

Form PTO-1595 (Rev. 03-11)  
OMB No. 0651-0027 (exp. 03/31/2015)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies):

SpineCore, LLC

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 2. Name and address of receiving party(ies)

Name: Third Millennium Engineering, LLC

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

447 Springfield Avenue

City: Summit

State: New Jersey

Country: United States of America Zip: 07901

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s): April 24, 2002

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other \_\_\_\_\_

### 4. Application or patent number(s):

A. Patent Application No.(s)

13/534,392

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

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### 6. Total number of applications and patents involved:

1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☒ Authorized to be charged to deposit account

☐ Enclosed

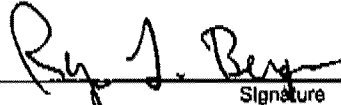
☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number 12-1095

Authorized User Name Ryan L. Bergeron

### 9. Signature:



Signature

Ryan L. Bergeron - 66,377

Name of Person Signing

June 28, 2012

Date

Total number of pages including cover sheet, attachments, and documents:

3

CH \$40.00 121095 13534392


**ASSIGNMENT OF PATENT APPLICATIONS**

Whereas, **SPINECORE, LLC**, whose mailing address is 447 SPRINGFIELD AVENUE, SUMMIT, NJ 07901, herein referred to as Owner, is currently the assignee of the entire right, title, and interest in each of the patent applications identified by Serial No. below (and in greater detail on Attachment A hereto) by virtue of respective assignments from the inventors of said patent applications; each of said assignments was recorded in the United States Patent and Trademark Office; a copy of each of said assignments is attached; and

Whereas, **THIRD MILLENNIUM ENGINEERING, LLC**, whose mailing address is 447 SPRINGFIELD AVENUE, SUMMIT, NJ 07901, herein referred to as Assignee, is desirous of acquiring the entire right, title, and interest in said patent applications;

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, Owner, by these presents does sell, assign, and transfer unto Assignee the entire right, title and interest in and to each of said patent applications and any and all patents which may be granted therefor in the United States. Owner hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said United States patents to Assignee for its sole use and behoof; and for the use and behoof of its legal representatives, to the full end of the respective terms for which each of said patents may be granted, as fully and entirely as the same would have been held by Owner has this assignment and sale not been made.

Executed on April 24<sup>th</sup> 2012 at Summit, NJ

  
\_\_\_\_\_  
**JOSEPH P. ERRICO**  
for Owner  
as its authorized representative

Serial No. 09/906,129  
Serial No. 09/906,117  
Serial No. 09/906,118  
Serial No. 09/906,119  
Serial No. 09/906,120  
Serial No. 09/906,121  
Serial No. 09/906,123  
Serial No. 09/906,124  
Serial No. 09/906,125  
Serial No. 09/906,126  
Serial No. 09/906,134  
Serial No. 09/982,148  
Serial No. 10/001,078

Serial No. 09/906,127  
Serial No. 09/968,047  
Serial No. 09/968,046  
Serial No. 09/968,045  
Serial No. 09/970,479  
Serial No. 10/035,640  
Serial No. 10/035,614  
Serial No. 10/035,669  
Serial No. 10/003,000  
Serial No. 09/975,154  
Serial No. 09/975,471  
Serial No. 10/035,668  
Serial No. 10/001,531

**PATENT**  
**REEL: 012850 FRAME: 0993**

**PATENT**  
**REEL: 028459 FRAME: 0886**

## ATTACHMENT A TO ASSIGNMENT OF PATENT APPLICATIONS

Serial No.	Docket	Filed	Title
09/906,129	F-168	7/16/2001	Method of Surgically Treating Scoliosis
09/906,117	F-174	7/16/2001	Intervertebral Spacer Device Having a Wave Washer Force Restoring Element
09/906,118	F-175	7/16/2001	Intervertebral Spacer Device Having a Spiral Wave Washer Force Restoring Element
09/906,119	F-177	7/16/2001	Trial Intervertebral Distraction Spacers
09/906,120	F-185	7/16/2001	Insertion Tool for use with Trial Intervertebral Distraction Spacers
09/906,121	F-186	7/16/2001	Insertion Tool for use with Tapered Trial Intervertebral Distraction Spacers
09/906,123	F-187	7/16/2001	Porous Intervertebral Distraction Spacers
09/906,124	F-188	7/16/2001	Surgical Method of Treating Scoliosis
09/906,125	F-190	7/16/2001	Method of Distracting Vertebral Bones
09/906,126	F-191	7/16/2001	Vertebral Bone Distraction Instruments
09/906,134	F-192	7/16/2001	Instruments for Reorienting Vertebral Bones for the Treatment of Scoliosis
09/982,148	F-195	10/18/2001	Intervertebral Spacer Device Having Arch Shaped Spring Elements
10/001,078	F-197	10/31/2001	Polyaxial Drill Guide
09/906,127	F-198	7/16/2001	Insertion Tool for use with Intervertebral Spacers
09/968,047	F-199	10/1/2001	Intervertebral Spacer Device Having a Radially Thinning Belleville Spring
09/968,046	F-200	10/1/2001	Intervertebral Spacer Device Utilizing a Belleville Washer Having Radially Extending Grooves
09/968,045	F-201	10/1/2001	Intervertebral Spacer Device Having a Radially Thinning Slotted Belleville Spring
09/970,479	F-202	10/4/2001	Intervertebral Spacer Device Utilizing a Spirally Slotted Belleville Washer Having Radially Extending Grooves
10/035,640	F-203	11/9/2001	Intervertebral Spacer Device Having a Domed Arch Shaped Spring
10/035,614	F-204	11/9/2001	Intervertebral Spacer Device Having a Slotted Domed Arch Shaped Spring Element
10/035,669	F-205	11/9/2001	Intervertebral Spacer Device Having a Slotted Partial Circular Arch Strip Spring
10/003,000	F-206	11/30/2001	Distraction Instrument for use in Anterior Cervical Fixation Surgery
09/975,154	F-208	10/11/2001	Intervertebral Spacer Device Utilizing a Belleville Washer Having Radially Spaced Concentric Grooves
09/975,471	F-209	10/11/2001	Intervertebral Spacer Device Utilizing a Spirally Slotted Belleville Washer Having Radially Spaced Concentric Grooves
10/035,668	F-212	11/9/2001	Intervertebral Spacer Device Having a Multi-Pronged Domed Spring
10/001,531	F-217	11/30/2001	Spacer Device and Insertion Instrument for use in Anterior Cervical Fixation Surgery

RECORDED: 04/29/2002

PATENT  
REEL: 012850 FRAME: 0994

RECORDED: 06/28/2012

PATENT  
REEL: 028459 FRAME: 0887