.2012

Witness:

Franke Samirak

John Birk
Jochen Birkemeyer

Date: 09.01.2012, Hamburg