

FOR

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ORDINATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office**PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Isabelle PRETRE, Samuel LEUENBERGER, Jens RICHTER, Urs HULLIGERAdditional name(s) of conveying parties attached? ☐ Yes x No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution dates: March 23, 2012; March 23, 2012; March 23, 2012; April 11, 2012

2. Name and address of receiving party(ies)

Name: **SYNTHES GmbH**

Street Address:

**Eimattstrasse 3,
Oberdorf, CH-4436
Switzerland**

4. Application numbers or patent numbers:

A. Patent Applications: 13/426,103 filed on March 21, 2012

B. Patent No.(s)

Additional Numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Oleg F. Kaplun, Esq.
Internal Address: Fay Kaplun & Marcin, LLPStreet Address: 150 Broadway, Suite 702
City: New York State: New York ZIP: 100386. Total number of applications and patents involved: 1
TITLE: **Articulating Cranial Bolt**

7. Total fee (37 C.F.R. 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account :

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Oleg F. Kaplun, (Reg. No. 45,559)

Name of Person Signing

Signature

Date

May 2, 2012

Total Number of pages including cover sheet, attachments, and document: 3

OMB No. 0651-0011 (exp. 4/94)

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Do not detach this portion
Mail documents to be recorded with required cover sheet information to:

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PATENT**REEL: 028203 FRAME: 0995**

ASSIGNMENT

WHEREAS, We, (i) **Isabelle PRETRE**, citizen of Switzerland, residing at Eimattstrasse 3, 4436 Oberdorf, Switzerland; (ii) **Samuel LEUENBERGER**, citizen of Switzerland, residing at Eimattstrasse 3, 4436 Oberdorf, Switzerland; (iii) **Jens RICHTER**, citizen of Germany, residing at Eimattstrasse 3, 4436 Oberdorf, Switzerland; and (iv) **Urs HULLIGER**, citizen of Switzerland, residing at Eimattstrasse 3, 4436 Oberdorf, Switzerland; ASSIGNORS, are the inventors of the invention disclosed, described and/or claimed in United States Patent Application No. 13/426,103, which was filed with the United States Patent and Trademark Office on March 21, 2012, entitled **Articulating Cranial Bolt**, which is identified by Fay Kaplun & Marcin, LLP Attorney Docket No. 10139/26503 (C01577US1) and which relates to the application which was filed on March 21, 2012, as a PCT Application No. PCT/US2012/029944, entitled **Articulating Cranial Bolt**, which is identified by Fay Kaplun & Marcin, LLP Attorney Docket No. 10139/26504 (C01577PCT) and all inventions described, disclosed or claimed therein (hereinafter "the Invention"), and where both above-mentioned applications claim priority to U. S. Provisional Patent Application Serial No. 61/487,946 filed on May 19, 2011 and U. S. Provisional Patent Application Serial No. 61/523,648 filed on August 15, 2011.

AND WHEREAS, **Synthes GmbH**, ASSIGNEE, a Swiss Company, organized under the laws of Switzerland and having a place of business at Eimattstrasse 3, Oberdorf, Switzerland CH-4436, is desirous of obtaining our entire right, title and interest in, to and under all the invention(s) as described, disclosed and/or claimed in the above-identified application:

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the Invention, and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for the Invention in any country, together with the right to file such applications and the right to claim for the same the priority rights derived from the application under the International Convention for the Protection of Industrial Property, the Patent Laws of the United States or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for the Invention in any country and all extensions, renewals and reissues thereof; including all applications for industrial property, all divisions, renewals, continuations-in-part, and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof and all countries throughout the world. This assignment is effective as of the filing date of the provisional patent application listed above.

AND WE HEREBY authorize and request said ASSIGNEE, its successors, legal representatives, or assigns to insert hereinafter when known the application number and filing date of any international, regional, or national application already filed or filed hereafter for the Invention.

Country: _____ Application No. _____ Filing Date _____


AND WE HEREBY authorize and request the Commissioner for Patents and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting the Invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for the Invention in all countries.

IN TESTIMONY WHEREOF, We have hereunto signed our names on the date(s) indicated opposite our respective signatures.


Date: 23.03.2012

 L.S.
(Isabelle PRETRE)

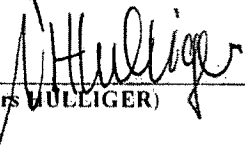
Date: 23.03.2012

 L.S.
(Samuel LEUENBERGER)

Date: 23.03.2012

 L.S.
(Jens RICHTER)

Date: 11. April 2012

 L.S.
(Urs MULLIGER)

ASSIGNEE: **Synthes GmbH**

Authorized to sign on behalf of Synthes GmbH

Signature:

SIMON A. BATES

Position/Title:

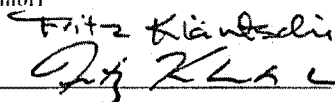
Patent Counsel

Address:

Eimattstrasse 3
CH-4436 Oberdorf
Switzerland

Date:

27/4/12



27.04.2012