## 502009046 07/31/2012

### PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

 SUBMISSION TYPE:
 NEW ASSIGNMENT

 NATURE OF CONVEYANCE:
 ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
CADTRAK ENGINEERING, LLC	06/29/2012

### **RECEIVING PARTY DATA**

Name:	SPIRAL WATER TECHNOLOGIES, INC.
Street Address:	31 Santa Barbara Avenue
City:	San Anselmo
State/Country:	CALIFORNIA
Postal Code:	94960

## PROPERTY NUMBERS Total: 2

Property Type	Number	
Application Number:	13045349	
PCT Number:	US2011027996	

## **CORRESPONDENCE DATA**

**Fax Number**: 8586771465 **Phone**: (858) 638-6638

Email: ann.kopels@dlapiper.com

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

via US Mail.

Correspondent Name: Matthew J. Hierholzer

Address Line 1: 4365 Executive Drive, Suite 1100
Address Line 4: San Diego, CALIFORNIA 92121-2133

ATTORNEY DOCKET NUMBER: 382363-1

NAME OF SUBMITTER: Matthew J. Hierholzer

Total Attachments: 2

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PATENT

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## FORM OF PATENT ASSIGNMENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### ASSIGNMENT

WHEREAS, Cadtrak Engineering, LLC, a limited liability company organized under the laws of the state of California, with offices at 31 Santa Barbara Ave. San Anselmo, CA 94960 ("ASSIGNOR") owns certain patent applications and/or registrations, as set forth in Attachment 1 attached hereto and incorporated herein by this reference ("PATENTS");

WHEREAS, Spiral Water Technologies, Inc., a Delaware corporation, with offices at 31 Santa Barbara Ave., San Anselmo, CA 94960 ("ASSIGNEE"), desires to acquire all of the right, title and interest of ASSIGNOR in, to and under the PATENTS;

WHEREAS, ASSIGNOR and ASSIGNEE have entered into a certain Technology Transfer Agreement, dated June 29, 2012 assigning, among other things, all right, title and interest in and to the PATENTS from ASSIGNOR to ASSIGNEE;

Now, Therefore, for good and valuable consideration paid by ASSIGNEE to ASSIGNOR, the receipt and sufficiency of which hereby is acknowledged, ASSIGNOR does hereby sell, assign, transfer and convey unto ASSIGNEE its entire right, title and interest in and to the PATENTS, including all divisions, continuations, continuations-in-part, reexaminations, substitutions, reissues, extensions and renewals of the applications and registrations for the PATENTS (and the right to apply for any of the foregoing); all rights to causes of action and remedies related thereto (including, without limitation, the right to sue for past, present or future infringement, misappropriation or violation of rights related to the foregoing); and any and all other rights and interests arising out of, in connection with or in relation to the PATENTS.

IN WITNESS WHEREOF, ASSIGNOR has caused this Assignment to be duly executed by an authorized officer on this **29** day of \_\_\_\_\_\_\_, 2012.

ASSIGNOR:

Cadtrak Engineering, LLC

Name: David J. Levitt

Title: PRESIDENT

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# **ATTACHMENT 1**

## **PATENTS**

Patent No.	Title	Filing Date
U.S. Patent Appl. No. 13/045,349	FLUID FILTRATION AND PARTICLE CONCENTRATION DEVICE AND METHODS	03/10/2011
International Appl. No. PCT/US2011/027996	FLUID FILTRATION AND PARTICLE CONCENTRATION DEVICE AND METHODS	03/10/2011

**RECORDED: 07/31/2012**