## PATENT ASSIGNMENT

# Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:		NEW ASSIGNMENT					
NATURE OF CONVEYANCE:		ASSIGNMENT					
CONVEYING PARTY DATA							
		Name	Execution Date				
Robert ELLENWOOD			03/26/2012				
Jens Martin PAULSEI	N		02/27/2012				
JaeRyoung LEE			03/07/2012				
RECEIVING PARTY D	ΑΤΑ						
Name:	UMICORE						
Street Address:	Rue du Marais	31					
City:	Brussels						
State/Country:	BELGIUM						
Postal Code:	B-1000						
PROPERTY NUMBER	S Total: 1						
Property Ty	<i>r</i> pe	Number	13303349				
Application Number: 13303		3303349	133				
CORRESPONDENCE	DATA						
Fax Number:	(919)998-{	5701	\$40.00				
Phone:	919.998.5		CH				
Email: Iglenn@brinkshofer.com							
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent							
<i>Via US Mall.</i> Correspondent Name:	via US Mail. Correspondent Name: Allyn B. Rhodes						
Address Line 1:	•	e Box 110285					
Address Line 4: RTP, NORTH CAROLINA 27709							
	RTP, NOR	RTH CAROLINA 27709					
ATTORNEY DOCKET		13810-78					
	NUMBER:						
ATTORNEY DOCKET	NUMBER:	13810-78					

source=Assigment#page2.tif source=Assigment#page3.tif source=Assigment#page4.tif source=Assigment#page5.tif source=Assigment#page6.tif

Docket No.: <u>13810-78</u> Application Number: <u>13/303,349</u> Page 1

0900-13-05-0

#### ASSIGNMENT

WHEREAS, I/we, <u>Robert ELLENWOOD</u>, Jens Martin PAULSEN, and JaeRyoung LEE, residing at <u>331 Cowan Crescent</u>, <u>Sherwood Park</u>, <u>Alberta T8H OE4</u>, <u>Canada</u>; <u>DunSanDong</u>, <u>MokRyeon Apt. 202-301</u>, <u>Daejeon</u>, <u>South Korea 302-771</u>; and <u>111-801 Isu Brown Stone</u>, <u>Baeksuk-dong seobuk gu</u>, <u>Cheonan-City Chungnam</u>, <u>South Korea 331-220</u>, respectively, have made certain new and useful inventions and improvements in:

High Density Cathode Materials for Secondary Batteries

set forth in:

(1)		Unite	ed States provisional patent applic	ation	
	(a)		bearing Application No.	, filed on	; or
	(b)		to be filed herewith;		
(2)	$\boxtimes$	Unite	ed States nonprovisional patent ap	plication	
	(a)		filed herewith; or		
	(b)	$\boxtimes$	bearing Application No. <u>13/303</u>	3,349, filed on <u>Novembe</u>	<u>r 23, 2011</u> ,
			and		
(3)		Inter	national Patent Application No.	, designating the Un	ited States
		and f	filed on ;		

AND WHEREAS, <u>UMICORE</u>, a corporation, having an office and place of business at <u>Rue du Marais 31, B-1000</u>, <u>Brussels</u>, <u>Belgium</u> (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, I/we have sold, assigned, set over, and transferred, and by these presents do sell, assign, set over and transfer unto said Assignee, its successors or assigns, the entire right, title and interest in and to said inventions and improvements, the right to file applications for all countries in and to all inventions and improvements disclosed in the aforesaid application, the entire right, title and interest in and to the said application, any and all other applications for Letters Patent on said inventions, continuation-in-part, and renewal applications based in whole, or in part, upon said inventions or improvements, or upon said applications, and all Letters Patent which may be granted therefrom,

and all reissues, reexaminations, or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom, including the unrestricted right to claim priority of the U.S. application identified above in item (1); and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, I do hereby agree that I and my executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, I covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

AND, I hereby authorize and request the attorneys of Brinks, Hofer, Gilson & Lione to insert in the spaces provided above the filing date, application number, and attorney's docket number of said application when known.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 26 day of March 2012

Robert ELLENWOOD

		Docket No.: <u>1</u> Application Number: <u>13/</u>	<u>303,349</u>
			Page 3
N TESTIMONY WHEREOF, I	have hereunto set my hand this	day of	· >
	Jens Martin PAULSEN		
N TESTIMONY WHEREOF, I	have hereunto set my hand this	day of	*
HGL-34794-8	JaeRyoung LEE		<u></u>

1

### PATENT REEL: 027970 FRAME: 0749

## ASSIGNMENT

WHEREAS, I/we, <u>Robert ELLENWOOD</u>, Jens Martin PAULSEN, and JaeRyoung LEE, residing at <u>331 Cowan Crescent</u>, Sherwood Park, Alberta T8H OE4, Canada; DunSanDong, <u>MokRyeon Apt. 202-301</u>, Daejeon, South Korea 302-771; and 111-801 Isu Brown Stone, <u>Baeksuk-dong seobuk gu</u>, <u>Cheonan-City Chungnam</u>, <u>South Korea 331-220</u>, respectively, have made certain new and useful inventions and improvements in:

High Density Cathode Materials for Secondary Batteries

set forth in:

(1)	United States provisional patent application	

- (a) bearing Application No. \_\_\_\_\_, filed on \_\_\_\_; or
- (b) to be filed herewith;
- (2) 🕅 United States nonprovisional patent application
  - (a) filed herewith; or
  - (b) Earing Application No. <u>13/303,349</u>, filed on <u>November 23, 2011</u>, and
- (3) International Patent Application No. \_\_\_\_, designating the United States and filed on \_\_\_\_\_;

AND WHEREAS, <u>UMICORE</u>, a corporation, having an office and place of business at <u>Rue du Marais 31, B-1000, Brussels, Belgium</u> (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, I/we have sold, assigned, set over, and transferred, and by these presents do sell, assign, set over and transfer unto said Assignee, its successors or assigns, the entire right, title and interest in and to said inventions and improvements, the right to file applications for all countries in and to all inventions and improvements disclosed in the aforesaid application, the entire right, title and interest in and to the said application, any and all other applications for Letters Patent on said inventions and improvements, including all provisional, nonprovisional, divisional, continuation, continuation-in-part, and renewal applications based in whole, or in part, upon said inventions or improvements, or upon said applications, and all Letters Patent which may be granted therefrom, and all reissues, reexaminations, or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom, including the unrestricted right to claim priority of the U.S. application identified above in item (1); and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, I do hereby agree that I and my executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, I covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

AND, I hereby authorize and request the attorneys of Brinks, Hofer, Gilson & Lione to insert in the spaces provided above the filing date, application number, and attorney's docket number of said application when known.

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_,

Robert ELLENWOOD

PATENT REEL: 027970 FRAME: 0751

Docket No.: <u>13810-78</u> Application Number: <u>13/303,349</u> Page 3 . IN TESTIMONY WHEREOF, I have hereunto set my hand this  $\frac{27}{2012}$  day of  $\frac{Feb \nu}{2012}$ . Jens Martin PAULSEN IN TESTIMONY WHEREOF, I have hereunto set my hand this 27 day of day of

JaeRyoung LEE

BHGL-34794-8