### 501998280 07/23/2012

#### PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Meihuan Zhu Fulk	07/20/2012
Richard Gutman	07/20/2012

## RECEIVING PARTY DATA

Name:	AEP Transmission Holding Company, LLC	
Street Address:	1 Riverside Plaza	
City:	Columbus	
State/Country:	ОНЮ	
Postal Code:	43215	

## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	29427795

### **CORRESPONDENCE DATA**

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US Mail.

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ATTORNEY DOCKET NUMBER:	AEP1480-180
NAME OF SUBMITTER:	James L. Kwak

# **Total Attachments: 5**

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PATENT REEL: 028614 FRAME: 0435 ICH \$40,00 2942

# PATENT ASSIGNMENT AND SALE

THIS PATENT ASSIGNMENT AND SALE effective as of the date last written below is by and between Meihuan Zhu Fulk, a citizen of the People's Republic of China residing at 3663 Hidden Cove Circle, Lewis Center, Ohio 43035 USA, and Richard Gutman, a citizen of the United States of America residing at 1407 Beechlake Drive, Columbus, Ohio 43235 USA (collectively, the "Assignors"), and AEP Transmission Holding Company, LLC ("Assignee"), a Delaware limited liability company having its principal place of business at 1 Riverside Plaza, Columbus, Ohio 43215.

#### WITNESSETH

WHEREAS the Assignors are the inventors of POWER TRANSMISSION TOWER, an invention being fully described and/or claimed in an application for Design Patent of the United States of America, filed July 23,  $20l^2$  and assigned application number 29427795 and who now desires to assign the invention and/or improvements in POWER TRANSMISSION TOWER to Assignee for the United States and throughout the world. [The Assignors hereby authorize and request that Anthony J. Swaneck, Esq., attorney for the Assignee, insert the filing date and application serial number of said application where indicated above and below when such are known.]

NOW THEREFORE, in contemplation of the above and in consideration of the following mutual promises, Assignors and Assignee agree as follows:

The undersigned Assignors, by these presents, do hereby agree to sell, assign, transfer and set over and have sold, assignment, transferred and set over unto the Assignee the entire right, title and interest in and to the invention and/or improvements in POWER TRANSMISSION TOWER, an invention being fully described and/or claimed in an application for Design Patent of the United States of America, filed on  $\underline{July}$  23 ,  $20^{12}$  and assigned application number  $\underline{29427795}$  , in and for the United States and all foreign countries including the right to apply for patent rights in each foreign country, and to any and all additional applications derived therefrom, including, but not limited to, any and all provisional, non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Design Patents which have been or shall be filed in the United States and all foreign countries on any of the said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States the same to be held and enjoyed by the Assignee, its successors, assigns or other legal representatives, to the full ends of the term for which Design Patent(s) thereof may be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

Assignee, at its expense, agrees to file the application for Design Patent of the United States of America and in the application will list the Assignors as the inventors of POWER TRANSMISSION TOWER.

Assignee is hereby authorized to make application for and to receive Design Patent for POWER TRANSMISSION TOWER, and any and all applications derived therefrom, in any country at its election.

The undersigned Assignors agree to execute or procure any further necessary assurance of title to the invention and Design Patent(s); and at any time, upon request and at the expense of Assignee, will execute and deliver any and all papers that may be necessary or desirable to perfect title of said invention or any Design Patent which may be granted therefor to Assignee, its successors, assigns or other legal representatives, and upon the request and at the expense of Assignee, will execute any additional or divisional applications for patents of the invention, or any part or parts thereof, and for the reissue of any

Design Patent to be granted thereof, will make all rightful oaths and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of Assignee, its successors, assigns or other legal representatives; provided however, that if an Assignor is no longer an employee of an affiliated company of the American Electric Power system, then as for that Assignor, the obligations set forth in this paragraph are conditioned on Assignee expressly agreeing to pay Assignor's reasonable costs as a direct result of providing further assistance to the Assignee in the prosecution of the above referenced patent application.

The Commissioner of Patents is hereby authorized and requested to issue any and all Design Patent(s) of the United States for said invention, to the Assignee.

WITNESS:	ASSIGNOR:	
RUHH-II	Heihuan Zhu Fulk	h
DATE: 7/20/2012		
State of Ohio,		
County of Franklin, SS:		
SWORN to and subscribed to before me this	20th day of July	, 2012
	Lance Spencer NOTARY PUBLIC Commission Expires OF	
	Commission Expires O	2.10-9012
WITNESS:	ASSIGNOR:	
RUHHATE.	Ala	
DATE: 7/20/2012		
State of Ohio,		
County of Franklin, SS:		
SWORN to and subscribed to before me this	20 h day of July	2012.
SWORN to and subscribed to before me this	Trancy Spiner	
	NOTARY BUBLIC Commission Expires OS	
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WITNESS:	ASSIGNEE: AEP Transmission Holding Company, LLC
- OULAL	
	Name: Lisa M. Barton
7/20/12 DATE:	Title: President and COO
State of Ohio,	
County of Franklin, SS:	
SWORN to and subscribed to before me this _20_	day of <u>July</u> , 2012.
JOSEPHINE CONER  Notary Public, State of Ohio  My Commission Expires 09-20-16	Apophine Coner NOTARY PUBLIC

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	STA	TEMENT UNDER	R 37 CFR 3.7	<u>3(b)</u>
Applicant/Patent Ow	ner: Meihuan Zhu Fulk and	Richard Gutman		
Application No./Pate				Date:
Titled: POWER	TRANSMISSION TOWER			
AEP Transmission	Holding Company, LLC	, a limited l	ability compa	ny
(Name of Assignee)				poration, partnership, university, government agency, etc.
states that it is:				
1. X the assig	nee of the entire right, title, and	d interest in;		
	nee of less than the entire right ent (by percentage) of its owne			r ·
3. the assig	nee of an undivided interest in	the entirety of (a co	mplete assignr	ment from one of the joint inventors was made)
-	n/patent identified above, by vi	-	•	·
the Unite	nment from the inventor(s) of the distance of	he patent applicatio k Office at Reel	n/patent identif	ied above. The assignment was recorded in Frame, or for which a
OR				
Second .			•	ed above, to the current assignee as follows:
1. From	s.		To:	
	The document was recorded			
	Keel	· rrame	t	or for which a copy thereof is attached.
2. From			То:	
	The document was recorded			
	Reel	Frame		or for which a copy thereof is attached.
3. From	é. e.		То:	
	The document was recorded	in the United States	Patent and Tr	ademark Office at
	Reel	Frame		or for which a copy thereof is attached.
Addition	al documents in the chain of tit	te are listed on a su	ipplemental sh	eet(s).
As required by or concurrent	y 37 CFR 3.73(b)(1)(i), the do ly is being, submitted for record	cumentary evidence dation pursuant to 3	e of the chain o 7 CFR 3.11.	of title from the original owner to the assignee was,
	parate copy ( $i.e.$ , a true copy of a fit 37 CFR Part 3, to record the			nt(s)) must be submitted to Assignment Division in USPTO. <u>See</u> MPEP 302.08]
The undersigned (wh	nose title is supplied below) is a	authorized to act on	behalf of the a	ssignee.
				7/20/12
Signature	Alleman			Date
Lisa M. Barton	<u>:</u>			President and COO
Printed or Ty	rped Name			Title

This collection of information is required by 37 CFR 3,73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1,11 and 1,14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of
  presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to
  opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, oursuant to the Patent Cooperation Treaty.
- World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.

  6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PATENT REEL: 028614 FRAME: 0440

RECORDED: 07/23/2012