

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Derk J. HOGENKAMP</td> <td>11/17/2011</td> </tr> <tr> <td>Edward R. WHITTEMORE</td> <td>11/15/2011</td> </tr> </tbody> </table>		Name	Execution Date	Derk J. HOGENKAMP	11/17/2011	Edward R. WHITTEMORE	11/15/2011				
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RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>The Regents of The University of California</td> </tr> <tr> <td>Street Address:</td> <td>1111 Franklin Street, 5th Floor</td> </tr> <tr> <td>City:</td> <td>Oakland</td> </tr> <tr> <td>State/Country:</td> <td>CALIFORNIA</td> </tr> <tr> <td>Postal Code:</td> <td>94607-5200</td> </tr> </table>		Name:	The Regents of The University of California	Street Address:	1111 Franklin Street, 5th Floor	City:	Oakland	State/Country:	CALIFORNIA	Postal Code:	94607-5200
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PROPERTY NUMBERS Total: 1											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>11726289</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	11726289						
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CORRESPONDENCE DATA											
<p>Fax Number: (202)842-7899</p> <p>Phone: 202-842-7800</p> <p>Email: cphillipnouchet@cooley.com, zpatdcdocting@cooley.com</p> <p><i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i></p> <p>Correspondent Name: Cooley LLP</p> <p>Address Line 1: 777 6th Street, NW</p> <p>Address Line 4: Washington, DISTRICT OF COLUMBIA 20001</p>											
ATTORNEY DOCKET NUMBER:	UCIX-006/01US 310654-2061										
NAME OF SUBMITTER:	Yong Lu										
<p>Total Attachments: 5</p> <p>source=UCIX_006_01US_Assignment#page1.tif</p> <p>source=UCIX_006_01US_Assignment#page2.tif</p> <p>source=UCIX_006_01US_Assignment#page3.tif</p> <p>source=UCIX_006_01US_Assignment#page4.tif</p> <p>source=UCIX_006_01US_Assignment#page5.tif</p>											

CH \$40.00 11726289

ASSIGNMENT

Derk J. HOGENKAMP and **Edward R. WHITTEMORE** (each referred to as "Assignor") have made an invention(s) (the "Invention(s)") set forth in applications for patent, which are:

1. ☒ provisional application
 - (a) ☐ to be filed herewith; or
 - (b) ☒ bearing U.S. Application No. **60/784,513**, and filed on **March 20, 2006** and entitled "**ENANTIOMERICALLY PURE (-)-ETIFOXINE, PHARMACEUTICAL COMPOSITIONS THEREOF AND METHODS OF THEIR USE**"
2. ☒ non-provisional application
 - (a) ☐ to be filed herewith; or
 - (b) ☒ bearing U.S. Application No. **11/726,289**, and filed on **March 20, 2007** and entitled "**ENANTIOMERICALLY PURE S-ETIFOXINE, PHARMACEUTICAL COMPOSITIONS THEREOF AND METHODS OF THEIR USE**"
3. ☒ PCT Application
 - (a) ☒ bearing International Application No. **PCT/US2007/006959**, and filed on **March 20, 2007** and entitled "**ENANTIOMERICALLY PURE S-ETIFOXINE, PHARMACEUTICAL COMPOSITIONS THEREOF AND METHODS OF THEIR USE**".

WHEREAS, THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a corporation duly organized and having its principal place of business at 1111 Franklin Street, 5th Floor, Oakland, CA 94607 (the "Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention; the application for patent identified in paragraph (1) or (2); the right to file applications for patent of the United States or other countries on the Invention; any application for patent of the United States or other countries claiming priority to these application; any provisional or other right to recover damages, including royalties, for prior infringements of these applications; and any patent of the United States or other countries that may be granted therefor or thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee then in confirmation of any obligation to do so in said prior agreement, the Assignor has sold, assigned, transferred, and set over, and by

these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the Assignor's entire right, title, and interest in:

- (a) the Invention(s);
- (b) the applications for patent identified in paragraph (1) or (2);
- (c) the right to file applications for patent of the United States or other countries on the Invention(s), including all rights under the Paris Convention for the Protection of Industrial Property and under the Patent Cooperation Treaty;
- (d) any application(s) for patent of the United States or other countries claiming the Invention(s);
- (e) any application(s) for patent of the United States or other countries claiming priority to the application for patent identified in paragraph (1) or (2) or any application(s) for patent claiming the Invention(s), including any division(s), continuation(s), and continuation(s)-in-part; and
- (f) any provisional or other right to recover damages, including royalties, for prior infringements of any application for patent identified in the preceding paragraphs (b)-(e); and
- (g) any patent(s) of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b) – (e), including any reissue(s) and extension(s) of said patent(s).

The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made.

The Assignor hereby represents to the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, or if applicable, at such time said prior agreement was executed, the Assignor is a lawful owner of an undivided interest in the entire right, title, and interest in and to the Invention(s), that the Invention(s) are unencumbered, except, if applicable, by obligation to assign in accordance with said prior agreement, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth herein.

The Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that the Assignor will sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done in connection with any and all proceedings for the procurement, maintenance, enforcement and defense of the Invention(s), said applications, and said patents, including interference proceedings, without charge to the Assignor, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns.

The Assignor hereby authorizes and requests the attorneys of COOLEY LLP to insert in the spaces provided above the filing date, the application number, and the attorney docket number of the application identified in paragraph (1) or (2) when known.

The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date: Nov. 17 2011

By: Derk J. Hogenkamp
Derk J. HOGENKAMP

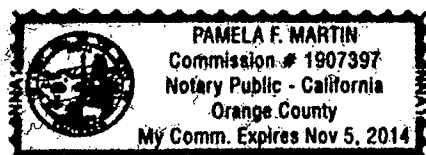
State of _____)
County of _____) ss.
On _____, before me, _____,
Notary Public, personally appeared _____,
personally known to me or proved to me on the basis of satisfactory evidence, to be the
person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to
me that he/she/they executed the same in his/her/their authorized capacity(ies), and that
by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of
which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature of Notary Public

Place Notary Seal Above

My Commission Expires: _____



State of California
County of Orange
On Nov 17, 2011 before me, PAMELA F. MARTIN, NOTARY
personally appeared DERK J. HOGENKAMP
who proved to me on the basis of satisfactory evidence to be the
person(s) whose name(s) is/are subscribed to the within
Instrument and acknowledged to me that he/she/they executed the
same in his/her/their authorized capacity(ies), and that by
his/her/their signature(s) on the instrument the person(s), or
the entity upon behalf of which the person(s) acted, executed the
Instrument.
I certify under PENALTY OF PERJURY under the laws of the State of
California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.
Signature of Notary Pamela F. Martin
(Notary Seal)

Date: 15 NOV. 2011

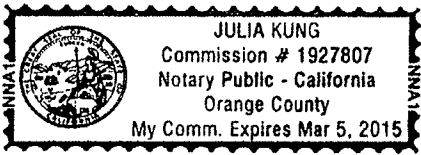
By: Edw R. White
Edward R. WHITEMORE

State of california,
County of Orange) ss.
On 11/15/2011, before me, Julia Kung, Notary
Public, personally appeared Edward R. Whittemore, personally known
to me or proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s)
is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the
same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the
instrument.

WITNESS my hand and official seal.

Julia Kung
Signature of Notary Public

My Commission Expires: 03/05/2015



Place Notary Seal Above