502031317 08/21/2012

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Carol Elizabeth JONES	06/25/2008
Alan David JACKSON	04/29/2009

RECEIVING PARTY DATA

Name:	NOVARTIS AG
Street Address:	Lichtstrasse 35
City:	Basel
State/Country:	SWITZERLAND

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13568300

CORRESPONDENCE DATA

Fax Number: 6178713392 Phone: 6178715028

Email: Melissa.Ponziano@novartis.com

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US

Mail.

Correspondent Name: Melissa Ponziano

Address Line 1: 220 Massachusetts Avenue

Address Line 4: Cambridge, MASSACHUSETTS 02139

ATTORNEY DOCKET NUMBER:	PAT052225-US-PCTD

NAME OF SUBMITTER: Melissa Ponziano

Total Attachments: 8

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> PATENT REEL: 028818 FRAME: 0062

CH \$40.00 13E



Case No. 52225

1

ASSIGNMENT

For good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, we

Carol Elizabeth JONES	citizen of United Kingdom	Novartis Horsham Research Centre
		Wimblehurst Road
	*	Horsham, West Sussex RH12 5AB
		GB
Alan David JACKSON	citizen of United Kingdom	Novartis Horsham Research Centre
		Wimblehurst Road
		Horsham, West Sussex RH12 5A8
		GB [®]

do hereby sell, assign and transfer to **Novartis AG**, a company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland, and its successors, assigns and legal representatives, all our right, title and interest for all countries of the world (Novartis AG hereinafter referred to as the "**ASSIGNEE**") in and to (1) all of our inventions and discoveries described in the patent application(s) entitled

Protein Modulators

on 22 AUGUST, 2008, and accorded International Patent Application filed* in the RO/ 压户 Number PCT/FP208/0G/co5 , (2) said patent application(s), (3) all national stages of said international patent application, (4) all other patent applications in all countries and regions claiming the priority of the European Procedure, patent application filed on August 24, 2007 and accorded Application Number 07114972.8 (5) all continuations and divisions of all of said patent applications (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(5) hereinafter referred to collectively as "patent applications"), (6) all patents that are granted on any of said patent applications, (7) all registrations and confirmations of, and importation certificates based on, one or more of said patents and (8) all reissues, renewals and extensions of said patents, reexamination certificates issued for said patents and supplementary protection certificates based on said patents (including the right to file patent applications on said inventions and discoveries in the names of ASSIGNEE, their designees or in any or all of our names, at their election and in accordance with applicable law in all countries and regions and all rights of priority in all countries and regions for all of said patent applications under the International Convention for the Protection of Industrial Property, and all other applicable similar international agreements), the same to be held and enjoyed by said ASSIGNEE, their successors, assigns and legal representatives, to the full ends of the terms for which all patents therefor may be granted, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made.

"We hereby authorize **ASSIGNEE** and their representatives to insert in this instrument the Application Number and the filing date of said patent application when notified thereof.

And we hereby covenant and agree that we will, at any time, upon the request and at the expense of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation

certificates, reissues, renewals, extensions, reexamination certificates and supplementary protection certificates in ASSIGNEE, their successors, assigns or other legal representatives, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, that we will, at any time, upon the request and at the expense of ASSIGNEE, execute all additional patent applications, applications for registration and/or confirmation of one or more of said patents, applications for certificates of importation based on one or more of said patents, applications for reissues, renewals and extensions of one or more of said patents and supplemental protection certificates based upon one or more of said patents, and that we will make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE, the successors, assigns or other legal representatives.

Executed this <u>3.5</u> day of <u>JUNE</u>	, 20 <u>@</u> \$
62	L.S.
Name: Caról Elizabeth JONES	
Executed this day of	, 20
Namo: Alan David JACKSON	L.S.

ACCEPTANCE OF ASSIGNMENT

With reference to the Assignment to NOVARTIS AG of an invention entitled "Protein Modulators" signed by Carol Elizabeth JONES and Alan David JACKSON; this will certify that NOVARTIS AG accepts such Assignment.

NOVARTIS AG

Ву:

Name: SABINE ZELLER

Function: AUTH STANA

Date:

BINDE ZELLER RETO HALREY

AUTH, SQNATSSEY

ASSIGNMENT

For good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, we

Carol Elizabeth JONES	citizen of United Kingdom	Novartis Horsham Research Centre
		Wimblehurst Road
		Horsham, West Sussex RH12_5AB
		GB
Alan David JACKSON	citizen of United Kingdom	Novartis Horsham Research Centre
		Wimblehurst Road
		Horsham, West Sussex RH12 5AB
		GB

do hereby sell, assign and transfer to **Novartis AG**, a company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland, and its successors, assigns and legal representatives, all our right, title and interest for all countries of the world (Novartis AG hereinafter referred to as the "**ASSIGNEE**") in and to (1) all of our inventions and discoveries described in the patent application(s) entitled

Protein Modulators

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*We hereby authorize ASSIGNEE and their representatives to insert in this instrument the Application Number and the filing date of said patent application when notified thereof.

And we hereby covenant and agree that we will, at any time, upon the request and at the expense of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation

certificates, reissues, renewals, extensions, reexamination certificates and supplementary protection certificates in ASSIGNEE, their successors, assigns or other legal representatives, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, that we will, at any time, upon the request and at the expense of ASSIGNEE, execute all additional patent applications, applications for registration and/or confirmation of one or more of said patents, applications for certificates of importation based on one or more of said patents and supplemental protection certificates based upon one or more of said patents, and that we will make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE, the successors, assigns or other legal representatives.

Executed this day of	, 20
Name: Carol Elizabeth JONES	Ł,S.
Executed this 29 day of April	_ 20 <u>0 9</u>
Name: Alaw David JACKSON	L.S.

ACCEPTANCE OF ASSIGNMENT

With reference to the Assignment to NOVARTIS AG of an invention entitled "Protein Modulators" signed by Carol Elizabeth JONES and Alan David JACKSON; this will certify that NOVARTIS AG accepts such Assignment.

NOVARTIS AG

Ву:

Name: SABINE ZELLER

Function:

ANTH. CANATERY

Date:

05 MAY 2009

Attestation

I, the undersigned Civil Law Notary of Basel, Switzerland, Dr. Roland M. Müller, certify herewith the authenticity of the signatures attached heretofore of **Ms. Carol Elizabeth Jones**, born on 4th (fourth) June 1966 (nineteen hundred sixty-six), British citizen, with business address in Horsham, West Sussex RH12 5AB, Great Britain, and of **Mr. Alan David Jackson**, born on 27th (twenty-seventh) May 1965 (nineteen hundred sixty-five), British citizen, with business address in Horsham, West Sussex RH12 5AB, Great Britain.

I further certify that the signatures attached heretofore are the genuine signatures of Ms. Sabine Zeller, citizen of Ormalingen/BL, Switzerland, residing in Gelterkinden/BL, Switzerland, and Mr. Reto Halbeisen, citizen of Wahlen/BL, Switzerland, residing in Laufen/BL, Switzerland, both acting for Novartis AG, in Basel, Switzerland, both as proxy holders, both with joint signature.

The authenticity of the signatures was established by means of comparison.

BASEL, Switzerland, this 7th (seventh) day of May 2009 (two thousand and nine)

Dr. R.M. Müller Notar

Leg.Prot.Nr. 4/19 /2009

FOR CERTIFIED COPY

I, the undersigned Civil Law Notary in Basel, Switzerland, Dr. Matthias Staehelin, hereby certify that the documents attached heretofore are true and authentic photocopies of the originals.

ASEL, Switzerland, this 23rd (twenty-third) day of December 2009 (two thousand and nine)

H. Agelox, Note

Dr. M. Staehelin Ngtar

Leg.Prot.Nr. 5563 2009

PATENT REEL: 028818 FRAME: 0070

RECORDED: 08/21/2012