

PATENT ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Simon Wannasin Bell</td> <td>06/12/2011</td> </tr> <tr> <td>Frank Pak-Ho Siu</td> <td>02/06/2012</td> </tr> </tbody> </table>		Name	Execution Date	Simon Wannasin Bell	06/12/2011	Frank Pak-Ho Siu	02/06/2012				
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Simon Wannasin Bell	06/12/2011										
Frank Pak-Ho Siu	02/06/2012										
RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>Axioma, Inc.</td> </tr> <tr> <td>Street Address:</td> <td>17 State Street, Suite 800</td> </tr> <tr> <td>City:</td> <td>New York</td> </tr> <tr> <td>State/Country:</td> <td>NEW YORK</td> </tr> <tr> <td>Postal Code:</td> <td>10004</td> </tr> </table>		Name:	Axioma, Inc.	Street Address:	17 State Street, Suite 800	City:	New York	State/Country:	NEW YORK	Postal Code:	10004
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Application Number:	13503698										
CORRESPONDENCE DATA											
<p>Fax Number: (919)806-1690 Phone: 919-806-1600 Email: laspataro@usiplawgroup.com <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i></p> <p>Correspondent Name: LAW OFFICES OF PETER H. PRIEST, PLLC Address Line 1: 5015 SOUTHPARK DRIVE Address Line 2: SUITE 230 Address Line 4: DURHAM, NORTH CAROLINA 27713-7736</p>											
ATTORNEY DOCKET NUMBER:	166.0006										
NAME OF SUBMITTER:	Peter H. Priest										
<p>Total Attachments: 6 source=166_0006_Assignment#page1.tif</p>											

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ASSIGNMENT AND AGREEMENT

For value received, we, Simon Wannasin Bell of Bangkok, Thailand and Frank Pak-Ho Siu of Hong Kong, China, hereby sell, assign and transfer to Axioma, Inc., a corporation of the State of Delaware, having an office at 17 State Street, Suite 800, New York, NY, and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Methods and Apparatus for Improving Factor Risk Model Responsiveness** which is being filed herewith and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Axioma, Inc., the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Axioma, Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Axioma, Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Axioma, Inc. in the United States and in all countries foreign to the United States, or to such nominees as Axioma, Inc. may designate.

We agree that, when requested, we shall, without charge to Axioma, Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

166.0006

Subell

Simon Wannasin Bell

Date: 6/12/2011

166.0006

Frank Pak-Ho Siu

Date: _____

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Assignment and Agreement
for "Methods and Apparatus for Improving Factor Risk Model Responsiveness"
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Simon Wannasin Bell

Date: _____

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Frank Pak-Ho Siu

Date: FEB 6 2012