### PATENT ASSIGNMENT

## Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:		NEW ASSIGNMENT				
NATURE OF CONVEYANCE:		ASSIGNMENT				
CONVEYING PARTY	/ DATA					
Name Execution Date						
Gary S. Erzberger				03/22/2012		
Dara Chin			03/22/2012			
Robert T. Sandgren			03/22/2012			
Michael Patrick Corc	coran			03/22/2012		
RECEIVING PARTY	DATA					
Name:	Cardia, Inc.					
Street Address:	2900 Lone Oal	2900 Lone Oak Parkway, Suite 130				
City:	Eagan	Eagan				
State/Country:	MINNESOTA	MINNESOTA				
Postal Code:	55121	55121				
Application Number: 1340		13400	0968			
CORRESPONDENC	E DATA					
Fax Number: 6129159637						
Phone: 612-915-9633						
Email:	mkeller@		.com			
•	be sent to the e-ma	ail addr	ress first; if that is unsuccessful, it	will be sent via US		
Mail. Correspondent Name: BECK AND TYSVER P.L.L.C.						
Address Line 1:     2900 THOMAS AVENUE SOUTH						
Address Line 2:		SUITE 100				
Address Line 4:	MINNEAPOLIS, MINNESOTA 55416					
ATTORNEY DOCKET NUMBER:			4794			
NAME OF SUBMITTER:			Robert C. Beck			
Total Attachments: 3 source=12-08-06-479 source=12-08-06-479 source=12-08-06-479	94-Assignment#pag	e2.tif				
				PATENT		

# ASSIGNMENT OF INVENTION AND PATENT APPLICATION

Whereas, we, the below named inventors, with residences and citizenships as indicated below; have made an invention titled

## LEFT ATRIAL APPENDAGE OCCLUSION DEVICE

and have executed an application for Letters Patent of the United States of America based thereon; which application is identified in the U.S. Patent and Trademark Office as U.S. Patent Application Serial No. 13/400,968 filed 2/21/2012.

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto:

#### CARDIA, INC.

a Minnesota Corporation having its principal office at 2900 Lone Oak Parkway Suite 130, Eagan, MN 55121 (the "Company") its successors and assigns, the entire right, title, and interest in and to the said invention and application, anywhere in the World, including the United States, and the entire right, title and interest in and to any and all Patents which may be granted therefor anywhere in the World, including in the United States. Such assignment includes any parent patent applications, continuations, continuations-in-part, divisionals, or any other further application based in whole or in part on the above invention or application, and any patent rights relating thereto (including utility models and inventors' certificates), whether in the United States or anywhere in the World,

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we may lawfully do, that may be deemed necessary by the said Company, its successors, assigns, and nominees, to secure its right, title, and interest in the invention and to obtain or maintain patent rights, utility models, or inventors' certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said Company, as the assignee of the entire right, title, and interest therein.

*Gary S. Erzberger* Residence: 3314 Pierce St. NE, Minneapolis, MN 55418 Citizenship: US

STATE OF M. me Sola ) ) ss.	SCOTT S ROBINSON						
COUNTY OF Dakota ) ss.	MINNESOTA MINNESOTA My Commission Expires January 31, 2015						
On this <u>22<sup>ns</sup></u> day of <u>Morth</u>	, 2012, before me personally appeared the						
above-named Gary G. Erzberger, personally known by me, and known by me to be the person							
described in and who executed the foregoing instrument, and who acknowledged that said							
person executed the same as said person's free act and deed, on the day and year aforesaid.							
Acos had							
Notary Public							

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PATENT REEL: 028735 FRAME: 0847

Dafa Chin

Residence: 2079 Sherwood Avenue, St. Paul, MN 55119 Citizenship: US

STATE OF Minesole SCOTT S ROBINSON ) ss. NOTARY PUBLIC MINNESOTA My Commission Expires January 31, 2015 COUNTY OF Dak do-March On this  $\mathcal{F}^{na}$  day of , 2012, before me personally appeared the above-named Dara Chin, personally known by me, and known be me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

Notary Public

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*Robert T. Sandgrén* Residence: 34818 Oasis Rd., Lindstrom, MN 55045 Citizenship: US

STATE OF Minnesola COUNTY OF Pakola



On this  $22^{n1}$  day of <u>March</u>, 2012, before me personally appeared the above-named <u>Robert T. Sandgren</u>, personally known by me, and known be me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

Notary Public

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PATENT REEL: 028735 FRAME: 0848

Michael Patrick Corcoran

Residence: 8241 Enclave Cove, Woodbury, MN 55125 Citizenship: US

STATE OF Minne Solan ) ) ss. COUNTY OF Parkoten )	SCOTT S ROBINSON NOTARY PUBLIC MINNESOTA My Commission Expires January 31, 2015						
On this $\mathcal{D}^{n^{1}}$ day of $\mathcal{M}^{n}$ day of $\mathcal{M}^{n}$ , 2012, before me personally appeared the above-named Michael Patrick Corcoran, personally known by me, and known be me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.							
Aw Roi							

Notary Public

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**RECORDED: 08/07/2012**