## 501973264 06/29/2012

#### PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### CONVEYING PARTY DATA

Name	Execution Date
Timo J. Pfau	06/26/2012
Noriaki Kaneda	06/26/2012
Brian S. Krongold	06/29/2012

# RECEIVING PARTY DATA

Name:	Alcatel-Lucent USA Inc.	
Street Address:	600-700 Mountain Avenue	
City:	Murray Hill	
State/Country:	NEW JERSEY	
Postal Code:	07974	

# PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13537131

# CORRESPONDENCE DATA

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ATTORNEY DOCKET NUMBER:	811113-US-NP
NAME OF SUBMITTER:	Yuri Gruzdkov

## Total Attachments: 4

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> PATENT REEL: 028467 FRAME: 0229

OP \$40.00 13537131

501973264

Customer No.: 46850 Attorney Docket No.: 811113-US-NP

## ASSIGNMENT AND AGREEMENT

For value received, I, as a below-named inventor:

Timo J. Pfau of Westfield in the County of Union and State of New Jersey, Noriaki Kaneda of Westfield in the County of Union and State of New Jersey, Brian S. Krongold of Brunswick West in the State of Victoria and Country of Australia,

hereby sell, assign, and transfer to Alcatel-Lucent USA Inc., a corporation of the State of Delaware, having an office at 600-700 Mountain Avenue, Murray Hill, New Jersey 07974, U.S.A., and its successors, assigns, and legal representatives, the entire right, title, and interest, for the United States of America, in and to certain inventions related to **FORWARD ERROR CORRECTION FOR AN OPTICAL TRANSPORT SYSTEM** described in an application for Letters Patent of the United States, identified using the same Attorney Docket No., and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and I also concurrently hereby sell, assign, and transfer to Alcatel-Lucent USA Inc. the entire right, title, and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Alcatel-Lucent USA Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from me, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Alcatel-Lucent USA Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Alcatel-Lucent USA Inc. in the United States and in all countries foreign to the United States, or to such nominees as Alcatel-Lucent USA Inc. may designate.

I agree that, when requested, I shall, without charge to Alcatel-Lucent USA Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable, or convenient in connection with said applications, patents, or other forms of protection.

990.0740

Timo J. Plau

Date: JUNE 26, 2012

United States of America )

State of New Gersey ) ss.:

County of Union )

Manta K. Jurgani.
Notary Public

KANTA K. GURNANI Notery Public, State of New Jersey My Commission Expires July 17, 2014 Date: JUNE 26, 2012

United States of America

County of Union

who executed the foregoing instrument, and acknowledged execution of the same.

Attorney Docket No.: 811113-US-NP

Noriaki Kaneda State of New Yersey On this <u>26</u> day of On this 26 day of June , 20/2, before me personally came **Noriaki Kaneda**, to me known to be the individual described in and

Notary Public Kanlá K. Jur Monu

Notary Public, State of New Jorday My Commission Exp July 17, 2014

Customer No.: 46850

-4-

Attorney Docket No.: 811113-US-NP

Date: 6/29/2012

Brian S. Krongold

Alcatel-Lucent USA Inc. 600-700 Mountain Avenue Murray Hill, New Jersey 07974

990.0740

PATENT REEL: 028467 FRAME: 0233

**RECORDED: 06/29/2012**