

## PATENT ASSIGNMENT

Electronic Version v1.1  
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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Luc Jaeger</td> <td>03/08/2012</td> </tr> <tr> <td>Isil Severcan</td> <td>03/07/2012</td> </tr> <tr> <td>Cody Geary</td> <td>03/08/2012</td> </tr> <tr> <td>Kirill Afonin</td> <td>04/02/2012</td> </tr> </tbody> </table>		Name	Execution Date	Luc Jaeger	03/08/2012	Isil Severcan	03/07/2012	Cody Geary	03/08/2012	Kirill Afonin	04/02/2012
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RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>The Regents of the University of California</td> </tr> <tr> <td>Street Address:</td> <td>1111 Franklin Street, 12th Floor</td> </tr> <tr> <td>City:</td> <td>Oakland</td> </tr> <tr> <td>State/Country:</td> <td>CALIFORNIA</td> </tr> <tr> <td>Postal Code:</td> <td>94607</td> </tr> </table>		Name:	The Regents of the University of California	Street Address:	1111 Franklin Street, 12th Floor	City:	Oakland	State/Country:	CALIFORNIA	Postal Code:	94607
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PROPERTY NUMBERS Total: 1											
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CORRESPONDENCE DATA											
<p>Fax Number: (617)227-4420          Phone: (617) 239-0100          Email: lmarcus@edwardswildman.com</p> <p><i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i></p> <p>Correspondent Name: OTT-NIH C/O EDWARDS WILDMAN PALMER LLP          Address Line 1: PO BOX 55874          Address Line 4: BOSTON, MASSACHUSETTS 02205</p>											
ATTORNEY DOCKET NUMBER:	84230(47992)										
NAME OF SUBMITTER:	Christine C. O'Day										
Total Attachments: 28											

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## ASSIGNMENT BY INVENTORS

**THIS ASSIGNMENT**, made this   8   day of   March  ,   2012  , by Luc Jaeger; Isil Severcan; Cody Geary; and Kirill Afonin (hereinafter referred to as Assignors), residing at 125 Willow Springs, Apt. 201, Goleta, California 93117, UNITED STATES OF AMERICA; 1365 Van Antwerp Road, Apt. B22, Niskayuna, New York, UNITED STATES OF AMERICA; 2015 Red Rose Way #D, Santa Barbara, California 93109, UNITED STATES OF AMERICA; and 1724 Carriage Way, Frederick, Maryland 21702, UNITED STATES OF AMERICA, respectively;

**WHEREAS**, Assignors have invented certain new and useful improvements in RNA NANOPARTICLES AND METHODS OF USE, set forth in an application having United States Serial Number 13/378,935, filed on December 16, 2011, which claims priority to International Patent Application No. PCT/US2010/038818, filed on June 16, 2010, which in turn claims the prior benefit of U.S. Provisional Application No. 61/187,495, filed on June 16, 2009; and

**WHEREAS**, The Regents of the University of California, having a principal place of business at 1111 Franklin Street, 12th Floor, Oakland, California 94607 (hereinafter referred to as Assignee), is desirous of acquiring the Assignors' entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

**NOW, THEREFORE**, in good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, their entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention

for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

**AND** for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, Assignors have good and full right and lawful authority to sell and convey their rights in the manner herein set forth.

**AND** for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

**AND** Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the use and benefit of Assignee, its successors, legal representatives and assigns.

**AND** Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order

to comply with the rules of the United States Patent and Trademark Office for  
recordation of this document:

EDWARDS WILDMAN PALMER LLP

All practitioners at Customer Number 46037

**AND** Assignors acknowledge an obligation of assignment of this invention to  
Assignee at the time the invention was made.



Luc Jaeger

Date: 3/08/2012

United States of America )  
State of \_\_\_\_\_ ) ss.:  
County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me  
personally came Luc Jaeger, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Isil Severcan

Date: \_\_\_\_\_

United States of America )  
State of \_\_\_\_\_ ) ss.:  
County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me  
personally came \_\_\_\_\_ Isil Severcan \_\_\_\_\_, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Cody Geary

Date: \_\_\_\_\_

United States of America                    )  
State of                                        ) ss.:  
County of                                    )

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me  
personally came \_\_\_\_\_ Cody Geary \_\_\_\_\_, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.

\_\_\_\_\_  
Notary Public



Date: \_\_\_\_\_

United States of America )  
State of \_\_\_\_\_) ss.:  
County of \_\_\_\_\_)

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally came \_\_\_\_\_ Kirill Afonin \_\_\_\_\_, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

## ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made this 7<sup>TH</sup> day of MARCH, 2012,  
by Luc Jaeger; Isil Severcan; Cody Geary; and Kirill Afonin (hereinafter referred to as  
Assignors), residing at 125 Willow Springs, Apt. 201, Goleta, California 93117,  
UNITED STATES OF AMERICA; 1365 Van Antwerp Road, Apt. B22, Niskayuna, New  
York, UNITED STATES OF AMERICA; 2015 Red Rose Way #D, Santa Barbara,  
California 93109, UNITED STATES OF AMERICA; and 1724 Carriage Way,  
Frederick, Maryland 21702, UNITED STATES OF AMERICA, respectively;

WHEREAS, Assignors have invented certain new and useful Improvements  
in RNA NANOPARTICLES AND METHODS OF USE, set forth in an application  
having United States Serial Number 13/378,935, filed on December 16, 2011, which  
claims priority to International Patent Application No. PCT/US2010/038818, filed on  
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WHEREAS, The Regents of the University of California, having a principal  
place of business at 1111 Franklin Street, 12th Floor, Oakland, California 94607  
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right, title and interest in and to said inventions and said Application for Letters Patent  
of the United States, and in and to any Letters Patent of the United States to be  
obtained therefore and thereon.

NOW, THEREFORE, in good and sufficient consideration, the receipt of  
which is hereby acknowledged, Assignors have sold, assigned, transferred and set  
over, and by these presents do sell, assign, transfer and set over, unto Assignee, its  
successors, legal representatives and assigns, their entire right, title and interest in  
and to the above-mentioned inventions and application for Letters Patent, and in and  
to any and all direct and indirect divisions, continuations and continuations-in-part of  
said application, and any and all Letters Patent in the United States and all foreign  
countries which may be granted therefor and thereon, and reissues, reexaminations  
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for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

**AND** for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, Assignors have good and full right and lawful authority to sell and convey their rights in the manner herein set forth.

**AND** for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

**AND** Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the use and benefit of Assignee, its successors, legal representatives and assigns.

**AND** Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order

to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

EDWARDS WILDMAN PALMER LLP

All practitioners at Customer Number 46037

**AND** Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.



I. Severcan

Isil Severcan

Date: 03/07/2012

United States of America )  
State of NEW YORK ) ss.:  
County of RENSSELAER )

On this 7<sup>TH</sup> day of MARCH, 2012, before me  
personally came Isil Severcan, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.

Notary Public

[Signature]

SCOTT A. SIEGEL  
Notary Public, State of New York  
No. 01SI6078376  
Qualified in Albany County  
Commission Expires 7/29/14

\_\_\_\_\_  
Cody Geary

Date: \_\_\_\_\_

United States of America                    )  
State of    ) ss.:  
County of                                        )

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me  
personally came \_\_\_\_\_ Cody Geary \_\_\_\_\_, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Kirill Afonin

Date: \_\_\_\_\_

United States of America                     )  
State of   ) ss.:  
County of   )

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me  
personally came \_\_\_\_\_ Kirill Afonin \_\_\_\_\_, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.

\_\_\_\_\_  
Notary Public



## ASSIGNMENT BY INVENTORS

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by Luc Jaeger; Isil Severcan, Cody Geary; and Kirill Afonin (hereinafter referred to as  
Assignors), residing at 125 Willow Springs, Apt. 201, Goleta, California 93117,  
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to any and all direct and indirect divisions, continuations and continuations-in-part of  
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**AND** Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee as Assignee of said inventions and the Letters Patent to be issued thereon, for the use and benefit of Assignee, its successors, legal representatives and assigns.

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EDWARDS WILDMAN PALMER LLP

All practitioners at Customer Number 46037

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Assignee at the time the invention was made.

\_\_\_\_\_  
Luc Jaeger

Date: \_\_\_\_\_

United States of America                     )  
State of   ) ss.:  
County of   )

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me  
personally came \_\_\_\_\_ Luc Jaeger \_\_\_\_\_, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.

\_\_\_\_\_  
Notary Public



  
Cody, Geary

Date: 3-8-2012

United States of America )  
State of California ) ss.:  
County of Santa Barbara )

On this 8<sup>th</sup> day of March, 2012, before me  
personally came Cody Geary, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Kirill Afonin

Date: \_\_\_\_\_

United States of America )  
State of \_\_\_\_\_ ) ss.:  
County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me  
personally came \_\_\_\_\_ Kirill Afonin \_\_\_\_\_, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.

\_\_\_\_\_  
Notary Public

## ASSIGNMENT BY INVENTORS

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for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

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**AND** Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order

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recordation of this document:

EDWARDS WILDMAN PALMER LLP

All practitioners at Customer Number 46037

**AND** Assignors acknowledge an obligation of assignment of this invention to  
Assignee at the time the invention was made.

\_\_\_\_\_  
Luc Jaeger

Date: \_\_\_\_\_

United States of America )  
State of \_\_\_\_\_ ) ss.:  
County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me  
personally came \_\_\_\_\_ Luc Jaeger \_\_\_\_\_, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Isil Severcan

Date: \_\_\_\_\_

United States of America )  
State of \_\_\_\_\_ ) ss.:  
County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me  
personally came \_\_\_\_\_ Isil Severcan \_\_\_\_\_, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.

\_\_\_\_\_  
Notary Public



Kirill Afonin

Kirill Afonin

Date: 04-02-2012

United States of America

State of Maryland ) ss.:

County of Frederick

On this 2nd day of April, 2012, before me personally came Kirill Afonin, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

My Commission expires:

6/12/2015