501902382 04/27/2012

PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date	
Oak Ridge Associated Universities	04/25/2012	

RECEIVING PARTY DATA

Name:	UT-Battelle, LLC	
Street Address:	One Bethel Valley Road	
Internal Address:	4500N, MS-6258	
City:	Oak Ridge	
State/Country:	TENNESSEE	
Postal Code:	37831-6258	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13396413

CORRESPONDENCE DATA

 Fax Number:
 (865)574-0381

 Phone:
 (865) 574-4179

 Email:
 vanceke@ornl.gov

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US

Mail.

Correspondent Name: Colin L. Cini

Address Line 1: One Bethel Valley Road Address Line 2: 4500N, MS-6258

Address Line 4: Oak Ridge, TENNESSEE 37831-6258

ATTORNEY DOCKET NUMBER:	2593.0 ORAU TO UTB
NAME OF SUBMITTER:	Karen E. Vance

Total Attachments: 4

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> PATENT REEL: 028115 FRAME: 0989

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Form **PTO-1595** (Rev. 07/05) OMB No. 0651-0027 (exp. 6/30/2008)

RECORDATION FORM COVER SHEET PATENTS ONLY				
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.				
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)			
Oak Ridge Associated Universities	Name: UT-Battelle, LLC			
'	Internal Address: 4500N, MS-6258			
Additional name(s) of conveying party(ies) attached? Yes V No	4			
3. Nature of conveyance/Execution Date(s):	Street Address: One Bethel Valley Road			
Execution Date(s) 04/25/2012 Assignment Merger	Í !			
	City: Oak Ridge			
Security Agreement Change of Name				
Joint Research Agreement	State: TN			
Government Interest Assignment	Country: USA Zip:37831-6258			
Executive Order 9424, Confirmatory License				
Other	Additional name(s) & address(es) attached? Yes No			
4. Application or patent number(s): A. Patent Application No.(s)	document is being filed together with a new application. B. Patent No.(s)			
13/396,413				
10/000,410	!			
Additional numbers att	tached? Yes No			
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1			
Name:Colin L. Cini	7. Total fee (37 CFR 1.21(h) & 3.41) \$_40.00			
Internal Address: <u>UT-Battelle, LLC</u>	Authorized to be charged by credit card			
	Authorized to be charged to deposit account			
Street Address: One Bethel Valley Road	Enclosed			
4500N, MS-6258	None required (government interest not affecting title)			
City: Oak Ridge	8. Payment Information			
State: TN Zip:37831-6258	a. Credit Card Last 4 Numbers			
Phone Number: (865) 574-4179	Expiration Date			
	b. Deposit Account Number <u>13-1958</u>			
Fax Number: (865) 574-0381	Authorized User Name Joseph A. Marasco			
Email Address: cinicl@ornl.gov				
9. Signature: /Colin L. Cini/	04/27/2012			
Signature	Date			
Colin L. Cini, Reg. No. 51,563 Name of Person Signing	Total number of pages including cover sheet, attachments, and documents:			

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

REEL: 028115 FRAME: 0990

ASSIGNMENT AGREEMENT BETWEEN UT-BATTELLE, LLC AND ORAU

THIS AGREEMENT is by and between UT-Battelle, LLC a limited liability company organized and existing under the laws of the State of Tennessee (hereinafter "UT-BATTELLE") in accordance with its prime contract No. DE-AC05-00OR22725 (hereinafter "UT-Battelle's Prime Contract") with the U.S. Department of Energy (hereinafter DOE) and Oak Ridge Associated Universities (hereinafter "ORAU"), the not-for-profit operating contractor of the DOE's Oak Ridge Institute for Science and Education (hereinafter "ORISE") under its prime contract No. DE-AC05-06OR23100 (hereinafter "ORAU's Prime Contract") with the DOE, both being hereinafter jointly referred to as the "Parties" and singularly as a "Party."

WHEREAS:

- A. The Parties have developed technology identified in the invention disclosures, patent applications, and/or patents listed in Exhibit A (hereinafter Proprietary Rights); and
- **B.** The Parties desire that ORAU assign its undivided right, title, and interest to the Proprietary Rights to UT-BATTELLE to place ownership of all Proprietary Rights in a single Party, thereby promoting effective and successful commercialization of Proprietary Rights.

NOW THEREFORE THE PARTIES AGREE AS FOLLOWS:

- 1. In consideration of good and valuable consideration—including, but not limited to the promises contained in the Memorandum of Agreement between UT-BATTELLE and ORAU executed September 20, 2001—the receipt and sufficiency of such consideration being hereby acknowledged, ORAU by these presents does hereby sell, assign, transfer and set over unto UT-BATTELLE, its successors, legal representatives and assigns, its entire right, title, and interest (if any) in, to, and under the Proprietary Rights.
- 2. UT-BATTELLE shall share with ORAU portions of royalties UT-BATTELLE receives from licensees, if any, of the Proprietary Rights in accordance with the aforesaid Memorandum of Agreement.
- 3. UT-BATTELLE shall obligate any licensees of the Proprietary Rights to indemnify ORAU for any liability arising from the making, using, importing or selling of a product, process or service by or on behalf of the Licensee, its assignees or licensees which was derived from the Proprietary Rights, except for any negligent acts or omissions of ORAU.
- 4. UT-BATTELLE shall obligate any licensees of the Proprietary Rights as listed in Exhibit A to comply with all U. S. export control laws and regulations applicable to the export of the Proprietary Rights.
- 5. ORAU makes no warranties or representations of any kind regarding the Proprietary Rights.
- 6. The effective date of this agreement is April 20, 2012 and it shall run to and terminate upon the end of the life of the last-to-expire Proprietary Rights of Exhibit A.

v. 02/27/2003 Lof 3

PATENT REEL: 028115 FRAME: 0991 Date:

In witness whereof the Parties have executed this agreement below:

By: Judson R. Hightower

Title: IP Managing Attorney

Date: 4/23/20/2

OAK RIDGE ASSOCIATED UNIVERSITIES

By: Rachel Lokitz

Title: Associate General Counsel

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PATENT REEL: 028115 FRAME: 0992

UT-B Docket No. 2593.0

EXHIBIT A, PROPRIETARY RIGHTS

UT-B		Contract	Inventors		
Docket No.	Title (Patent Appl. No., Patent No.)	Type & No.	UT-Battelle	ORAU	Others
2593.0	Reversal Bending Fatigue Testing	DE-AC05-00OR22725,	Jy-An John Wang	Ting Tan	
		DE-AC05-06ORR3100	Hong Wang		

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RECORDED: 04/27/2012

PATENT REEL: 028115 FRAME: 0993