

Form PTO-1595 (Rev. 03-11)  
OMB No. 0651-0027 (exp. 04/30/2015)

U.S. DEPARTMENT OF COMMERCE  
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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Yan Zhou  
William Shea  
Phil Baker

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) 4/25/2012

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: Clarity Medical Systems, Inc.

Internal Address: \_\_\_\_\_

Street Address: 5775 W. Las Positas, Suite 200

City: Pleasanton

State: CA

Country: US Zip 94588-4084

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

13/459,914

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Charles Krueger

Internal Address: \_\_\_\_\_

Street Address: P.O. Box

City: Walnut Creek

State: CA Zip 94596

Phone Number: 925.974.3312

Docket Number: 010-2-1-2-4

Email Address: ckrueger@shcglobal.net

### 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ \_\_\_\_\_

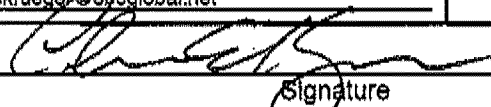
- ☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

### 9. Signature:



Signature

Charles E. Krueger

Name of Person Signing

4/30/2012  
Date

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (877) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1460, Alexandria, V.A. 22313-1460

OP \$40.00 13459914

Attorney Docket No. 010-2-1-2-4

## ASSIGNMENT OF PATENT APPLICATION

WHEREAS, YAN ZHOU, a resident of Pleasanton, California, WILLIAM SHEA, a resident of Pleasanton, California, and PHIL BAKER, a resident of Walnut Grove, California, hereinafter referred to as "Assignors," are inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: OPTHALMIC WAVEFRONT SENSOR OPERATING IN PARALLEL SAMPLING AND LOCK-IN DETECTION MODE

Date of execution of Declaration: 4/25/2012

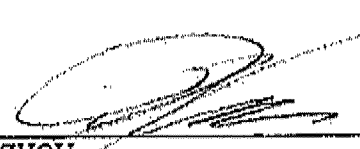
Filing date: 4/30/2012 Application No.: 13/459,914; and

WHEREAS, CLARITY MEDICAL SYSTEMS, INC., located at Pleasanton, California, hereinafter referred to as "Assignee," is desirous of acquiring Assignors' interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all their right title and interest in and to the said invention and application, and in and to any Letters Patent which may hereafter be granted on the same in the United States and other countries, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the date(s) indicated.

  
YAN ZHOU

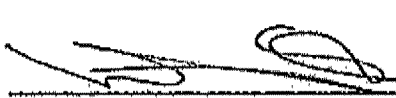
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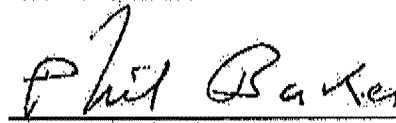
Apr. 25, 2012

ASSIGNMENT OF PATENT APPLICATION

Attorney Docket No. 010-2-1-2-4

Title: OPHTHALMIC WAVEFRONT SENSOR OPERATING IN  
PARALLEL SAMPLING AND LOCK-IN DETECTION MODE

  
\_\_\_\_\_  
WILLIAM SHEA Date 4/24/12

  
\_\_\_\_\_  
PHIL BAKER Date April 24th 2012