

Atty. Dkt. No. 098817-0268

FORM PTO-1595 (modified)

(Rev 6-93)

RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):

Brian Smith
Jeffrey Smith

2. Name and address of receiving party(ies):

Arena Pharmaceuticals, Inc.
6166 Nancy Ridge Drive
San Diego, California 92121

Additional conveying party(ies) **NO**

3. Nature of conveyance:

ASSIGNMENT

Execution Date:

04-01-03Additional name(s) & address(es) attached? **NO**

4. Application number(s) or patent number(s):

If this is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s):

13/523,805

B. Patent Number(s):

Additional numbers attached? **NO**

5. Name and address of party to whom correspondence concerning document should be mailed:

Lauren L. Stevens
FOLEY & LARDNER LLP
975 Page Mill Rd
Palo Alto, California 94304-1013

6. Total number of applications/patents involved: **1**7. Total fee (37 C.F.R. § 3.41): **\$40.00**

Check Enclosed

☒ Authorized to be charged to credit card

Authorized to be charged to deposit account

8. Payment Information

a. Credit Card Last 4 Numbers **5295**
Expiration Date **10/31/2013**

b. Deposit account number
Authorized User Name **Lauren L. Stevens**

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. The Commissioner is hereby authorized to charge any additional recordation fees which may be required in this matter to the above-identified deposit account.

Lauren L. StevensAugust 22 2012

Name of person signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **4**

OP \$40.00 13523805

DOCKET NO. 26.US4.REG

PATENT
Joint Inventors

(New Application)

ASSIGNMENT

WHEREAS, we Jeffrey Smith, of San Diego, California, and Brian Smith, of San Diego, California, hereinafter referred to as the assignors, are the joint inventors of certain inventions or improvements for which we have made application for Letters Patent to the United States, identified as Case No. 26.US4.REG, entitled 5HT_{2C} RECEPTOR MODULATORS; and

WHEREAS, Arena Pharmaceuticals, Inc., hereinafter referred to as the assignee, of 6166 Nancy Ridge Drive, San Diego, California 92121, a corporation of Delaware, is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries:

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) to each of us in hand paid by said assignee, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said assignors, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over to said assignee, the entire right, title and interest in and to said inventions or improvements and said application and any and all continuations, divisions and renewals of and substitutes for said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and any and all other countries, and any reissue or reissues or extension or extensions of said Letters Patent, and assign to and authorize said assignee, to file in our names applications for Letters Patent in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by us had this assignment, sale and transfer not been made.

AND we hereby covenant that we have full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith, and we further covenant and agree that we will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said application and said Letters Patent to said assignee, its successors, assigns, nominees, or legal representatives, and each of us agrees to communicate to said assignee or to its nominee all known facts respecting said inventions or improvements, said application and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid said assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said inventions or improvements in any and all countries.

AND we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States

DOCKET NO. 26.US4.REG

PATENT
Joint Inventors

whose duty it is to issue patents on applications as aforesaid, to issue to said assignee, as assignee of the entire right, title and interest, any and all Letters Patent for said inventions or improvements, including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

AND this Assignment may be executed in multiple counterparts, each of which shall be deemed to be an original of this Assignment. Additionally, we hereby authorize our attorneys to collect the signature pages of each executed counterpart and to attach those signature pages to a single copy of this instrument, which single copy and attached signature pages together shall constitute an original of this Assignment.

We further authorize and direct my attorneys to insert below* the serial number and filing date of said application now identified as Case No. 26.US4.REG as soon as the same shall have been made known to them by the United States Patent Office.

IN WITNESS WHEREOF, we have hereunto set our hands and seals.

4/1/2003
Date

Jeffrey Smith (L.S.)
Jeffrey Smith

STATE OF California

SS

COUNTY OF San Diego

On this 1st day of April, year of 2003, before me, the undersigned officer, personally came the above named Jeffrey Smith, to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

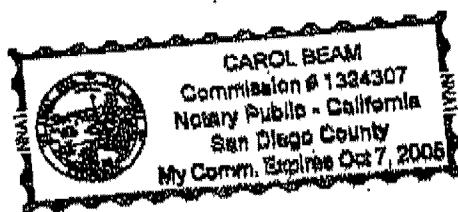


Carol Beam
Notary Public

DOCKET NO. 26.US4.REG

PATENT
Joint Inventors4/1/2003
DateBrian Smith (L.S.)
Brian SmithSTATE OF California
COUNTY OF San Diego SS

On this 1st day of April, year of 2003, before me, the undersigned officer, personally came the above named Brian Smith, to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

Carol Beam
Notary Public

* The above assignment covers application Serial No. _____
filed on _____, year of _____.

The above insertion made by me this _____ day of _____,
year of _____.

of COZEN O'CONNOR
Name:
Registration No.

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