

Form PTO-1595 (Rev. 01-09)
OMB No. 0651-0027 (exp. 02/28/2009)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

1) William C. Galloway
2) Ryan A. Callison
3) Greg J. Pellegrino
4) Choon-Seng Tan

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Pivot3, Inc.
Internal Address: _____
Street Address: 6605 Cypresswood Drive
City: Spring
State: Texas
Country: USA Zip: 77379

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):
Execution Date(s) 1-2) 06/29/2009 and 3-4) 07/22/2009

Assignment Merger
 Security Agreement Change of Name
 Joint Research Agreement
 Government Interest Assignment
 Executive Order 9424, Confirmatory License
Corrective Document for Assignment originally
 Other recorded at Reel/Frame 023029 / 0979

4. Application or patent number(s): This document is being filed together with a new application.

A. Patent Application No.(s)
Application No. 12/479,319 (PIVOT1100-1)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Sprinkle IP Law Group
Internal Address: _____
Street Address: 1301 West 25th Street, Suite 408
City: Austin
State: Texas Zip: 78705
Phone Number: 512.637.9220
Fax Number: 512.371.9088
Email Address: _____

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

Authorized to be charged to deposit account
 Enclosed
 None required (government interest not affecting title)

8. Payment Information

Deposit Account Number: 503183
Authorized User Name: Ari G. Akmal

9. Signature: _____ 7-24-2012
Signature Date
Ari G. Akmal, Reg. No. 51,038
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

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CH \$40.00 503183 12479319

PATENT

REEL: 028635 FRAME: 0881

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USPTO 7/31/2009 11:27:00 AM PAGE 4/004 Fax Server
TO: SPRINKLE IP LAW GROUP COMPANY: 1301 WEST 25TH STREET, SUITE 408

JUL-30-2009 THU 02:15 PM SPRINKLE IP LAW GROUP FAX NO. 512 371 9088 P. 02/04
07/30/2009
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Form PTO-1095 (Rev. 01-09)
OMB No. 0931-0027 (exp. 02/28/2009)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY	
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
1. Name of conveying party(ies) William C. Galloway Ryan A. Callison Greg J. Pellegrino Choon-Send Tan Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies) Name: <u>Pivot8</u> Internal Address: _____ _____ Street Address: <u>6806 Cypresswood Drive</u> _____ City: <u>Spring</u> State: <u>Texas</u> Country: <u>USA</u> Zip: <u>77379</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance/Execution Date(s): Execution Date(s) <u>June 29 and July 22, 2009</u> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____	
4. Application or patent number(s): <input type="checkbox"/> This document is being filed together with a new application. A. Patent Application No.(s) 12/479,319 (PIVOT1100-1) B. Patent No.(s)	
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name and address to whom correspondence concerning document should be mailed: Name: <u>Sprinkle IP Law Group</u> Internal Address: _____ _____ Street Address: <u>1301 West 25th Street, Suite 408</u> _____ City: <u>Austin</u> State: <u>Texas</u> Zip: <u>78705</u> Phone Number: <u>512.637.9220</u> Fax Number: <u>512.371.9088</u> Email Address: _____	6. Total number of applications and patents involved: <u>one</u> 7. Total fee (37 CFR 1.21(h) & 3.41) \$ <u>40.00</u> <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not effecting title)
8. Payment Information Deposit Account Number: <u>503183</u> Authorized User Name: <u>Ad G. Akmal</u>	
9. Signature: _____ <u>7-30-09</u> Signature Date Ad G. Akmal Name of Person Signing	
Total number of pages including cover sheet, attachments, and documents: 3	

Documents to be recorded (including cover sheet) should be filed in (571) 273-0148, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1489, Alexandria, VA 22313-1489

ATTORNEY DOCKET
PIVOT1100-1

PATENT APPLICATION
Serial No. 12/479,319

- 1 of 2 -

ASSIGNMENT

This Assignment is made by **William C. Galloway and Ryan A. Callison** both of **Magnolia, Texas**, **Greg J. Pellegrino**, of **Tomball, Texas**, and **Choon-Seng Tan** of **Houston, Texas** ("Assignors").

WHEREAS, Assignors have invented a new and useful invention entitled "**METHOD AND SYSTEM FOR DISTRIBUTED RAID IMPLEMENTATION**", for which an application for United States Letters Patent was made on **June 5, 2009**, bearing an application number of **12/479,319**; and

WHEREAS, Assignors believe themselves to be the original inventors of the invention including any and all improvements disclosed in said application ("Invention"); and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application, on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application for protection ("Applications"), and any patents, invention registrations or other forms of protection ("Patents") that may be granted for said invention from any Applications in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign, and transfer to ^{Pivot3, Inc.} ~~Pivot3~~ having a principal place of business at **6605 Cypresswood Drive, Spring, TX 77379** (hereinafter referred to as "Assignee"), the entire right, title, and interest in and to said invention, said Applications and any Patents that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and/or their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing the Patents in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Patents granted for said invention in the United States and throughout the world.

MCS
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RC
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GP
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CSJ
6/4/2012

ATTORNEY DOCKET
PIVOT1100-1

PATENT APPLICATION
Serial No. 12/479,319

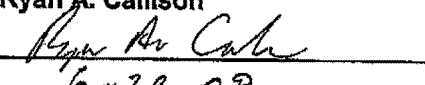
- 2 of 2 -

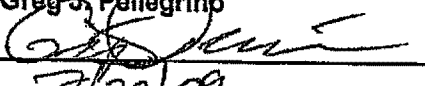
Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

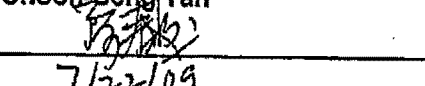
Assignors authorize and request the Commissioner for Patents of the United States and of all foreign countries to issue any Patents granted for said Invention, whether on said application or on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application, to Assignee, its successors and assigns, as the assignee of the entire interest in said Invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates provided below.

Name of Sole/First Inventor: William C. Galloway
Signature: 
Date: 6-29-09

Name of Additional Inventor: Ryan A. Callison
Signature: 
Date: 6-29-09

Name of Additional Inventor: Greg J. Pellegrino
Signature: 
Date: 7/22/09

Name of Additional Inventor: Choon-Seng Tan
Signature: 
Date: 7/22/09

ATTORNEY DOCKET
PIVOT1100-1

PATENT APPLICATION
Serial No. 12/479,319

- 1 of 2 -

ASSIGNMENT

This Assignment is made by **William C. Galloway and Ryan A. Callison** both of **Magnolia, Texas**, **Greg J. Pellegrino**, of **Tomball, Texas**, and **Choon-Seng Tan** of **Houston, Texas** ("Assignors").

WHEREAS, Assignors have invented a new and useful invention entitled "**METHOD AND SYSTEM FOR DISTRIBUTED RAID IMPLEMENTATION**", for which an application for United States Letters Patent was made on **June 5, 2009**, bearing an application number of **12/479,319**; and

WHEREAS, Assignors believe themselves to be the original inventors of the invention including any and all improvements disclosed in said application ("Invention"); and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said Invention, said application, on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application for protection ("Applications"), and any patents, invention registrations or other forms of protection ("Patents") that may be granted for said Invention from any Applications in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the Invention and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign, and transfer to **Pivot3** having a principal place of business at **6605 Cypresswood Drive, Spring, TX 77379** (hereinafter referred to as "Assignee"), the entire right, title, and interest in and to said Invention, said Applications and any Patents that may be granted for said Invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and/or their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing the Patents in the United States and throughout the world for said Invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said Invention, said application, and any Patents granted for said Invention in the United States and throughout the world.

PATENT
REEL: 028635 FRAME: 0885

ATTORNEY DOCKET
PIVOT1100-1

PATENT APPLICATION
Serial No. 12/479,319

- 2 of 2 -

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner for Patents of the United States and of all foreign countries to issue any Patents granted for said Invention, whether on said application or on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application, to Assignee, its successors and assigns, as the assignee of the entire interest in said Invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates provided below.

Name of Sole/First Inventor:

William C. Galloway

Signature:



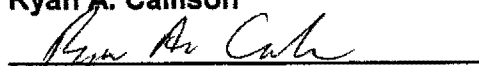
Date:

6-29-09

Name of Additional Inventor:

Ryan A. Callison

Signature:



Date:

6-29-09

Name of Additional Inventor:

Greg J. Pellegrino

Signature:



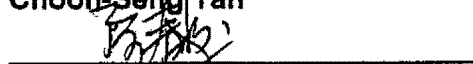
Date:

7/22/09

Name of Additional Inventor:

Choon-Seng Tan

Signature:



Date:

7/22/09