

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT														
NATURE OF CONVEYANCE:	ASSIGNMENT														
CONVEYING PARTY DATA															
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Bret BERESFORD-WOOD</td> <td>07/26/2012</td> </tr> <tr> <td>Amanda GROSSE</td> <td>07/25/2012</td> </tr> <tr> <td>Adam ROSS</td> <td>07/25/2012</td> </tr> <tr> <td>Kevin ASUNCION</td> <td>07/26/2012</td> </tr> <tr> <td>Tom SOLODYNA</td> <td>07/26/2012</td> </tr> <tr> <td>Eric FOLKEMER</td> <td>07/25/2012</td> </tr> </tbody> </table>		Name	Execution Date	Bret BERESFORD-WOOD	07/26/2012	Amanda GROSSE	07/25/2012	Adam ROSS	07/25/2012	Kevin ASUNCION	07/26/2012	Tom SOLODYNA	07/26/2012	Eric FOLKEMER	07/25/2012
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<table border="1"> <tr> <td>Name:</td> <td>THE NASDAQ OMX GROUP, INC.</td> </tr> <tr> <td>Street Address:</td> <td>One Liberty Plaza, 165 Broadway</td> </tr> <tr> <td>City:</td> <td>New York</td> </tr> <tr> <td>State/Country:</td> <td>NEW YORK</td> </tr> <tr> <td>Postal Code:</td> <td>10006</td> </tr> </table>		Name:	THE NASDAQ OMX GROUP, INC.	Street Address:	One Liberty Plaza, 165 Broadway	City:	New York	State/Country:	NEW YORK	Postal Code:	10006				
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Application Number:	13543617														
CORRESPONDENCE DATA															
Fax Number:	7038164100														
Phone:	703-816-4000														
Email:	ap@nixonvan.com														
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>															
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Address Line 1:	901 N. Glebe Road														
Address Line 4:	Arlington, VIRGINIA 22203														
ATTORNEY DOCKET NUMBER:	4010-238-AC														

NAME OF SUBMITTER:

Adam Chapin

Total Attachments: 4

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PATENT

REEL: 028732 FRAME: 0215

ASSIGNMENT

WHEREAS, Bret BERESFORD-WOOD, Amanda GROSSE, Adam ROSS, Kevin ASUNCION, Tom SOLODYNA, and Eric FOLKEMER (hereinafter ASSIGNORS) of 927 Reliez Station Road, Lafayette, California 94549, 416 Lafayette St, Apt 1D, New York, New York 10003, 57 Speir Drive, South Orange, New Jersey 07079, 6125 Broadway, Oakland, California 94618, 86 Oakwood Lane, Worcester, Massachusetts 01604, and 251 W 19th Street, Apt 1D, New York, New York 10001, respectively, have invented a certain improvement in DUE DILIGENCE SYSTEMS AND METHODS for which a so-entitled application for Letters Patent of the United States is being concurrently executed herewith; was filed in the United States Patent and Trademark Office on July 6, 2012 under Serial No. 13/543,617;

WHEREAS, THE NASDAQ OMX GROUP, INC. (hereinafter ASSIGNEE), a corporation, having an office and place of business at One Liberty Plaza, 165 Broadway, New York, New York 10006 is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the ASSIGNORS by these presents hereby sell, assign, and transfer unto the ASSIGNEE, its successors, assigns, and legal representatives, the aforesaid application(s) and the full and exclusive right to the invention and improvements therein in the United States and all foreign countries, as described in the aforesaid application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-

American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any Letters Patent or Patents claiming priority from the aforesaid application to ASSIGNEE, for its interest as ASSIGNEE, for the sole use and behoof of ASSIGNEE, its successors, assigns, and legal representatives.

ASSIGNORS hereby agree to assist in the preparation of and hereby assign a like interest to said ASSIGNEE, its successors, assigns, and legal representatives, without further remuneration, any continuation, divisional, reissue, or foreign application claiming priority from the aforesaid application or otherwise growing out of or related to the invention; and to execute any papers by ASSIGNEE, its successors, assigns, and legal representatives necessary to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNORS specifically agree, upon request of ASSIGNEE, and without further remuneration, to execute any and all papers desired by ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in ASSIGNEE. It is understood and agreed that ASSIGNEE'S attorneys Nixon & Vanderhye P.C. have represented only ASSIGNEE and will continue to represent only ASSIGNEE with respect to this invention; and

The undersigned hereby grant(s) the firm of NIXON & VANDERHYE P.C. the power to insert on this assignment any further identification which may be necessary or

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desirable in order to comply with the rules of the United States Patent Office for recordation
of this document.

AGREED and executed as noted below:

7/26/2012 [Signature]
Date Bret BERESFORD-WOOD

Witnessed by:

Name: TOM SOWONA Date: 7/26/2012

7/25/2012 [Signature]
Date Amanda GROSSE

Witnessed by:

Name: SOFIA MILLARES Date: 7/25/2012

7/25/2012 [Signature]
Date Adam ROSS

Witnessed by:

Name: Amanda Grosse Date: 7/25/2012

7/26/2012 [Signature]
Date Kevin ASUNCION

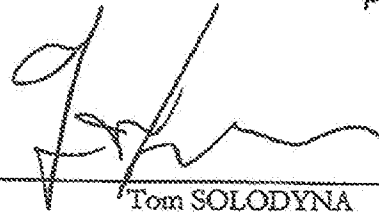
Witnessed by:

Name: Amanda Grosse Date: 7/26/2012

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Date



Tom SOLODYNA

Witnessed by:

Name: Bret Baresford-Wood

Date: 7/26/2012

7/25/2012

Date



Eric FOLKEMER

Witnessed by:

Name: Amanda Grosse

Date: 7/25/2012