

Form PTO-1595 (Rev. 01-09)
OMB No. 0651-0027 (exp. 02/28/2009)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

- | | |
|-------------------------|-------------------------|
| 1) Robert C. Sims | 5) Jeffrey R. Stripling |
| 2) William H. Moody, II | 6) Gary A. McElroy |
| 3) Brian J. Bianchi | |
| 4) Charles C. Young | |

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 5/29/12, 5/23/12, 5/24/12, 6/28/12,

- | | |
|--|---|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Joint Research Agreement | |
| <input type="checkbox"/> Government Interest Assignment | |
| <input type="checkbox"/> Executive Order 9424, Confirmatory License | |
| <input checked="" type="checkbox"/> Other Execution Dates: <u>4/21/12, 4/18/12</u> | |

2. Name and address of receiving party(ies)

Name: Crossroads Systems, Inc.

Internal Address: _____

Street Address: 11000 N. Mopac Expressway,

Suite 100

City: Austin

State: Texas

Country: US Zip: 78759

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

13/451,812 (Atty Dkt No. CROSS2200)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Sprinkle IP Law Group (Cust. No. 44654)

Internal Address: _____

Street Address: 1301 W. 25th Street, Suite 408

City: Austin

State: Texas Zip: 78705

Phone Number: 512-637-9220

Fax Number: 512-371-9088

Email Address: docketing@sprinklelaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

Previously charged to deposit account.

- ☒ Authorized to be charged to deposit account
- ☐ Enclosed
- ☐ None required (government interest not affecting title)

8. Payment Information

Previously charged to deposit account. See Document ID 700488920.

Deposit Account Number: 503183

Authorized User Name: Kevin A. Gust

9. Signature:

Kevin A. Gust

Signature

August 8, 2012

Date

Kevin A. Gust, Reg. No. 51,032

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

9

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT

ATTORNEY DOCKET
CROSS2200

Serial No. _____

-1-

ASSIGNMENT

This Assignment is made by **Robert C. Sims of Round Rock, TX; William H. Moody, II of Austin, TX; Brian J. Bianchi of Cedar Park, Charles C. Young, of Austin, TX TX; Jeffrey R. Stripling of Austin, TX; Gary A. McElroy of Austin, TX** ("Assignors").

WHEREAS, Assignors have invented a new and useful invention entitled **"SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR A SELF-DESCRIBING TAPE"**, for which an application for United States Patent is made, said application being submitted concurrently herewith; and

WHEREAS, Assignors believe themselves to be the original inventors of the invention including any and all improvements disclosed in said application ("Invention"); and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application, on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application for protection ("Applications"), and any patents, invention registrations or other forms of protection ("Patents") that may be granted for said invention from any Applications in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign, and transfer to **Crossroads Systems, Inc.** having a principal place of business at **11000 N. Mopac Expressway, Suite 100, Austin, TX, 78759** (hereinafter referred to as "Assignee"), the entire right, title, and interest in and to said invention, said Applications and any Patents that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and/or their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing the Patents in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Patents granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

PATENT
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ATTORNEY DOCKET
CROSS2200

Serial No. _____

-2-

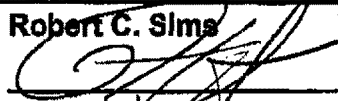
Assignors authorize and request the Commissioner for Patents of the United States and of all foreign countries to issue any Patents granted for said Invention, whether on said application or on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application, to Assignee, its successors and assigns, as the assignee of the entire interest in said Invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates provided below.

Name of Sole/First Inventor:

Robert C. Sims

Signature:



Date:

5/29/12

Name of Additional Inventor:

William H. Moody, II

Signature:



Date:

5/23/12

Name of Additional Inventor:

Brian J. Blanchi

Signature:



Date:

5/24/2012

Name of Additional Inventor:

Charles C. Young

Signature:

Date:

Name of Additional Inventor:

Jeffrey R. Stripling

Signature:

Date:

Name of Additional Inventor:

Gary A. McElroy

Signature:

Date:

ATTORNEY DOCKET
CROSS2200

Serial No. 13/451,812

-1-

ASSIGNMENT

This Assignment is made by **Robert C. Sims** of **Round Rock, TX**; **William H. Moody, II** of **Austin, TX**; **Brian J. Bianchi** of **Cedar Park**, **Charles C. Young**, of **Austin, TX**; **Jeffrey R. Stripling** of **Austin, TX**; **Gary A. McElroy** of **Austin, TX** ("Assignors").

WHEREAS, Assignors have invented a new and useful invention entitled "**SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR A SELF-DESCRIBING TAPE**," for which an application for United States Letters Patent was made on **April 20, 2012**, bearing an application number of **13/451,812**; and

WHEREAS, Assignors believe themselves to be the original inventors of the invention including any and all improvements disclosed in said application ("Invention"); and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said Invention, said application, on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application for protection ("Applications"), and any patents, invention registrations or other forms of protection ("Patents") that may be granted for said Invention from any Applications in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the Invention and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign, and transfer to **Crossroads Systems, Inc.** having a principal place of business at **11000 N. Mopac Expressway, Suite 100, Austin, TX, 78759** (hereinafter referred to as "Assignee"), the entire right, title, and interest in and to said Invention, said Applications and any Patents that may be granted for said Invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and/or their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing the Patents in the United States and throughout the world for said Invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said Invention, said application, and any Patents granted for said Invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

PATENT
REEL: 028746 FRAME: 0597

**ATTORNEY DOCKET
CROSS2200**Serial No. **13/451,812**

-2-

Assignors authorize and request the Commissioner for Patents of the United States and of all foreign countries to issue any Patents granted for said Invention, whether on said application or on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application, to Assignee, its successors and assigns, as the assignee of the entire Interest in said Invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates provided below.

Name of Sole/First Inventor: **Robert C. Sims****Signature:** _____**Date:** _____

Name of Additional Inventor: **William H. Moody, II****Signature:** _____**Date:** _____

Name of Additional Inventor: **Brian J. Bianchi****Signature:** _____**Date:** _____

Name of Additional Inventor: **Charles C. Young****Signature:** *Charles C. Young***Date:** *28 JUNE 2012*

Name of Additional Inventor: **Jeffrey R. Stripling****Signature:** _____**Date:** _____

Name of Additional Inventor: **Gary A. McElroy****Signature:** _____**Date:** _____

ATTORNEY DOCKET
CROSS2200

rial No. _____

-1-

ASSIGNMENT

This Assignment is made by **Robert C. Sims** of **Round Rock, TX**; **William H. Moody, II** of **Austin, TX**; **Brian J. Bianchi** of **Cedar Park**, **Charles C. Young**, of **Austin, TX**; **Jeffrey R. Stripling** of **Austin, TX**; **Gary A. McElroy** of **Austin, TX** ("Assignors").

WHEREAS, Assignors have invented a new and useful invention entitled "**SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR A SELF-DESCRIBING TAPE**", for which an application for United States Patent is made, said application being submitted concurrently herewith; and

WHEREAS, Assignors believe themselves to be the original inventors of the invention including any and all improvements disclosed in said application ("Invention"); and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said Invention, said application, on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application for protection ("Applications"), and any patents, invention registrations or other forms of protection ("Patents") that may be granted for said Invention from any Applications in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the Invention and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign, and transfer to **Crossroads Systems, Inc.** having a principal place of business at **11000 N. Mopac Expressway, Suite 100, Austin, TX, 78759** (hereinafter referred to as "Assignee"), the entire right, title, and interest in and to said Invention, said Applications and any Patents that may be granted for said Invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

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Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

PATENT
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ATTORNEY DOCKET
CROSS2200

Serial No. _____

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Assignors authorize and request the Commissioner for Patents of the United States and of all foreign countries to issue any Patents granted for said Invention, whether on said application or on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application, to Assignee, its successors and assigns, as the assignee of the entire interest in said Invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates provided below.

Name of Sole/First Inventor: **Robert C. Sims**
Signature: _____
Date: _____

Name of Additional Inventor: **William H. Moody, II**
Signature: _____
Date: _____

Name of Additional Inventor: **Brian J. Bianchi**
Signature: _____
Date: _____

Name of Additional Inventor: **Charles C. Young**
Signature: _____
Date: _____

Name of Additional Inventor: **Jeffrey R. Stripling**
Signature: *Jeffrey R. Stripling*
Date: April 21, 2012

Name of Additional Inventor: **Gary A. McElroy**
Signature: _____
Date: _____

ATTORNEY DOCKET
CROSS2200

Serial No. _____

-1-

ASSIGNMENT

This Assignment is made by **Robert C. Sims** of **Round Rock, TX**; **William H. Moody, II** of **Austin, TX**; **Brian J. Bianchi** of **Cedar Park**, **Charles C. Young**, of **Austin, TX**; **Jeffrey R. Stripling** of **Austin, TX**; **Gary A. McElroy** of **Austin, TX** ("Assignors").

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WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application, on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application for protection ("Applications"), and any patents, invention registrations or other forms of protection ("Patents") that may be granted for said invention from any Applications in the United States and throughout the world;

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PATENT
REEL: 028746 FRAME: 0601

ATTORNEY DOCKET
CROSS2200

Serial No. _____

-2-

Assignors authorize and request the Commissioner for Patents of the United States and of all foreign countries to issue any Patents granted for said Invention, whether on said application or on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application, to Assignee, its successors and assigns, as the assignee of the entire interest in said Invention.

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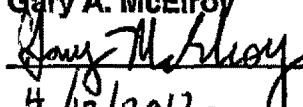
Name of Sole/First Inventor: **Robert C. Sims**
Signature: _____
Date: _____

Name of Additional Inventor: **William H. Moody, II**
Signature: _____
Date: _____

Name of Additional Inventor: **Brian J. Bianchi**
Signature: _____
Date: _____

Name of Additional Inventor: **Charles C. Young**
Signature: _____
Date: _____

Name of Additional Inventor: **Jeffrey R. Stripling**
Signature: _____
Date: _____

Name of Additional Inventor: **Gary A. McElroy**
Signature: 
Date: 4/18/2012