

## PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>James M. Tour</td> <td>05/17/2012</td> </tr> <tr> <td>Tam Pham</td> <td>06/30/2012</td> </tr> <tr> <td>Jacob Berlin</td> <td>05/17/2012</td> </tr> </tbody> </table>		Name	Execution Date	James M. Tour	05/17/2012	Tam Pham	06/30/2012	Jacob Berlin	05/17/2012		
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RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>William Marsh Rice University</td> </tr> <tr> <td>Street Address:</td> <td>6100 Main Street</td> </tr> <tr> <td>City:</td> <td>Houston</td> </tr> <tr> <td>State/Country:</td> <td>TEXAS</td> </tr> <tr> <td>Postal Code:</td> <td>77005</td> </tr> </table>		Name:	William Marsh Rice University	Street Address:	6100 Main Street	City:	Houston	State/Country:	TEXAS	Postal Code:	77005
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CORRESPONDENCE DATA											
<p>Fax Number:</p> <p>Email: famini@winstead.com</p> <p><i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i></p> <p>Correspondent Name: FARHANG AMINI</p> <p>Address Line 1: Winstead PC</p> <p>Address Line 2: P.O. BOX 131851</p> <p>Address Line 4: DALLAS, TEXAS 75313</p>											
ATTORNEY DOCKET NUMBER:	11321-P194WOUS										
NAME OF SUBMITTER:	Farhang Amini, Ph.D.										
<p>Total Attachments: 5</p> <p>source=Assignment_executed#page1.tif</p> <p>source=Assignment_executed#page2.tif</p> <p>source=Assignment_executed#page3.tif</p> <p>source=Assignment_executed#page4.tif</p> <p>source=Assignment_executed#page5.tif</p>											

CH \$40.00 13504716

*ASSIGNMENT OF INVENTION*

WHEREAS, I, **James M. Tour**, 4625 Spruce Street, Bellaire, Texas 77401 USA; I, **Jacob Berlin**, 5454 Newcastle Drive, #227, Houston, TX 77081 USA and I, **Tam Pham**, 5936 NE 3RD CT., Renton, WA 98059 USA; have invented certain new and useful improvements in: "THERAPEUTIC COMPOSITIONS AND METHODS FOR TARGETED DELIVERY OF ACTIVE AGENTS" for which an application for Letters Patent was filed on April 27, 2012 under Application Number 13/504,716;

WHEREAS, the **WILLIAM MARSH RICE UNIVERSITY**, a university organized under the laws of Texas, having a principal office and place of business at 6100 Main Street, Houston, TX 77005 USA (hereinafter "ASSIGNEE") desires to acquire from James M. Tour, Jacob Berlin and Tam Pham the entire right, title and interest each has in and to said invention and in and to any Letters Patent that may be granted thereof in the United States and its territorial possessions, and any and all countries foreign to the United States of America;

NOW, THEREFORE, for valuable consideration, the receipt whereof is hereby acknowledged, I, by these presents do sell, assign and transfer unto said ASSIGNEE, all of my rights to the said invention in the United States and its territorial possessions and all countries foreign to the United States of America, and my entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof, and to any and all Letters Patent which may be granted therefor in all countries foreign to the United States.

I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and Patent Office Officials in all countries foreign to the United States to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my entire right, title and interest in and to the same, for the use and behalf of said ASSIGNEE, its successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.

Further, I agree that I will communicate to said ASSIGNEE or its representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful

papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions, and in all countries foreign to the United States.

The undersigned hereby grants authorized representatives of the above identified ASSIGNEE the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

EXECUTED AT: \_\_\_\_\_

Houston, TX, USA  
(City, State, Country)

Date: \_\_\_\_\_

5/17/2012

James M. Tour

EXECUTED AT: \_\_\_\_\_

(City, State, Country)

Date: \_\_\_\_\_

Jacob Berlin

EXECUTED AT: \_\_\_\_\_

(City, State, Country)

Date: \_\_\_\_\_

Tam Pham

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EXECUTED AT: \_\_\_\_\_  
(City, State, Country)

Date: \_\_\_\_\_  
James M. Tour

EXECUTED AT: \_\_\_\_\_  
(City, State, Country)

Date: \_\_\_\_\_  
Jacob Berlin

EXECUTED AT: RENTON, WA, USA  
(City, State, Country)

Date: 06/30/2012  
  
Tam Pham

*ASSIGNMENT OF INVENTION*

WHEREAS, I, **James M. Tour**, 4625 Spruce Street, Bellaire, Texas 77401 USA; I, ~~Jacob Berlin, 5454 Newcastle Drive, #227, Houston, TX 77081 USA~~ <sup>1074 Norumbega Dr., Monrovia, CA 91016 JB 5/17/12</sup> and I, **Tam Pham**, 5936 NE 3RD CT., Renton, WA 98059 USA; have invented certain new and useful improvements in: "THERAPEUTIC COMPOSITIONS AND METHODS FOR TARGETED DELIVERY OF ACTIVE AGENTS" for which an application for Letters Patent was filed on April 27, 2012 under Application Number 13/504,716;

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EXECUTED AT: \_\_\_\_\_  
(City, State, Country)

Date: \_\_\_\_\_  
James M. Tour

EXECUTED AT: Monrovia, CA, USA  
(City, State, Country)

Date: 5/17/12  
Jacob Berlin

EXECUTED AT: \_\_\_\_\_  
(City, State, Country)

Date: \_\_\_\_\_  
Tam Pham