

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Veeraraghavan S. Basker</td> <td>05/03/2012</td> </tr> <tr> <td>Wilfried E. Haensch</td> <td>05/14/2012</td> </tr> <tr> <td>Effendi Leobandung</td> <td>05/15/2012</td> </tr> <tr> <td>Tenko Yamashita</td> <td>05/03/2012</td> </tr> <tr> <td>Chun-Chen Yeh</td> <td>05/03/2012</td> </tr> </tbody> </table>		Name	Execution Date	Veeraraghavan S. Basker	05/03/2012	Wilfried E. Haensch	05/14/2012	Effendi Leobandung	05/15/2012	Tenko Yamashita	05/03/2012	Chun-Chen Yeh	05/03/2012
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<table border="1"> <tr> <td>Name:</td> <td>INTERNATIONAL BUSINESS MACHINES CORPORATION</td> </tr> <tr> <td>Street Address:</td> <td>New Orchard Road</td> </tr> <tr> <td>City:</td> <td>Armonk</td> </tr> <tr> <td>State/Country:</td> <td>NEW YORK</td> </tr> <tr> <td>Postal Code:</td> <td>10504</td> </tr> </table>		Name:	INTERNATIONAL BUSINESS MACHINES CORPORATION	Street Address:	New Orchard Road	City:	Armonk	State/Country:	NEW YORK	Postal Code:	10504		
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CORRESPONDENCE DATA													
Fax Number: (631)844-0081 Phone: 6318440080 Email: allison@tb-iplaw.com <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i> Correspondent Name: TUTUNJIAN & BITETTO, P.C. Address Line 1: 425 Broadhollow Road, Suite 302 Address Line 4: Melville, NEW YORK 11747													
ATTORNEY DOCKET NUMBER:	YOR920120215US1 (163-500)												
NAME OF SUBMITTER:	James J. Bitetto												

CH \$40.00 13475485

Total Attachments: 6

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ASSIGNMENT

Whereas, the undersigned Inventor(s) has/have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") in:

Title of the Invention: **RETROGRADE SUBSTRATE FOR DEEP TRENCH CAPACITORS**

and further identified by the IBM Docket Number provided above in the header of this Assignment, for which an application for a United States Patent was executed concurrently herewith or was filed having:

Serial Number: 13/475,485 (insert series code and serial number here if/when available)

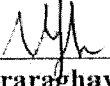
Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and each undersigned Inventor desires to grant to IBM, the entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned Inventor ("ASSIGNOR") hereby sells, assigns, and otherwise transfers to IBM (the "ASSIGNEE"), its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned Inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned Inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal representatives, or assigns.

Each undersigned Inventor acknowledges their prior and ongoing obligations to sell, assign, and transfer the rights under this Assignment to IBM and is unaware of any reason why they may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith. Each undersigned Inventor also hereby grants IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent application Serial Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

[Inventor Signature Pages Follow]

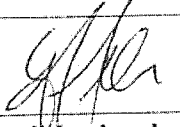
Executed by Inventor 1 of 5

Signature:  Date: 5/3/2012
(Veeraraghavan S. Basker)

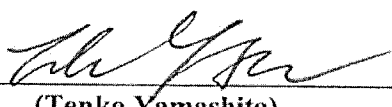
Executed by Inventor 2 of 5

Signature: Wilfried E. Haensch Date: 05-14-2012
(Wilfried E. Haensch)

Executed by Inventor 3 of 5

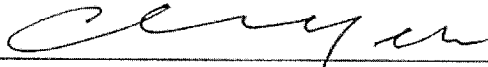
Signature:  Date: 5/15/12
(Effendi Leobandung)

Executed by Inventor 4 of 5

Signature:  Date: 5/3/2012
(Tenko Yamashita)

Executed by Inventor 5 of 5

Signature: _____



(Chun-Chen Yeh)

Date: _____

5/3/2012