# 501978767 07/05/2012

## PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

## **CONVEYING PARTY DATA**

| Name              | Execution Date |
|-------------------|----------------|
| Fabien Cabirol    | 04/06/2012     |
| Anupam Gohel      | 06/15/2012     |
| Steven J. Collier | 06/15/2012     |
| Jack Liang        | 06/08/2012     |
| Marissa Mock      | 06/05/2012     |
| Emily Mundorff    | 06/04/2012     |
| Scott Novick      | 06/05/2012     |
| Derek Smith       | 06/26/2012     |

#### **RECEIVING PARTY DATA**

| Name:           | Codexis, Inc.       |
|-----------------|---------------------|
| Street Address: | 200 Penobscot Drive |
| City:           | Redwood City        |
| State/Country:  | CALIFORNIA          |
| Postal Code:    | 94063               |

# PROPERTY NUMBERS Total: 1

| Property Type | Number    |
|---------------|-----------|
| PCT Number:   | US1146932 |

# **CORRESPONDENCE DATA**

Fax Number: (650)421-8350 Phone: 650-421-8133

Email: janine.mcnamara@codexis.com

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

via US Mail.

Correspondent Name: Codexis, Inc.

Address Line 1: 200 Penobscot Drive

Address Line 4: Redwood City, CALIFORNIA 94063

**PATENT** 

REEL: 028493 FRAME: 0900

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| ATTORNEY DOCKET NUMBER:  | CX2-053WO1      |
|--|-----------------|
| NAME OF SUBMITTER:   | Adam K. Whiting |
| Total Attachments: 9 source=053WO1_Executed_Assignment#page1.tif source=053WO1_Executed_Assignment#page2.tif source=053WO1_Executed_Assignment#page3.tif source=053WO1_Executed_Assignment#page4.tif source=053WO1_Executed_Assignment#page5.tif source=053WO1_Executed_Assignment#page6.tif source=053WO1_Executed_Assignment#page7.tif source=053WO1_Executed_Assignment#page8.tif source=053WO1_Executed_Assignment#page8.tif source=053WO1_Executed_Assignment#page8.tif source=053WO1_Executed_Assignment#page9.tif |                 |

PATENT REEL: 028493 FRAME: 0901

#### ASSIGNMENT

WHEREAS, we, the undersigned,

Fabien CABIROL, resident of Singapore;
Anupam GOHEL, resident of Singapore;
Steven J. COLLIER, resident of Singapore;
Jack LIANG, resident of San Mateo, California;
Marissa MOCK, resident of Mountain View, California;
Emily MUNDORFF, resident of Belmont, California;
Scott NOVICK, resident of Palo Alto, California;
Derek SMITH, resident of Singapore;

(referred to hereinafter as "Inventors") have invented certain new and useful improvements in "BIOCATALYSTS AND METHODS FOR THE SYNTHESIS OF (1R,2R)-2-(3,4-DIMETHOXYPHENETHOXY) CYCLOHEXANAMINE" and have filed an International patent application therefor, having Serial No. PCT/US2011/046932 and filing date of August 8, 2011, and

WHEREAS, Codexis, Inc., a corporation of the State of Delaware (referred to as "Assignee"), having a place of business at 200 Penobscot Drive, Redwood City, CA 94063, desires to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") that claim priority to said application, granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration, receipt and sufficiency of which is acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said inventions disclosed therein; (b) in and to all rights to claim priority benefit to said application, in the United States and abroad, pursuant to Title 35 U.S.C., the International Convention for the Protection of Industrial Property, or otherwise; (c) in and to all rights to apply for U.S. and foreign patents on said invention; (d) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including Intl. Appl. Serial No.

Page 1 of 5

PATENT REEL: 028493 FRAME: 0902

each and every application filed and each and every patent granted on any application which is a non-

provisional, divisional; substitution, continuation, or continuation-in-part of any of said applications; (e) in

and to each and every reissue or reexamination of any of said patents; or (f) in and to extensions of any of

said patents.

2. Said Inventors hereby covenant and agree to cooperate with said Assignee to enable said

Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and

foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts

and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other

papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for

perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said

applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications

covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents;

(e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings

involving said invention and any applications therefor and any patents granted thereon, including without

limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings,

infringement actions and court actions; provided, however, that the expense incurred by said Inventors in

providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its

successors, assigns and other legal representatives, and shall be binding upon said Inventors, his/her

respective heirs, legal representatives and assigns.

4. Said Inventors hereby warrants and represents that he/she has not entered and will not enter

into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors has executed and delivered this instrument to said

Assignee.

Intl. Appl. Serial No. PCT/US2011/046932

Page 2 of 5

Atty. Dkt. No.: CX2-053WO1 Date 04.06.2012 Ву: Fabien CABIROL WITNESSES: Signature:\_ Printed Name: Signature:\_ Printed Name: Date \_\_ By:\_ Anupam GOHEL WITNESSES: Signature:\_ Printed Name: Signature:\_ Printed Name:\_\_\_\_\_ Date \_\_\_\_\_ By: Steven J. COLLIER WITNESSES: Signature:\_ Printed Name:\_\_\_\_

Intl. Appl. Serial No. PCT/US2011/046932

Signature:\_\_\_

Printed Name:

Page 3 of 5

| Date                         | By:                   |
|------------------------------|-----------------------|
|                              | By:Fabien CABIROL     |
| WITNESSES:                   |                       |
| Signature:                   |                       |
| Printed Name:                |                       |
| Signature:                   | A A                   |
| Printed Name:                | Va-                   |
| Date 15 JUAR, 2012           | By: Anupam GOHEL      |
| WITNESSES:                   |                       |
| Signature:                   |                       |
| Printed Name: PHAM QUANG SON |                       |
| Signature: Chalylus          |                       |
| Printed Name: OH, SEONG HO   |                       |
|                              |                       |
| Date 15 June 2012            | By: Steven J. COLLIER |
| WITNESSES:                   |                       |
| Signature: Author            |                       |
| Printed Name: PAMELA HUANG   |                       |
| Signature: Steven S.         |                       |
| Printed Name: STEVEN LIM     |                       |

Intl. Appl. Serial No. PCT/US2011/046932

Page 3 of 5

Atty. Dkt. No.: CX2-053WO1 Date June F, 2012 WITNESSES: Signature: Printed Name: Signature: ( Date \_\_\_\_ Marissa MOCK WITNESSES: Signature:\_\_\_ Printed Name: Signature:\_\_\_\_ Printed Name: By:\_ Date \_\_\_\_ **Emily MUNDORFF** 

Intl. Appl. Serial No. PCT/US2011/046932

WITNESSES:

Signature:

Printed Name:

Signature:

Printed Name:

Page 4 of 5

| Date                          | By:<br>Jack LIANG           |
|-------------------------------|-----------------------------|
| WITNESSES:                    |                             |
| Signature:                    |                             |
| Printed Name:                 |                             |
| Signature:                    |                             |
| Printed Name:                 |                             |
|                               |                             |
|                               |                             |
| Date 6/5/12                   | By: Jaws Mock  Marissa MOCK |
| WITNESSES:                    |                             |
| Signature: Agnes Hanbuy       |                             |
| Printed Name: Agnes Hamburges | -                           |
| Signature: L. B. Cremean      |                             |
| Printed Name: LAAS B. CREMEAN |                             |
|                               |                             |
|                               |                             |
| Date                          | By: Emily MUNDORFF          |
| WITNESSES:                    | meetil 112 All 12 All 12    |
| Signature:                    |                             |
| Printed Name:                 |                             |
| Signature:                    |                             |
| Printed Name:                 |                             |

Intl. Appl. Serial No. PCT/US2011/046932

Atty. Dkt. No.: CX2-053WO1

Page 4 of 5

| Date                       | Ву:            | Jack LIANG     |
|----------------------------|----------------|----------------|
| WITNESSES:                 |                |                |
| Signature:                 |                |                |
| Printed Name:              | <del></del>    |                |
| Signature:                 |                |                |
| Printed Name:              |                |                |
|                            | 1              |                |
|                            |                |                |
|                            |                |                |
| Date                       | Ву:            | Marissa MOCK   |
| WITNESSES:                 |                | •              |
| Signature:                 |                | •              |
| Printed Name:              |                |                |
| Signature:                 |                |                |
| Printed Name:              | <del></del>    |                |
|                            |                |                |
|                            |                | ,              |
| Date <u>6/4//2</u>         | Ву:            | Emily MUNDORFF |
| WITNESSES:                 |                |                |
| Signature: Renny Lle       | antiti and and | •              |
| Printed Name: Kenny Lee    |                |                |
| Signature Twat Dave        |                |                |
| Printed Name: Shivani Dave |                |                |

Intl. Appl. Serial No. PCT/US2011/046932

Atty. Dkt. No.: CX2-053WO1

Page 4 of 5

| Date Junes, 2012  | By: | L-M          |
|---|-----|--------------|
| Date  | Бу; | Scott NOVICK |
| WITNESSES:  |     |              |
| Signature: Januar Mc Mamara                                 |     |              |
| Signature: Januar Mc Mamasa  Printed Name: Janipa Mc Namara |     |              |
| Signature: (Magnatyjmob)                                    |     |              |
| Printed Name: Careyna Fry Mot                               |     |              |
| •   |     |              |
|   |     |              |
| Date  | Ву: |              |
|   |     | Derek SMITH  |
| WITNESSES:  |     |              |
| Signature:  |     |              |
| Printed Name:   |     |              |
| Signature:  |     |              |
| Printed Name:   |     |              |

Intl. Appl. Serial No. PCT/US2011/046932

Page 5 of 5

| Date                          | By:             |
|-------------------------------|-----------------|
|                               | Scott NOVICK    |
| WITNESSES:                    |                 |
| Signature:                    | _               |
| Printed Name:                 | -               |
| Signature:                    | _               |
| Printed Name:                 |                 |
|                               |                 |
|                               | - 1             |
| Date 26 JUNE 2012             | By: Derek SMITH |
| WITNESSES:                    |                 |
| Signature: Jag                | _               |
| Printed Name: Joly Sakumarar) | -               |
| Signature: M.                 | _               |
| Printed Name: Kelvin Ho       | _               |

Intl. Appl. Serial No. PCT/US2011/046932

Page 5 of 5

PATENT REEL: 028493 FRAME: 0910