

06/25/2012



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U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

6/25/12

ATTORNEY DOCKET No.  
19193 B (6511 DL)

**PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**  
Jihn Shium LEE; and  
Jen Mao WANG

**2. Name and address of receiving party(ies)**  
Name: See Appendix A  
Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**  
Execution Date(s) April 18, 2012  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other \_\_\_\_\_

Street Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State: \_\_\_\_\_  
Country: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional name(s) & address(es) attached?  Yes  No

**4. Application or patent number(s):**  
A. Patent Application No.(s)  
13/478,187 Filed May 23, 2012

This document is being filed together with a new application.

B. Patent No.(s)



Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**  
Name: CHARLES E. BAXLEY, ESQUIRE  
Internal Address: SUITE 403  
Street Address: 90 JOHN STREET  
City: NEW YORK  
State: NEW YORK Zip: 10038-3242  
Phone Number: (212) 791-7200  
Fax Number: (212) 791-7276  
Email Address: ceb@hartbaxley.com

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 1.21(h) & 3.41)** \$ 40.00  
 Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

**8. Payment Information**  
Deposit Account Number 02-1435  
Authorized User Name CHARLES E. BAXLEY, ESQUIRE  
#1 FC:0021

**9. Signature:**  
Charles E. Baxley  
Signature  
CHARLES E. BAXLEY, ESQUIRE  
Name of Person Signing

June 22, 2012  
Date

Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Attorney Docket No. 19193 B (6511 DL)

**PATENT**  
**REEL: 028466 FRAME: 0527**

APPENDIX A

ASSIGNEES:

OWNERS IN COMMON 1/2 EACH

(1)Fu An Industrial Co., Ltd.

Rep: Chiang Ho LEE, president

No. 6, Sinhsin Road, Anping Industrial Dist., Tainan 70268 Taiwan

(2)Get Auto Accessories Co., Ltd.

Rep:Siyu WANG, president

No. 15, Shrwei Road, Changhua 50058 Taiwan

# ASSIGNMENT

- (1-5) Insert Name(s) of Inventor(s).
- (1) Jihn Shium LEE
- (2) Jen Mao WANG
- (3) \_\_\_\_\_
- (4) \_\_\_\_\_
- (5) \_\_\_\_\_

- (6) Insert name of Assignee
- (7) Insert address of Assignee
- In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to
- (6) Fu An Industrial Co., Ltd.
- (7) No. 6, Sinhsin Road, Anping Industrial Dist., Tainan 70268 Taiwan

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

- (8) Insert Identification of Invention, such as Title, Case Number or Foreign Application Number
- (8) LIGHT BEAM ADJUSTING DEVICE FOR VEHICLE
- |                                       |
|---------------------------------------|
| Foreign Application or Case No. _____ |
|---------------------------------------|

for which the undersigned has (have) executed an application for patent in the United States of America

- (9) Insert Date of Signing of Application
- (9) on \_\_\_\_\_
- (10) Alternative identification for filed applications
- (10) U.S. application Serial Number 13/478,187 filed: May 23, 2012
- Pat. No. \_\_\_\_\_ Issued: \_\_\_\_\_

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient or essential to its full protection and title in and to the invention hereby transferred.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division or re-issue thereof and cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

4) The undersigned agree(s) to communicate to the Assignee or representatives thereof any facts known to me (us) respecting the invention and improvements thereof, and will, upon request, but without expense to me (us), testify in any legal proceedings regarding the invention.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) the firm of **H.B.D.H** the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

7) This Assignment shall be binding upon my (our) heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors and/or assigns of the Assignee.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

(1) Date Apr. 18, 2012 Name of Inventor lee jihn-shium

(2) Date Apr. 18, 2012 Name of Inventor wang jen mao

(3) Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_

(4) Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_

(5) Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_

Date \_\_\_\_\_ Witness \_\_\_\_\_

Date \_\_\_\_\_ Witness \_\_\_\_\_

# ASSIGNMENT

(1-5) Insert Name(s) of Inventor(s).

- (1) Jihn Shium LEE
- (2) Jen Mao WANG
- (3) \_\_\_\_\_
- (4) \_\_\_\_\_
- (5) \_\_\_\_\_

(6) Insert name of Assignee

(6) Get Auto Accessories Co.

(7) Insert address of Assignee

(7) No. 15, Shrwei Road, Changhua 50058 Taiwan

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(8) Insert Identification of Invention, such as Title, Case Number or Foreign Application Number

(8) LIGHT BEAM ADJUSTING DEVICE FOR VEHICLE

Foreign Application or Case No.
---------------------------------

for which the undersigned has (have) executed an application for patent in the United States of America

(9) Insert Date of Signing of Application

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(10) U.S. application Serial Number 13/478,187 filed: May 23, 2012  
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3) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

4) The undersigned agree(s) to communicate to the Assignee or representatives thereof any facts known to me (us) respecting the invention and improvements thereof, and will, upon request, but without expense to me (us), testify in any legal proceedings regarding the invention.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) the firm of **H.B.D.H** the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

7) This Assignment shall be binding upon my (our) heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors and/or assigns of the Assignee.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

- (1) Date Apr. 18, 2012 Name of Inventor Jihn Shium
- (2) Date Apr. 18, 2012 Name of Inventor Jen Mao Wang
- (3) Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_
- (4) Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_
- (5) Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_

Date \_\_\_\_\_ Witness \_\_\_\_\_

Date \_\_\_\_\_ Witness \_\_\_\_\_

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: Fu An Industrial Co., Ltd. Attorney's  
Serial or Patent No.: Not Yet Assigned Docket No.: 19193 B (6511 DL)  
Filed or Issued: Simultaneously Herewith  
For: LIGHT BEAM ADJUSTING DEVICE FOR VEHICLE

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c)) – SMALL BUSINESS CONCERN

I hereby declare that I am

- the owner of the small business concern identified below:  
 an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Fu An Industrial Co., Ltd.  
ADDRESS OF CONCERN No. 6, Sinhsin Road, Anping Industrial Dist., Tainan 70268 Taiwan

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under § 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year; and concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above entitled invention described in

- the specification filed herewith  
 application serial no. 13/478,187, filed May 23, 2012  
 patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed in the  Appendix hereto, and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Chiang Ho LEE  
TITLE OF PERSON OTHER THAN OWNER president  
ADDRESS OF PERSON SIGNING No. 6, Sinhsin Road, Anping Industrial Dist., Tainan 70268 Taiwan

SIGNATURE Chiang Ho Lee DATE April 18, 2012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: Get Auto Accessories Co., Ltd. Attorney's  
Serial or Patent No.: Not Yet Assigned Docket No.: 19193 B (6511 DL)  
Filed or Issued: Simultaneously Herewith  
For: LIGHT BEAM ADJUSTING DEVICE FOR VEHICLE

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c)) – SMALL BUSINESS CONCERN

I hereby declare that I am

- the owner of the small business concern identified below:  
 an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Get Auto Accessories Co., Ltd.

ADDRESS OF CONCERN No. 15, Shrwei Road, Changhua 50058 Taiwan

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under § 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year; and concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above entitled invention described in

- the specification filed herewith  
 application serial no. 13/478,187, filed May 23, 2012  
 patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed in the  Appendix hereto, and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Siyu WANG

TITLE OF PERSON OTHER THAN OWNER president

ADDRESS OF PERSON SIGNING No. 15, Shrwei Road, Changhua 50058 Taiwan

SIGNATURE Siyu Wang DATE April 18, 2012