## 501879810 04/05/2012

# PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
Philip DORMITZER	03/13/2012
Michael FRANTI	03/15/2012
Peter MASON	03/13/2012
Pirada SUPHAPHIPHAT	03/13/2012

## **RECEIVING PARTY DATA**

Name:	Novartis Vaccines and Diagnostics, Inc.
Street Address:	4560 Horton Street
City:	Emeryville
State/Country:	CALIFORNIA
Postal Code:	94608

## PROPERTY NUMBERS Total: 3

Property Type	Number
Application Number:	13320902
Application Number:	61216919
PCT Number:	IB2010001332

# **CORRESPONDENCE DATA**

**Fax Number**: (510)655-3542

Email: diana-1.castillo@novartis.com

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

via US Mail.

Correspondent Name: Novartis Vaccines and Diagnostic, Inc.

Address Line 1: 4560 Horton Street
Address Line 2: IP Services M/S X-100B

Address Line 4: Emeryville, CALIFORNIA 94608-2916

ATTORNEY DOCKET NUMBER: PAT053621-US-PCT

PATENT

REEL: 027999 FRAME: 0147

\$120.00 1332090

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NAME OF SUBMITTER:	Helen Lee
Total Attachments: 6 source=PAT053621-US-PCT ASG Inc-Inv#  source=PAT053621-US-PCT ASG Inc-Inv#	page2.tif page3.tif page4.tif page5.tif

#### **ASSIGNMENT**

For good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, we

## Philip DORMITZER, Michael FRANTI, Peter MASON, and Pirada SUPHAPHIPHAT

do hereby sell, assign and transfer to **Novartis Vaccines and Diagnostics**, **Inc.**, a company organized under the laws of the State of Delaware, 4560 Horton Street, Emeryville, CA 94608, USA, (hereinafter referred to as the "**ASSIGNEE**") and its successors, assigns and legal representatives, all our right, title and interest for all countries of the world in and to (1) all of our inventions and discoveries described in the patent application(s) entitled

## REVERSE GENETICS USING NON-ENDOGENOUS POLIPROMOTER

filed\* in the RO/IB on May 21, 2010, and accorded International Patent Application Number PCT PCT/IB2010/001332 (2) said patent application(s), (3) all national stages of said international patent application, (4) all other patent applications in all countries and regions claiming the priority of the United States Of America, patent application filed on May 21, 2010 and accorded Application Number 13/320,902 United States Of America, patent application filed on May 21, 2009 and accorded Application Number 61/216,919 (5) all continuations and divisions of all of said patent applications (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(5) hereinafter referred to collectively as "patent applications"), (6) all patents that are granted on any of said patent applications, (7) all registrations and confirmations of, and importation certificates based on, one or more of said patents and (8) all reissues, renewals and extensions of said patents, reexamination certificates issued for said patents and supplementary protection certificates based on said patents (including the right to file patent applications on said inventions and discoveries in the names of ASSIGNEE, their designees or in any or all of our names, at their election and in accordance with applicable law in all countries and regions and all rights of priority in all countries and regions for all of said patent applications under the International Convention for the Protection of Industrial Property, and all other applicable similar international agreements), the same to be held and enjoyed by said ASSIGNEE, their successors, assigns and legal representatives, to the full ends of the terms for which all patents therefor may be granted, as fully and entirely as the same would have been held and enjoyed by us if this sale. assignment and transfer had not been made.

\*We hereby authorize **ASSIGNEE** and their representatives to insert in this instrument the Application Number and the filling date of said patent application when notified thereof.

And we hereby covenant and agree that we will, at any time, upon the request and at the expense of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates and supplementary protection certificates in ASSIGNEE, their successors, assigns or other legal representatives, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, that we will, at any time, upon the request and at the expense of ASSIGNEE, execute all additional patent applications, applications for registration and/or confirmation of one or more of said patents, applications for certificates of importation based on one or more of said patents, applications for reissues, renewals and extensions of one or more of said patents and supplemental protection certificates based upon one or more of said patents, and that we will make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE, the successors, assigns or other legal representatives.

This Assignment is effective as from the earlies	st priority date as stated above.
Executed this day of	2012.
Name: Phillip DORMITZER	
Name: Phillip DORMITZER	
ACKNOWLEDGEMENT	
State of <u>Alassachusetts</u> )	
State of	
On this day of	, 20, personally appeared before me ne known and known by me to be the same person described
in and who executed the foregoing Assignment	and acknowledged that (s)he executed the same of his (her)
own free will for the purpose set forth.	
(Seal)	
	Notary Public
Executed this day of,	2012.
Name: Michael FRANTI	_
want. monati may	
WITNESS #1 Signature	<del>-</del>
WITNESS #1 Printed or Typed Name	-
WITNESS #2 Signature	-
WITNESS #2 Printed or Typed Name	-

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Executed this	_, 2012. 	TY SAY OF THE PROPERTY OF THE
On this day of to in and who executed the foregoing Assignment own free will for the purpose set forth.	me known and known	own by me to be the same person described
(Seal)		Wotery Public
Executed this 13 day of March	, 2012.	
Name: Pirada SUPHAPHIPHAT	<del>-</del>	A SA
ACKNOWLEDGEMENT		
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# REVERSE GENETICS USING NON-ENDOGENOUS POLI PROMOTER

filed\* in the RO/IB on May 21, 2010, and accorded International Patent Application Number PCT PCT/IB2010/001332 (2) said patent application(s), (3) all national stages of said international patent application, (4) all other patent applications in all countries and regions claiming the priority of the United States Of America, patent application filed on May 21, 2010 and accorded Application Number 13/320,902 United States Of America, patent application filed on May 21, 2009 and accorded Application Number 61/216,919 (5) all continuations and divisions of all of said patent applications (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(5) hereinafter referred to collectively as "patent applications"), (6) all patents that are granted on any of said patent applications, (7) all registrations and confirmations of, and importation certificates based on, one or more of said patents and (8) all reissues, renewals and extensions of said patents, reexamination certificates issued for said patents and supplementary protection certificates based on said patents (including the right to file patent applications on said inventions and discoveries in the names of ASSIGNEE, their designees or in any or all of our names, at their election and in accordance with applicable law in all countries and regions and all rights of priority in all countries and regions for all of said patent applications under the International Convention for the Protection of Industrial Property, and all other applicable similar international agreements), the same to be held and enjoyed by said ASSIGNEE, their successors, assigns and legal representatives, to the full ends of the terms for which all patents therefor may be granted, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made.

\*We hereby authorize **ASSIGNEE** and their representatives to insert in this instrument the Application Number and the filing date of said patent application when notified thereof.

And we hereby covenant and agree that we will, at any time, upon the request and at the expense of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates and supplementary protection certificates in ASSIGNEE, their successors, assigns or other legal representatives, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, that we will, at any time, upon the request and at the expense of ASSIGNEE, execute all additional patent applications, applications for registration and/or confirmation of one or more of said patents, applications for certificates of importation based on one or more of said patents, applications for reissues, renewals and extensions of one or more of said patents and supplemental protection certificates based upon one or more of said patents, and that we will make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE, the successors, assigns or other legal representatives.

This Assignment is effective	as from the earlies	st priority date as stated above.
Executed this day of		2012.
Name: Phillip DORMITZER	<u> </u>	<del>-</del>
ACKNOWLEDGEMENT		
State of <u>Webec</u>	. )	
County of <u>Cayada</u>	) s.s.: )	
On this 15th day of _	<u>√√my</u> to n	, 20  personally appeared before me me known and known by me to be the same person described
in a hour of eacuted the fore bown free will to the purpose	egoing Assignment set forth.	t and acknowledged that (s)he executed the same of his (her)
		Notary Public
Executed this day of _	lah.	2012.
Name: Michael FRANTI	annan an a	_
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Min Safalor WifNESS#2 Signature		_
MARIE- KRISTINE WITNESS #2 Printed or Ty	LACERSE Ded Name	_

Executed this day of		_, 2012.	
Name: Peter MASON		<del></del>	
ACKNOWLEDGEMENT			
State of	_ ) ) s.s.:		
County of	. )		
On this day of _	to	, 20, 20	, personally appeared before me own by me to be the same person described
in and who executed the for own free will for the purpose	egoing Assignme	nt and acknowledge	ed that (s)he executed the same of his (her)
(Seal)			
		~	Notary Public
Executed this day of		2012	
		_,	
Name: Pirada SUPHAPHII	PHAT		
ACKNOWLEDGEMENT			
State of			
County of	) s.s.: )		
On this day of _	to	, 20,	, personally appeared before me wn by me to be the same person described
in and who executed the fore	egoing Assignmer	nt and acknowledge	ed that (s)he executed the same of his (her)
(Seal)			
			Notary Public

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PATENT REEL: 027999 FRAME: 0154

**RECORDED: 04/05/2012** 

Case No. PAT053621-US-PCT