

## PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
SI LOK	02/14/2012
RECEIVING PARTY DATA	
Name:	THE UNIVERSITY OF HONG KONG
Street Address:	POKFULAM ROAD
City:	HONG KONG
State/Country:	CHINA
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12501136
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ATTORNEY DOCKET NUMBER:	UHK.135
NAME OF SUBMITTER:	JEFF LLOYD
Total Attachments: 3 source=Assignment(Lok-to-HKU)AF#page1.tif source=Assignment(Lok-to-HKU)AF#page2.tif source=Assignment(Lok-to-HKU)AF#page3.tif	

OP \$40.00 12501136

APPENDIX B

THIS ASSIGNMENT is made the 14<sup>th</sup> day of February 2012 by and between **DR. SI LOK**, whose address is at Flat B10, 10<sup>th</sup> Floor, Block 2, Tam Towers, 25 Sha Wan Drive, Pokfulam, Hong Kong (hereinafter referred to as "Assignor") and **THE UNIVERSITY OF HONG KONG** of Pokfulam Road, Hong Kong (hereinafter referred to as "Assignee").

RECITAL

WHEREAS Assignor is the owner of the Patent Rights as described in Appendix A hereto ("Patent Rights").

WHEREAS Assignor has agreed to assign all its right, title and interest in and arising from the Patent Rights to the Assignee pursuant to an assignment agreement between the Assignor and the Assignee dated [ ] ("Agreement").

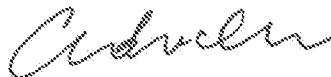
THIS ASSIGNMENT WITNESSES as follows:

In consideration of the mutual promises, covenants and agreements set forth herein and in the Agreement and for other good and valuable consideration, the receipt, adequacy and sufficiency of which is hereby acknowledged by Assignor, Assignor hereby irrevocably assign to Assignee all right, title and interest in and arising from the Patent Rights, and in and to any patent and registrations which may hereafter be granted on the Patent Rights, the Provisional Patent Application or its corresponding applications in the United States and all countries throughout the world, and to claim priority from the applications as provided by the Paris Convention, and all rights of action, powers and benefits arising from ownership of the Patent Rights, including the right to recover damages for infringement that occurred prior to, on or after this Assignment. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made.

IN WITNESS WHEREOF, this Assignment has been entered into on the day and year set forth below.

SIGNED by DR. SI LOK

in the presence of:



ANDREW CHAN

SIGNED by Mr. PHILIP LAM

on behalf of THE UNIVERSITY OF HONG KONG

in the presence of:



DOROTHY P. H. POON  
ASSISTANT DIRECTOR OF FINANCE  
THE UNIVERSITY OF HONG KONG

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) 14 Feb 2012

## APPENDIX A

1. US Provisional Application No. 60/756,417 filed on 4 January 2006  
High-Throughput Methods For Analysis of Fine Structural Variations in Nucleic Acids
2. US Provisional Application No. 60/792,926 filed on 17 April 2006  
High-Throughput Methods For Nucleic Acid Mapping and Identification of Fine-Structural-Variations in Nucleic Acids
3. US Provisional Application No. 60/814,378 filed on 15 June 2006  
Methods for Nucleic Acid Mapping and Identification of Fine-Structural-Variations in Nucleic Acids
4. US Patent No. 7,932,029 issued on 26 April 2011 (Application No. 11/649,587 filed on 3 January 2007)  
Methods for Nucleic Acid Mapping and Identification of Fine-Structural-Variations in Nucleic Acids and Utilities
5. PCT Patent Application No. PCT/CN2007/000001 filed on 4 January 2007  
Methods for Nucleic Acid Mapping and Identification of Fine-Structural-Variations in Nucleic Acids and Utilities
6. European Patent No. 1969146 (validated in Germany and United Kingdom) issued on 6 October 2010 (Application No. 07701933.9 based on the PCT Patent Application No. PCT/CN2007/000001 filed on 4 January 2007)  
Methods for Nucleic Acid Mapping and Identification of Fine-Structural-Variations in Nucleic Acids and Utilities
7. Chinese Patent Application No. 200780007408.X based on the PCT Patent Application No. PCT/CN2007/000001 filed on 4 January 2007  
Methods for Nucleic Acid Mapping and Identification of Fine-Structural-Variations in Nucleic Acids and Utilities
8. US Continuation-in-part Application No. 11/954,947 filed on 12 December 2007  
Methods for Nucleic Acid Mapping and Identification of Fine-Structural-Variations in Nucleic Acids
9. PCT Patent Application No. PCT/CN2007/003737 filed on 21 December 2007  
Methods for Nucleic Acid Mapping and Identification of Fine-Structural-Variations in Nucleic Acids
10. US Provisional Application No. 61/129,660 filed on 10 July 2008  
Methods for Nucleic Acid Mapping and Identification of Fine Structural Variations in Nucleic Acids

11. US Provisional Application No.61/193,442 filed on 1 December 2008  
Methods for Nucleic Acid Mapping and Identification of Fine Structural Variations in Nucleic Acids
12. US Continuation-in-part Application No.12/501,136 filed on 10 July 2009  
Methods for Nucleic Acid Mapping and Identification of Fine Structural Variations in Nucleic Acids
13. PCT Patent Application No.PCT/CN2009/000777 filed on 9 July 2009  
Methods for Nucleic Acid Mapping and Identification of Fine Structural Variations in Nucleic Acids
14. European Patent Application No.09793791.6 based on the PCT Patent Application  
No.PCT/CN2009/000777 filed on 9 July 2009  
Methods for Nucleic Acid Mapping and Identification of Fine Structural Variations in Nucleic Acids
15. Chinese Patent Application No.200980135935.8 based on the PCT Patent Application  
No.PCT/CN2009/000777 filed on 9 July 2009  
Methods for Nucleic Acid Mapping and Identification of Fine Structural Variations in Nucleic Acids