

## PATENT ASSIGNMENT

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 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Genentech, Inc.	07/12/2012
RECEIVING PARTY DATA	
Name:	F. HOFFMANN-LA ROCHE AG
Street Address:	Grenzacherstrasse 124
City:	Basel
State/Country:	SWITZERLAND
Postal Code:	CH-4070
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13498560
CORRESPONDENCE DATA	
Fax Number:	6509529881
Phone:	650-225-2249
Email:	docketing-d@gene.com
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>	
Correspondent Name:	Genentech, Inc.
Address Line 1:	1 DNA Way
Address Line 4:	South San Francisco, CALIFORNIA 94080
ATTORNEY DOCKET NUMBER:	P4371R1
NAME OF SUBMITTER:	Julia vom Wege
Total Attachments: 3 source=P4371R1_ASG_II#page1.tif source=P4371R1_ASG_II#page2.tif source=P4371R1_ASG_II#page3.tif	

CH \$40.00 13498560

## ASSIGNMENT II (Non-Small Molecule)

GENENTECH, INC. (Assignor), a corporation organized and existing under and by virtue of the laws of the State of Delaware, United States of America, having its principal place of business at South San Francisco, California 94080, United States of America, pursuant to the 19 March 2010 Ex-US Patent Administration Agreement, hereby assigns to

F. HOFFMANN-LA ROCHE AG (Assignee)  
Grenzacherstrasse 124  
4070 Basel  
Switzerland

all of its right, title and interest in all member states of the EPO (including all extension states), Hong Kong, India and China, in and to the invention described and claimed in an application entitled

### METHODS OF TREATING CANCER USING NOTCH ANTAGONISTS

for which United States non-provisional application Serial No. 13/498,560 (Docket No. P4371R1) has been filed on March 27, 2012; and

for which International patent application No. PCT/US2010/050610 (Docket No. P4371R1-WO) has been filed on September 29, 2010;

which claim priority to United States provisional patent application Serial No. 61/247,298 (Docket No. PR4371) filed on September 30, 2009;

as well as in any subsequent application(s) in all member states of the EPO (including all extension states), Hong Kong, India and China, which subsequent application(s) claim this provisional application Serial No. 61/247,298 as priority.

In respect of the invention and all such patent applications, domestic and foreign, on said invention, an assignment of all right, title and interest therein, for the whole world in favor of Assignor was recorded by the United States Patent and Trademark Office on June 1, 2012 for

**Christian W. Siebel**

Assignor expressly assigns to Assignee the right to file corresponding patent application(s) in all member states of the EPO (including all extension states), Hong Kong, India and China and to claim the priority date under any International treaty or convention of any of the above U.S. patent application(s) assigned by Assignor in this present Assignment. Assignor agrees to execute any additional assignments and writings as well as to perform any additional acts necessary to enable Assignee to enjoy the full rights of the present Assignment.

South San Francisco, California 94080,  
United States of America

GENENTECH, INC. *row*

Date:

July 12, 2012

By:

*[Signature]*  
Irene Pleasure  
Head of Patents  
Genentech, Inc.

Basel, Switzerland

F. HOFFMANN-LA ROCHE AG *Authorized Corporate Signatory*

Date:

July 16, 2012

By:

*[Signature]*  
Antonio Natoli  
Holder of Procuration

*[Signature]*  
Beatrice Sievi  
Mandatory

TO BE NOTARIZED

## Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Stefan Schönberger, herewith legalizes the overleaf signature of

**F. Hoffmann-La Roche AG**, a stock company with legal domicile in Basel (Switzerland), rendered by

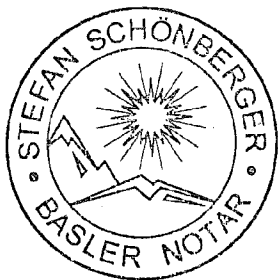
Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Beatrice Sievi, citizen of Bonaduz (Switzerland), residing in Allschwil (Switzerland),

both duly entitled also to sign documents wherein property of the company is alienated.

Legalization based on comparison with authentic signatures.

**B a s e l** (Switzerland), this 18<sup>th</sup> (eighteenth) day of July 2012 (two thousand and twelve).



BV 262/2012

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of San Mateo }

On July 12, 2012 before me, Aida Guian, Notary Public

Date

Here Insert Name and Title of the Officer

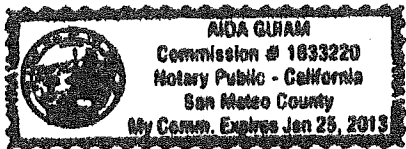
personally appeared Irene Tidikis Pleasure

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal,



Place Notary Seal Above

Signature

Aida Guian  
Signature of Notary Public

## OPTIONAL

*Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.*

### Description of Attached Document

Title or Type of Document: \_\_\_\_\_

Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer(s)

Signer's Name: \_\_\_\_\_

- ☐ Individual
- ☐ Corporate Officer — Title(s): \_\_\_\_\_
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney in Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

Signer Is Representing: \_\_\_\_\_

Signer's Name: \_\_\_\_\_

- ☐ Individual
- ☐ Corporate Officer — Title(s): \_\_\_\_\_
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney in Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other: \_\_\_\_\_

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