

Client Code: CAPWR.UCC1

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1. Name of conveying party(ies): (List using letters or numbers for multiple parties) CALIFORNIA ENERGY & POWER Additional name(s) of conveying party(ies) attached? () Yes (X) No	2. Name and address of receiving party(ies): Name: KNOBBE MARTENS OLSON & BEAR, LLP Internal Address: FOURTEENTH FLOOR Street Address: 2040 MAIN STREET City: IRVINE State: CA ZIP: 92614 Additional name(s) of receiving party(ies) attached? () Yes (X) No
3. Nature of conveyance: () Assignment () Security Agreement () Merger () Change of Name (X) Other: Security Interest Execution Date: (List as in section 1 if multiple signatures) JUNE 15, 2012	4. US or PCT Application number(s) or US Patent number(s): (X) Patent Application No.: 12/268274 Filing Date: 11/10/2008 Additional numbers attached? (X) Yes () No
5. Party to whom correspondence concerning document should be mailed: Customer No. 20,995 Address: Knobbe, Martens, Olson & Bear, LLP 2040 Main Street, 14th Floor Irvine, CA 92614 Return Fax: (949) 760-9502 Attorney's Docket No.: CAPWR.UCC1	6. Total number of applications and patents involved: 2
7. Total fee (37 CFR 1.21(h)): \$80.00 (X) Authorized to be charged to deposit account	8. Deposit account number: 11-1410 Please charge this account for any additional fees which may be required, or credit any overpayment to this account.
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document. <u>STEVEN J. NATAUPSKY</u> Name of Person Signing 37,688 Registration No.  Signature <u>8/10/12</u> Date Total number of pages including cover sheet, attachments and document: 5	

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PATENT
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<u>Case No.</u>	<u>Title of Invention:</u>	<u>Application No.</u>	<u>Filing Date:</u>	<u>Patent No:</u>	<u>Date Issued:</u>
CAPWR.001A	FLUID TURBINE SYSTEMS	12/268274	11/10/2008	7744338	6/29/2010
CAPWR.001C1	FLUID TURBINE SYSTEMS	12/798717	4/9/2010		

UCC FINANCING STATEMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER (optional) Michell T Do (949) 760-0404
B. SEND ACKNOWLEDGMENT TO: (Name and Address) Knobbe, Martens, Olson & Bear, LLP 2040 Main Street, 14th Floor Irvine, CA 92614 USA

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1. DEBTOR'S EXACT FULL LEGAL NAME - Insert only one debtor name (1a or 1b) - do not abbreviate or combine names					
OR	1a. ORGANIZATION'S NAME California Energy & Power				
	1b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX	
1c. MAILING ADDRESS 511 East Route 66		CITY Glendora	STATE CA	POSTAL CODE 91740	COUNTRY USA
1d. SEE INSTRUCTIONS	ADD'L DEBTOR INFO	1e. TYPE OF ORGANIZATION Corporation	1f. JURISDICTION OF ORGANIZATION CA	1g. ORGANIZATIONAL ID#, if any ☒ NONE	
2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - Insert only one debtor name (2a or 2b) - do not abbreviate or combine names					
OR	2a. ORGANIZATION'S NAME				
	2b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX	
2c. MAILING ADDRESS		CITY	STATE	POSTAL CODE	COUNTRY
2d. SEE INSTRUCTIONS	ADD'L DEBTOR INFO	2e. TYPE OF ORGANIZATION	2f. JURISDICTION OF ORGANIZATION	2g. ORGANIZATIONAL ID#, if any ☐ NONE	
3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P) - Insert only one secured party name (3a or 3b)					
OR	3a. ORGANIZATION'S NAME Knobbe Martens Olson & Bear, LLP				
	3b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX	
3c. MAILING ADDRESS 2040 Main Street, 14th Floor		CITY Irvine	STATE CA	POSTAL CODE 92614	COUNTRY USA
4. This FINANCING STATEMENT covers the following collateral: See Attachment(s)					
5. ALT DESIGNATION: ☐ LESSEE/LESSOR ☐ CONSIGNEE/CONSIGNOR ☐ BAILEE/BAILOR ☐ SELLER/BUYER ☐ AG. LIEN ☐ NON-UCC FILING					
☐ 5. This FINANCING STATEMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS Attach Addendum (if applicable)			7. Check to REQUEST SEARCH REPORT(S) on Debtor(s) [ADDITIONAL FEE] [optional] ☐ All Debtors ☐ Debtor 1 ☐ Debtor 2		
8. OPTIONAL FILER REFERENCE DATA CAPWR - UCCI					

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All of debtor's intellectual property that is or has ever been the subject of secured party's representation and all files and records relating thereto, any recoveries from litigation involving such intellectual property, including, without limitation, any judgments, amounts paid in settlement, insurance proceeds and any awards of attorneys' fees and costs, and any other proceeds of such intellectual property, including, but not limited to, the property described below.

U.S. Patent & Patent Applications

Application No.	App. Filing Date	Patent No.	Date Issued	Title of Invention
12/798717	4/9/2010	7744338	6/29/2010	FLUID TURBINE SYSTEMS
				FLUID TURBINE SYSTEMS

Non U.S. Patent & Patent Applications

Application No.	App. Filing Date	Country	Title of Invention
2009288476	8/14/2009	AU	
2772985	8/14/2009	CA	
2.00983E+11	8/14/2009	CN	FLUID TURBINE SYSTEMS
9811935.7	8/14/2009	EP	FLUID TURBINE SYSTEMS
211517	8/14/2009	IL	
2466/DELNP/2011	8/14/2009	IN	FLUID TURBINE SYSTEMS

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All of debtor's intellectual property that is or has ever been the subject of secured party's representation and all files and records relating thereto, any recoveries from litigation involving such intellectual property, including, without limitation, any judgments, amounts paid in settlement, insurance proceeds and any awards of attorneys' fees and costs, and any other proceeds of such intellectual property, including, but not limited to, the property described below.

Non U.S. Patent & Patent Applications

Application No.	App. Filing Date	Country	Title of Invention
2011-526091	8/14/2009	JP	
10-2011-7007767	8/14/2009	KR	
MX/a/2011/002427	8/14/2009	MX	
2011112620	8/14/2009	RU	
PCT/US2009/053934	8/14/2009	WO	FLUID TURBINE SYSTES

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