## PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	

#### **CONVEYING PARTY DATA**

Name	Execution Date
Constance Senior	07/20/2012
Gregory M. Filippelli	07/26/2012
Cynthia Jean Bustard	07/20/2012
Michael D. Durham	07/20/2012
William J. Morris	07/16/2012
Sharon M. Sjostrom	07/20/2012

#### **RECEIVING PARTY DATA**

Name:	ADA-ES, Inc.	
Street Address:	9135 S. Ridgeline Blvd., Suite 200	
City:	Highlands Ranch	
State/Country:	COLORADO	
Postal Code:	80129	

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13471015

## **CORRESPONDENCE DATA**

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Email: dswartz@sheridanross.com

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

via US Mail.

502007043

Correspondent Name: Douglas W. Swartz/Sheridan Ross PC

Address Line 1: 1560 Broadway Address Line 2: **Suite 1200** 

Address Line 4: Denver, COLORADO 80202

ATTORNEY DOCKET NUMBER: 3791-94-1 DWS

> PATENT **REEL: 028678 FRAME: 0028**

NAME OF SUBMITTER:	Douglas W. Swartz
Total Attachments: 2 source=ExecutedAssignment#page1.tif source=ExecutedAssignment#page2.tif	

PATENT REEL: 028678 FRAME: 0029 Atty. Docket No.: 3791-94-1

### Worldwide Assignment

WHEREAS, I/We Constance Senior, Gregory M. Filippelli, Cynthia Jean Bustard, Michael D. Durham, William J. Morris and Sharon M. Sjostrom, have made an invention entitled "PROCESS TO REDUCE EMISSIONS OF NITROGEN OXIDES AND MERCURY FROM COAL-FIRED BOILERS" for which I/We have filed an application for Letters Patent of the United States on May 14, 2012, under Application No. 13/471,015 and

WHEREAS, <u>ADA-ES</u>, <u>Inc.</u> ("ASSIGNEE"), a corporation or other business entity duly registered in the State/Country of <u>Colorado</u>, whose postal address is <u>9135 S. Ridgeline Blvd.</u>, <u>Ste. 200, Highlands Ranch, Colorado 80129</u>, desires to acquire the entire right, title, and interest in and to the invention, the application, and any Letters Patent to be granted for the invention in the United States and in all foreign countries;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) paid to me/us by ASSIGNEE, and other good and valuable consideration, the receipt and sufficiency of which I/We acknowledge, I/We, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto ASSIGNEE, its successors, legal representatives, and assigns, the entire right, title, and interest in and to the invention, the application, all applications claiming benefit of the application, including, but not limited to, all divisions and continuations of the application, and all Letters Patent that may be granted thereon in the United States, Canada and in all foreign countries, and all reissues thereof, together with the right to claim priority under the International Convention in all member countries; and I/We authorize and request the Director of Patents and Trademarks to issue all Letters Patent for the invention to ASSIGNEE, its successors, legal representatives, and assigns in accordance with the terms of this Assignment;

AND I/We covenant and agree that I/We have the full right to convey the entire right, title, and interest herein assigned and that I/We have not executed and will not execute any assignment or other instrument in conflict with this Assignment;

AND I/We further covenant and agree that upon request by ASSIGNEE, its successors, legal representatives, and/or assigns, and without further consideration, I/We will do all lawful acts that may be necessary or desirable to assist ASSIGNEE, its successors, legal representatives, and/or assigns to obtain and enforce patent protection for the invention in the United States and in all foreign countries, including, but not limited to, communicate with ASSIGNEE, its successors, legal epresentatives, and/or assigns any facts known to me/us regarding the invention, testify in any legal proceeding, sign all lawful papers, execute and deliver all papers that may be necessary or desirable to perfect the title to the invention in ASSIGNEE, its successors, legal representatives, and/or assigns, execute all divisional, continuation, and reissue applications, and make all rightful to oaths, it being understood that any expense incident to the rendering of such assistance will be borne by ASSIGNEE, its successors, legal representatives, and/or assigns;

AND I/We further hereby authorize ASSIGNEE, or its attorneys or agents, to insert the correct application Number and filing Date into this Assignment, if none is indicated on that date of my/our execution of this agreement.

IN	WITNESS WHEREOF, I/We have	executed this Assignment on the date(s) indicated
elow. iignature:	Comic fin. 7/20/12	Signature: Cana M. J. Unall
Pate:	7/20/12	Date: 07/26/8012
ull Name:	Constance Senior	Full Name: Gregory M. Filippelli
ddress:	301 Almond St., Apt. 2	Address: 3 Franklin Tree Court
***************************************	Salt Lake City, UT 84103	Catonsville, MD 21228

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Signature: Cynth se Brotat  Date: 7/20/2	Signature: 7/20/12
Full Name: Cynthia Jean Bustard	Full Name: Michael D. Durham
Address: 9193 Buffalo Dr.	Address: 5252 Lariat Drive
Littleton, CO 80127	Castle Rock, CO 80108
Signature: Name: 7/16/12  Full Name: William J. Morris  Address: 8540 Grizzly Way  Evergreen, CO 80439	Signature:

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