

Form PTO-1595 (Rev. 03-11)  
OMB No. 0651-0027 (exp. 03/31/2015)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies):

Nghi Van Nguyen (11/29/2005), David W. Cannell  
(11/29/2005), Cynthia Chong Espino (11/29/2005),  
and

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

### 2. Name and address of receiving party(ies)

Name: L'ORÉAL

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

14, rue Royale  
75008 Paris  
FRANCE

City: \_\_\_\_\_

State: \_\_\_\_\_

Country: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s): In parentheses after inventor name

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other \_\_\_\_\_

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

13/537,542

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Shawn P. Foley  
LENER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.: LOREAL 3.0-123 CON CON

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: 908-654-5000

Fax Number: 908-654-7866

Email Address: ataylor@ldlkm.com

### 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number 12-1095

Authorized User Name Shawn P. Foley

### 9. Signature:

Shawn P. Foley  
Signature

July 6, 2012

Date

Shawn P. Foley - 33,071

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

6

CH \$40.00 121095 13537542

<b>RECORDATION FORM COVER SHEET (PTO-1595)</b> (supplemental sheet)	
<b>Additional Conveying Party(ies)/Execution Date(s) (1. Continued):</b> Katherine Natalie Barger (11/30/2005)	
<b>Additional Assignees (2. Continued):</b>	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
<b>Additional Applications and/or Patents (4. Continued):</b>	
Additional Patent Application Numbers 4A. Continued:	Additional Patent Numbers 4B. Continued:
Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	

former PTO/SB/15 (5-00) Modified LDLKM

## ASSIGNMENT OF UTILITY APPLICATION

Docket Number (Optional)

LOREAL 3.0-123

Whereas, I, Nghi Van Nguyen of  
8 Churchill Road; Edison, New Jersey 08820

hereafter referred to as assignor, have with others invented certain new and useful improvements in  
**PROCESS FOR INHIBITING DYED HAIR FIBERS FROM LOSING THEIR COLOR DURING SHAMPOOING**

☒ for which an application for a United States Letters Patent was filed on November 16, 2005

Application Number 11/280,371

☐ for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_  
 and \_\_\_\_\_

Whereas, L'ORÉAL

a corporation of \_\_\_\_\_ herein referred to as "assignee" whose mailing address is

14, rue Royale; 75008 Paris; FRANCE

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00 ), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

11/29/05  
 (Date)

Nghi Van Nguyen  
 (Signature)

State of New Jersey ) SS:  
 County of Union )

Or, this 29<sup>th</sup> day of November, 05 before me personally came the above-named Nghi Van Nguyen, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Delphine Lapp-Pinkowski  
 (Notary Public)

MY COMMISSION EXPIRES ON 09/02/2009  
 STATE OF NEW JERSEY, UNION COUNTY  
 DELPHINE LAPP-PINKOWSKI

☒ \*Total of 4 forms are submitted.

LD-528\

former PTO/SB/15 (9-00) Modified LDLKM

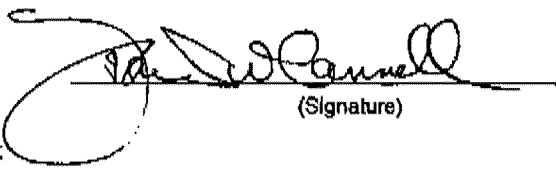
<b>ASSIGNMENT OF UTILITY APPLICATION</b>	Docket Number (Optional) <b>LOREAL 3.0-123</b>
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Whereas, I, David W. Cannell of  
1314 Highland Avenue; Plainfield, New Jersey 07060  
hereafter referred to as assignor, have with others invented certain new and useful improvements in  
**PROCESS FOR INHIBITING DYED HAIR FIBERS FROM LOSING THEIR COLOR DURING SHAMPOOING**  
☒ for which an application for a United States Letters Patent was filed on November 16, 2005  
Application Number 11/280,371  
☐ for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_  
and \_\_\_\_\_

Whereas, L'ORÉAL  
a corporation of \_\_\_\_\_ herein referred to as "assignee" whose mailing address is  
14, rue Royale; 75008 Paris; FRANCE  
is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00 ), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

11/29/05 (Date)  (Signature)

State of New Jersey ) SS:  
County of Union )

On this 29<sup>th</sup> day of November, 05 before me personally came the above-named David W. Cannell, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Delphine Lapp-Pinkowski  
(Notary Public)

MY COMMISSION EXPIRES ON 04/02/2009  
STATE OF NEW JERSEY, UNION COUNTY  
DELPHINE LAPP-PINKOWSKI

<input checked="" type="checkbox"/>	Total of <u>4</u> forms are submitted.
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D-528\

former PTO/BB/15 (8-00) Modified LDLKM

<b>ASSIGNMENT OF UTILITY APPLICATION</b>	Docket Number (Optional) <b>LOREAL 3.0-123</b>
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Whereas, I, Cynthia Chong Espino of  
15 Heath Court, Princeton, New Jersey 08540  
hereafter referred to as assignor, have with others invented certain new and useful improvements in  
**PROCESS FOR INHIBITING DYED HAIR FIBERS FROM LOSING THEIR COLOR DURING SHAMPOOING**  
☒ for which an application for a United States Letters Patent was filed on November 16, 2005  
Application Number 11/280,371  
☐ for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_  
and \_\_\_\_\_

Whereas, L'ORÉAL  
a corporation of herein referred to as "assignee" whose mailing address is  
14, rue Royale, 75008 Paris, FRANCE  
is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00 ), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

11/29/05 (Date)                      Cynthia Chong Espino (Signature)

State of New Jersey ) SS:  
County of Union )

On this 29<sup>th</sup> day of November, 05 before me personally came the above-named Cynthia Chong Espino, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Delphine Lapp-Piskowski  
(Notary Public)

MY COMMISSION EXPIRES ON 08/02/2009  
STATE OF NEW JERSEY, UNION COUNTY  
DELPHINE LAPP-PISKOWSKI

<input checked="" type="checkbox"/>	Total of <u>4</u> forms are submitted.
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LD-528

former PTO/SB/15 (8-00) Modified LDLKM

<b>ASSIGNMENT OF UTILITY APPLICATION</b>	Docket Number (Optional) <b>LOREAL 3.0-123</b>
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Whereas, I, Katherine Natalie Barger of  
29 Alden Street 3G, Cranford, New Jersey, 07016

hereafter referred to as assignor, have with others invented certain new and useful improvements in  
**PROCESS FOR INHIBITING DYED HAIR FIBERS FROM LOSING THEIR COLOR DURING SHAMPOOING**

☒ for which an application for a United States Letters Patent was filed on November 16, 2005  
 Application Number 11/280,371

☐ for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_  
 and \_\_\_\_\_

Whereas, L'ORÉAL  
 a corporation of herein referred to as "assignee" whose mailing address is  
14, rue Royale, 75008 Paris, FRANCE  
 is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00 ), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

11/30/05 (Date)                      Katherine Natalie Barger (Signature)

State of New Jersey ) SS:  
 County of Union )

On this 30<sup>th</sup> day of November, 05 before me personally came the above-named Katherine Natalie Barger, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Delphine Lapp-Pinkowski  
 (Notary Public)

MY COMMISSION EXPIRES ON 08/02/2009  
 STATE OF NEW JERSEY, UNION COUNTY  
 DELPHINE LAPP-PINKOWSKI

☒ Total of 4 forms are submitted.

LD-528A