

PATENT ASSIGNMENT

Electronic Version v1.1

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SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the Assignment should reflect conveyance from Inventor to Globus Medical (company). previously recorded on Reel 024423 Frame 0763. Assignor(s) hereby confirms the the Assignment should read as Assignee Globus Medical and not the Attorney of record Brian Malm..
CONVEYING PARTY DATA	
Name	Execution Date
Loubert Suddaby	03/13/2007
RECEIVING PARTY DATA	
Name:	Globus Medical, Inc
Street Address:	2560 General Armistead Avenue
City:	Audubon
State/Country:	PENNSYLVANIA
Postal Code:	19403
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	09448489
CORRESPONDENCE DATA	
Fax Number:	(610)930-2042
Phone:	6109301800
Email:	ccucchi@globusmedical.com
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>	
Correspondent Name:	Bala K Sundararajan
Address Line 1:	2560 General Armistead Avenue
Address Line 4:	Audubon, PENNSYLVANIA 19403
ATTORNEY DOCKET NUMBER:	0218.188.0002
NAME OF SUBMITTER:	Bala K. Sundararajan/clc
Total Attachments: 4 source=Statement Under 3.73b Assignment#page1.tif source=Statement Under 3.73b Assignment#page2.tif source=Assignment_02181880002#page1.tif source=Assignment_02181880002#page2.tif	

CH \$40.00 09448489

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Globus Medical, Inc.

Application No./Patent No.: 09/448,489 6,328,738 Filed/Issue Date: 11/24/1999 - 12/11/2001

Titled: Anterior Cervical Fusion Compression Plate and Screw Guide

Globus Medical, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☒ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 024423, Frame 0763, or for which a copy therefore is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Brian J. Malm, Esquire

Printed or Typed Name

09/29/2010

Date

Attorney of record

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

PATENT

REEL: 027934 FRAME: 0139

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

EXHIBIT B

FORM OF PATENT ASSIGNMENT

This Assignment made this 13th day of March 2007 by Dr. Loubert Suddaby (hereinafter "ASSIGNOR"), a resident of New York, to Globus Medical, Inc., a corporation organized and existing under the laws of the State of Delaware, United States (hereinafter "ASSIGNEE").

ASSIGNOR has represented and hereby represents and warrants that ASSIGNOR is the owner of all right, title and interest in the patents and patent applications listed in attached Schedule I (hereinafter the "Patent Properties");

ASSIGNOR desires to transfer all right, title and interest in the Patent Properties to ASSIGNEE.

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00), and other valuable considerations, the receipt of which is hereby acknowledged, ASSIGNOR does hereby assign, sell, transfer, and set over to ASSIGNEE all right, title and interest in and to the Patent Properties and in and to the inventions set forth and claimed therein and the right to claim priority to any or each of said Patent Properties in any later filed related counterpart patent applications, and ASSIGNOR agrees to do all acts and to sign, or have signed, all documents reasonably necessary to vest all right, title and interest in and to the Patent Properties in ASSIGNEE.

IN WITNESS WHEREOF this instrument is executed by ASSIGNOR's duly authorized officer on this 13 day of March 2007 before each of the undersigned witnesses (include printed name and signature).

DR. LOUBERT SUDDABY

By: [Signature]

Witness: [Signature]

Name: Susan Whipple

Witness: [Signature]

Name: Gary Nickle

PATENT

REEL: 027934 FRAME: 0141

Schedule 1

US 6,328,738 B1 Anterior Cervical Fusion Compression Plate and Screw Guide, and any reissues, divisions, continuations, continuing patent applications, request for continued examination patent applications, renewals, re-examination, extensions and continuations-in-part thereof, any patents issuing from any of the foregoing, and any foreign counterpart of any of the foregoing.