

## PATENT ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT										
NATURE OF CONVEYANCE:	Corrective Assignment to correct the Conveying and Receiving Parties which were transposed previously recorded on Reel 020016 Frame 0847. Assignor(s) hereby confirms the Release by Secured Party from Conveying Party Venture Lending & Leasing III, LLC, to Receiving Party Broncus Technologies, Inc.										
CONVEYING PARTY DATA											
<table border="1"> <tr> <th>Name</th> <th>Execution Date</th> </tr> <tr> <td>Venture Lending &amp; Leasing III, LLC, successor in interest to Venture Lending &amp; Leasing III, Inc.</td> <td>10/15/2007</td> </tr> </table>		Name	Execution Date	Venture Lending & Leasing III, LLC, successor in interest to Venture Lending & Leasing III, Inc.	10/15/2007						
Name	Execution Date										
Venture Lending & Leasing III, LLC, successor in interest to Venture Lending & Leasing III, Inc.	10/15/2007										
RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>Broncus Technologies, Inc.</td> </tr> <tr> <td>Street Address:</td> <td>1400 North Shoreline Boulevard, Suite A8</td> </tr> <tr> <td>City:</td> <td>Mountain View</td> </tr> <tr> <td>State/Country:</td> <td>CALIFORNIA</td> </tr> <tr> <td>Postal Code:</td> <td>94043</td> </tr> </table>		Name:	Broncus Technologies, Inc.	Street Address:	1400 North Shoreline Boulevard, Suite A8	City:	Mountain View	State/Country:	CALIFORNIA	Postal Code:	94043
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Street Address:	1400 North Shoreline Boulevard, Suite A8										
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Postal Code:	94043										
PROPERTY NUMBERS Total: 4											
<table border="1"> <tr> <th>Property Type</th> <th>Number</th> </tr> <tr> <td>Patent Number:</td> <td>6174323</td> </tr> <tr> <td>Patent Number:</td> <td>6599311</td> </tr> <tr> <td>Patent Number:</td> <td>6997189</td> </tr> <tr> <td>Patent Number:</td> <td>6514290</td> </tr> </table>		Property Type	Number	Patent Number:	6174323	Patent Number:	6599311	Patent Number:	6997189	Patent Number:	6514290
Property Type	Number										
Patent Number:	6174323										
Patent Number:	6599311										
Patent Number:	6997189										
Patent Number:	6514290										
CORRESPONDENCE DATA											
Fax Number:	6503262422										
Phone:	650-326-2400										
Email:	bbrown@kilpatricktownsend.com										
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>											
Correspondent Name:	Mark D. Barrish										
Address Line 1:	KILPATRICK TOWNSEND & STOCKTON LLP										
Address Line 2:	Two Embarcadero Center, Eighth Floor										
Address Line 4:	San Francisco, CALIFORNIA 94111										

OP \$160.00 6174323

ATTORNEY DOCKET NUMBER:	91808-842140 141 143 149
NAME OF SUBMITTER:	Mark D. Barrish
<b>Total Attachments: 4</b> source=Recorded Release#page1.tif source=Recorded Release#page2.tif source=Recorded Release#page3.tif source=Recorded Release#page4.tif	

10-24-2007

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



HEET

To the Director of the U.S. Pat.

103455757

ned documents or the new address(es) below.

1. Name of conveying party(ies)

BRONCUS TECHNOLOGIES, INC.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 10/15/07

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☒ Other Release

2. Name and address of receiving party(ies)

Name: Venture Lending & Leasing III, LLC, successor in

Internal Address: interest to

Venture Lending & Leasing III, Inc.

Street Address: 2010 North First Street

City: San Jose

State: California

Country: US Zip: 95131

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

08/887,206; 09/590,790; 09/680,645; 09/092,727; 09/576,786;  
09/633,651; 09/908,077; 09/908,008; 09/908,177; 09/947,144;  
10/235,240; 09/946,706; 10/080,344; 10/079,605; 10/280,851;  
09/947,126;

B. Patent No.(s)

Additional numbers attached? ☒ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Russell D. Pollock, Esq.

Internal Address:

Street Address: Four Embarcadero Center, Suite 4000

City: San Francisco

State: California Zip: 94111

Phone Number: 415-981-1400

Fax Number: 415-777-4961

Email Address:

6. Total number of applications and patents involved: 22

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 880.00

- ☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

9. Signature:

Signature

10/19/07  
Date

10/23/2007 DBYRNE 00000146 08807206

01 FC:8021

Ray T. Kitzman

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 020847 FRAME: 0847

REEL: 028640 FRAME: 0447

Attachment to Recordation Form Cover Sheet  
Broncus Technologies, Inc.

No. 4 Application Patent Number

10,615,491  
10/458,085  
10/633,902  
60/488,332  
10/628,971  
10/679,065

43251/0949  
10/19/07/MVR/333978.1

PATENT  
REEL: 020761 FRAME: 0848  
REEL: 028640 FRAME: 0448

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
RELEASE OF SECURITY INTEREST IN PATENTS

Whereas, BRONCUS TECHNOLOGIES, INC., a California corporation, whose post office address is 1400 North Shoreline Boulevard, Suite A8 Mountain View, CA 94043 ("Assignor"), had granted, to secure the repayment of loans made under a Loan and Security Agreement dated as of dated as of April 8, 2004 (the "Loan Agreement"), pursuant to the Loan Agreement, a security interest in certain personal property assets of Assignor, including all right, title and interest of Assignor in, to and under all of Assignor's patents, patent applications and patent licenses, as specifically listed on the attached "Schedule 1," whether presently existing or hereafter arising or acquired, and all products and proceeds thereof, including, without limitation, any and all causes of action which may exist by reason of infringement thereof for the full term of the patents (all of the foregoing are collectively called the "Patents") to Venture Lending & Leasing III, LLC, as successor in interest to Venture Lending & Leasing III, Inc., a Maryland corporation, having a mailing address at 2010 North First Street, Suite 310, San Jose, California 95131 ("Assignee") by an Intellectual Property Security Agreement executed April 8, 2004, and recorded at the United States Patent and Trademark Office.

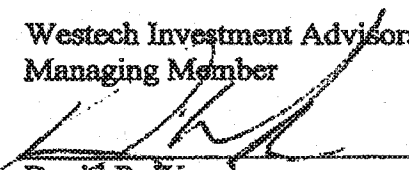
Now, therefore, for good and valuable consideration provided to Assignee by Assignor, Assignee, by these presents, does release Assignor from Assignee's security interest in the entire right, title and interest in and to the Patents.

Executed this 15th day of October 2007, at San Jose, California.

VENTURE LENDING & LEASING III, LLC

By: VLLI CAPITAL, LLC  
Its: Managing Member

By: Westech Investment Advisors, Inc.  
Its: Managing Member

By:   
Name: David R. Wanek  
Title: Vice President

43251/0849  
JTK/333641.1

PATENT  
REEL: 020849  
REEL: 028640 FRAME: 0449

SCHEDULE 1

Patents

Registration/Serial Number

08/887,206  
09/590,790  
09/680,645  
09/092,727  
09/576,786  
09/633,651  
09/908,087  
09/908,008  
09/908,177  
09/947,144  
10/235,240  
09/946,706  
10/080,344  
10/079,605  
10/280,851  
09/947,126  
10,615,491  
10/458,085  
10/633,902  
60/488,332  
10/628,971  
10/679,065

43251/0949  
JTK/333641.1

RECORDED: 10/22/2007  
RECORDED: 07/25/2012

PATENT  
REEL: 0209 PATENT TIME: 0850  
REEL: 028640 FRAME: 0450