

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Hideki YAGI	05/17/2012
RECEIVING PARTY DATA	
Name:	SUMITOMO ELECTRIC INDUSTRIES, LTD.
Street Address:	5-33, Kitahama 4-chome, Chuo-ku
City:	Osaka-shi, Osaka
State/Country:	JAPAN
Postal Code:	541-0041
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13478280
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ATTORNEY DOCKET NUMBER:	033035 M 386
NAME OF SUBMITTER:	Ayisha M. Roberts
Total Attachments: 2 source=033035M386_Assignment#page1.tif source=033035M386_Assignment#page2.tif	

OP \$40.00 13478280

ASSIGNMENT

This assignment agreement is applicable to an invention entitled (Invention Title) _____
METHOD FOR MANUFACTURING SEMICONDUCTOR OPTICAL MODULATOR AND SEMICONDUCTOR OPTICAL
MODULATOR

The PATENT RIGHTS referred to in this agreement are:

- (check one) ☒ a patent application for this invention, executed by the ASSIGNOR(S) concurrently with this assignment.
☐ U.S. patent application Serial No. _____, filed on _____.
☐ a U.S. patent application based on PCT International Application No. _____ filed on (date) _____ (U.S. patent application Serial No. _____, if known).
☐ U.S. patent No. _____, issued _____.

The PATENT RIGHTS also include all divisions, reissues, continuations and extensions of the patents and patent applications identified above.

The PATENT RIGHTS assigned under this agreement are:

- (check one) ☒ U.S. patent rights only.
☐ Worldwide patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. or foreign patent application for this invention.

The ASSIGNOR(S) referred to in this agreement is (or are) the inventor(s) whose signatures appear on page 2 of this Assignment and any Supplemental Sheet(s).

The ASSIGNEE referred to in this agreement is:

(Name of Assignee) SUMITOMO ELECTRIC INDUSTRIES, LTD.
(Address) 5-33, Kitahama 4-chome, Chuo-ku, Osaka-shi, Osaka 541-0041, JAPAN

The ASSIGNEE is:

- (check one) ☐ An Individual.
☐ A Partnership
☒ A Corporation of JAPAN (specify state or country)
☐ (other)

The ASSIGNOR(S), in consideration of \$10.00 paid by the ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, hereby assign(s) the following rights to the ASSIGNEE, its successors and assigns:

the full and exclusive right to the invention;
the entire right, title and interest in and to the PATENT RIGHTS;
the right to sue and recover for any past infringement; and,
the right to claim priority under 35 USC 119, 35 USC 120, or any other applicable provisions, based on any earlier patent applications for this invention.

THIS IS PAGE 2 OF AN ASSIGNMENT FROM THE INVENTOR(S) TO

ASSIGNEE: SUMITOMO ELECTRIC INDUSTRIES, LTD.

INVENTION TITLE: METHOD FOR MANUFACTURING SEMICONDUCTOR OPTICAL
MODULATOR AND SEMICONDUCTOR OPTICAL MODULATOR

As to all U.S. patent applications assigned under this agreement, the ASSIGNOR(S) hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE as the assignee of the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE, its successors and assigns.

Further, the ASSIGNOR(S) agree(s) to communicate to said ASSIGNEE, or its representatives, any facts known to the ASSIGNOR(S) respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE, make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention.

The ASSIGNORS authorize any attorneys and agents who have a power of attorney in this application to check any appropriate boxes and to insert the Serial Number and filing date in this document after it has been executed.

Hideki YAGI

Name of sole or first inventor

Hideki YAGI

Signature

May 17, 2012

Date