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Name of conveying party(les):	2. Name and address of receiving party(les)					
MitralSolutions, Inc.	Name: St. Jude Medical, Cardiology Division, Inc.					
	Inlemal Address:					
Additional name(s) of conveying party(ies) attached? Yes X No	Streel Address:					
3. Nature of conveyance/Execution Date(e):	177 East County Road B					
Execution Date(s):	111 Edd Admid Logar B					
Assignment Marger Change of Name	St. Oard					
Security AgreementJoint Research Agreement	City: St. Paul					
Government Interest Assignment	State: Minnesota					
Executive Order 9424, Confirmatory License	Country: United States of America Zip: 55117					
Corrective Document	Additional name(s) & address(es) Yes X No					
x Other Change of Assignor Name REEL/FRAME: 025499/0441						
4. Application or patent number(s):	This document is being filed together with a new application.					
A. Patent Application No.(s)	B. Pa(ent No.(\$)					
12/522,08 9						
Additional numbers attached?	Yes X No					
 Name and address to whom correspondence concerning document should be mailed: 	6. Total number of applications and patents involved:					
Name: Stephen B. Goldman						
LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00					
Internal Address: Atty. Dkt.: STJUDE 3.3-186						
Street Address: 600 South Avenue West	X Authorized to be charged to deposit account					
	Enclosed					
	None required (government interest not affecting title)					
City: Westfield	8. Payment Information					
State: NJ Zip: 07090						
Phone Number: 908-654-5000 908-654-7866	Deposit Account Number 12-1095					
Fax Number: 908-654-7866 Email Address: ataylor@ldlkm.com	Authorized User Name Stephen B. Goldman					
9. Signature:						
	August 22 2012					
Signature	August 23, 2012 Date					
Stephen B. Goldman - 28,512	Total number of pages including cover 13					
Name of Person Signing	sheet, attachments, and documents:					

USPTO, EPAS, Receipt



United States Patent and Trademark Office

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PATENT ASSIGNMENT	1
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Electronic Version v1.1

SUBMISSION TY	MISSION TYPE: NEW ASSIGNMENT			
NATURE OF CO	NVEYANCE:	ASSIGNMENT		
CONVEYING PA	RTY DATA			
	A	Name	Execution Date	
MitraSolutions, In	G.		11/25/2009	
RECEIVING PAF			·	
Name:		ical, Cardiology Division, Inc.		
Street Address:	177 East Cou	nry Road B		
City:	St. Paul			
<u> </u>	MINNESOTA			
State/Country:	MINNESOTA	A		
State/Country: Postal Code:	55117-9913	Α		
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Page 2 of 2

ATTORNEY DOCKET NUMBER:	28099-0013	
NAME OF SUBMITTER:	Celine Jimenez Crowson	
Signature:	/Celine Jimenez Crowson/	
Date:	12/14/2010	
Total Attachments: 10 source=assignmit#page1.tif source=assignmit#page3.tif source=assignmit#page4.tif source=assignmit#page5.tif source=assignmit#page6.tif source=assignmit#page7.tif source=assignmit#page7.tif source=assignmit#page8.tif source=assignmit#page8.tif source=assignmit#page9.tif source=assignmit#page9.tif source=assignmit#page10.tif		
RECEIPT INFORMATION		
EPAS ID: PAT1404 Receipt Date: 12/14/201 Fee Amount: \$40	***	

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PATENT ASSIGNMENT AGREEMENT

LDLKM

This PATENT ASSIGNMENT AGREEMENT (the "<u>Assignment</u>") is made and entered into as of November 25, 2009 by and between MitralSolutions, Inc., a Delaware corporation ("<u>Assignor</u>") and St. Jude Medical, Cardiology Division, Inc., a Delaware corporation ("<u>Assignee</u>").

WHEREAS, Assignor is transferring to Assignee its right, title and interest in and to the patents and patent applications identified on the attached Exhibit A, together with the invention(s) disclosed therein (collectively the "Patents"); and

WHEREAS, Assignee is desirous of acquiring the entire right, title, and interest in and to the Patents, together with any and all causes of action and rights of recovery for past infringements of the Patents.

NOW, THEREFORE, for good and valuable consideration received by Assignor from Assignce, the receipt in full of which is hereby acknowledged, the parties agree as follows:

- Assignor hereby sells, assigns, transfers and conveys unto Assignee the entire right, title and interest (a) in and to Patents and Assignor's other intellectual property relating to technology, devices and/or systems disclosed in the Patents and/or systems and/or methodologies disclosed in the Patents tested in clinical trials conducted by or on behalf of Assignor, (b) in and to any nonprovisional, division, substitution, continuation, continuation-in-part, reissue, reexamination, foreign counterpart, and extension of the intellectual property described in Section 1 (a) filed hereafter by Assignee, (c) in and to all of Assignor's rights under the International Conventions derived from such intellectual property and all other rights vested in Assignor by virtue of the instruments of assignment and/or by virtue of other instruments pursuant to which Assignor became vested with ownership of such intellectual property, including the right, title, and interest in and to any and all improvements acquired pursuant to the terms of said instruments of assignment, (d) in and to each and every Letters Patent, both foreign and domestic, which is granted on any application included in the intellectual property described in Section I(a) or I(b) or that is filed hereafter by Assignee which is a nonprovisional, division, substitution, continuation, continuation-in-part of such intellectual property, and in and to each and every reissue, reexamination, or extension of such intellectual property, and (e) in and to all claims, causes of action, and other rights with respect to such intellectual property.
- 2. Assignor hereby covenants and agrees to cooperate with Assignee to promptly execute all papers prepared at the expense of Assignee which are deemed necessary or desirable by Assignee to perfect in it the right, title and interest herein conveyed and to obtain and maintain the Letters Patents,
- 3. Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all Letters Patent which may be granted upon any of the applications included in the Patents as defined above, to Assignee, as the assignee of the entire interest therein.
- 4. The terms, covenants and provisions of this Assignment shall inure to the benefit of Assignee, its successors, assigns, and/or legal representatives, and shall be binding upon Assignor, its successors, assigns and/or other legal representatives.

LDLKM

MITRALSOLUTIONS, INC., a Delaware corporation

By:

Name

ST. JUDE MEDICAL, CARDIOLOGY DIVISION, INC., a Delaware corporation

By:
Name:

Title:

Signature Page - Patent Assignment Agreement

IN WITNESS WHEREOF, the parties hereto have executed this Patent Assignment Agreement as of the date first above written.

LDLKM

MITRALSOLUTIONS, INC., a Delaware corporation

By:
Name:
Title:

ST. JUDE MEDICAL, CARDIOLOGY DIVISION, INC., a Delaware corporation

By: John & HEINMILLER.
Title: VICE IRESIDENT

Exhibit A to Patent Assignment Agreement

Application, Publication	Courts	Filing or 1 971(c) (delfe	Attorrey. Dockets	THE
60/406,841	us	29-Aug-2002	28099-0006 PR	ADJUSTABLE IMPLANT DEVICES AND METHODS FOR THEIR ADJUSTMENT AND USE
60/444,005	US	31-Jan-2003	28099-0006 PR	DELIVERY SYSTEMS AND METHODS FOR USE FOR DELIVERY, PLACEMENT, AND/OR ADJUSTMENT OF A PROSTHETIC IMPLANT WITHIN A DESIRED RECIPIENT ANATOMIC SITE
60/447,383	US	14-Feb-2003	26099-0006 PR	DELIVERY SYSTEMS AND METHODS FOR USE FOR DELIVERY, PLACEMENT, AND/OR ADJUSTMENT OF A PROSTHETIC IMPLANT WITHIN A DESIRED RECIPIENT ANATOMIC SITE
60/462,435	US	12-Apr-2003	28099-0006 PR	PROSTHETIC IMPLANTS, DELIVERY SYSTEMS, AND METHODS FOR USE FOR DELIVERY, PLACEMENT, AND/OR ADJUSTMENT WITHIN A DESIRED RECIPIENT ANATOMIC SITE
10/651,840 7,297,150	us	29-Aug-2003	28099-0006 US	IMPLANTABLE DEVICES FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN
11/878,784 US 2007-0299543	US	26-Jul-2007	28099-0006 CON	IMPLANTABLE DEVICES FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN
12/350,700 US 2009-0125102	ŲS	08-Jan-2009	28099-0006 CON	IMPLANTABLE DEVICES FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN
10/651,851 7,455,690	US	29-Aug-2003	28099-0005 US	METHODS FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN

Application Publication	Country	Filing or	Attorney	Title - Market
or Patent Number 74		.: 371(e) date	Docket	
12/013,086 US 2008-0109076	US	11-Jan-2008	28099-0005 CON	METHODS FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN
10/651,852 7,175,660	US	29-Aug-2003	28099-0004 US	APPARATUS FOR IMPLANTING SURGICAL DEVICES FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR L'UMEN
PCT/US03/27268	PCT	29-Aug-2003	28099-0009 PCT	APPARATUS FOR IMPLANTING SURGICAL DEVICES FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN
PCT/US03/27278 WO 2004/019816	PCT .	29-Aug-2003	28099-0010 PCT	IMPLANTABLE DEVICES FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN
CN 3823518.8	CHINA	29-Aug-2003	28099-0010 CN	IMPLANTABLE DEVICES FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN
EP 3791977.6	EP	29-Aug-2003	28099-0010 EP	IMPLANTABLE DEVICES FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN
IN 469/KOLNP/2005 220271	INDIA	29-Aug-2003	28099-0010 IN	IMPLANTABLE DEVICES FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN
IL 167133	ISRAEL	29-Aug-2003	28099-0010 IL	IMPLANTABLE DEVICES FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN

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Application Publication or Patent Number	Country :	Filing be 1971 (c) pare:	Attorney Bockela	
JP 2004-531996 4316503	JAPAN	29-Aug-2003	28099-0010 JP	IMPLANTABLE DEVICES FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN
KR 10-2005-7003553	S, KOREA	29-Aug-2003	28099-0010 KR	IMPLANTABLE DEVICES FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN
MX PA/a/2005/002284 266419	MEXICO	29-Aug-2003	28099-0010 MX	IMPLANTABLE DEVICES FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN
SG 2005 01206-7 110663	SINGAPORE	29-Aug-2003	28099-0010 SG	IMPLANTABLE DEVICES FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN
60/801,861	. US	19-May- 2006	28099-0011 PR	IMPLANTABLE DEVICE FOR CONTROLLING SHAPE AND/OR SIZE OF AN ANATOMICAL STRUCTURE OF A LUMEN
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Application: Publicationar Patent Number	Country	5 Filing on:	Attorney 1 Deckel	Title 15 84
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PCT/US2005/015323 WO 2005/107862	PCT	29-Apr-2005	28099-0012 PCT	METHODS AND DEVICES FOR MODULATION OF HEART VALVE FUNCTION
JP 2007-511095	JAPAN	29-Apr-2005	28099-0012 JP	METHODS AND DEVICES FOR MODULATION OF HEART VALVE FUNCTION
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11/587,765 US 2009-0234404	US	29-Apr-2005	28099-0012 US	METHODS AND DEVICES FOR MODULATION OF HEART VALVE FUNCTION
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or Patent Number		371(0):date=	Docket -	
AU 2006230162	AUSTRALIA	27-Mar-2006	28099-0007 AU	METHODS AND APPARATUS FOR CONTROLLING THE INTERNAL CIRCUMFERENCE OF AN ANATOMIC ORIFICE OR LUMEN
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Application Publication or Patent Number	机大气温度 医自由性细胞性 计分配	Filing of 37 (Ro) drate		Title
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IL 199701	ISRAEL	03-Jan-2008	28099-0013 IL	IMPLANTABLE DEVICES FOR CONTROLLING THE SIZE AND SHAPE OF AN ANATOMICAL STRUCTURE OR LUMEN
JP TBD	JAPAN	03-Jan-2008	28099-0013 JP	IMPLANTABLE DEVICES FOR CONTROLLING THE SIZE AND SHAPE OF AN ANATOMICAL STRUCTURE OR LUMEN
MX MX/A/2009/007289	MEXICO	03-Jan-2008	28099-0013 MX	IMPLANTABLE DEVICES FOR CONTROLLING THE SIZE AND SHAPE OF AN ANATOMICAL STRUCTURE OR LUMEN
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60/981,056	US	18-Oct-2007	SAB 21100- 0026	METHOD OF POST- OPERATIVE ADJUSTMENT FOR MITRAL VALVE IMPLANT
61/084,446	US	29-July-2008	28099-0020	METHOD AND SYSTEM FOR LONG TERM ADJUSTMENT OF AN IMPLANTABLE DEVICE
PCT/US09/052053	PCT	29-July-2009	28099-0020 PCT	METHOD AND SYSTEM FOR LONG TERM ADJUSTMENT OF AN IMPLANTABLE DEVICE
61/146,560	US	22-Jan-2009	28099-0024	POST-OPERATIVE ADJUSTMENT TOOL AND MINIMALLY INVASIVE ATTACHMENT APPARATUS FOR AN IMPLANTABLE DEVICE
61/157,649	US	05-Mar-2009	28099-0024A	POST-OPERATIVE ADJUSTMENT TOOL, MINIMALLY INVASIVE ATTACHMENT APPARATUS, AND ADJUSTABLE TRICUSPID RING

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61/146,569	US	22-Jan-2009	280 99- 0025	MAGNETIC DOCKING SYSTEM AND METHOD FOR THE LONG TERM ADJUSTMENT OF AN IMPLANTABLE DEVICE
60/980,927	US	18-Oct-2007	SAB 21100- 0025	IMPLANTABLE PURSË STRING SUTURE TENSIONING DEVICE
61/088,506	US	13-Aug-2008	SAB 21100- 0029	IMPLANTABLE PURSE STRING SUTURE TENSIONING DEVICE
PCT/US08/080522	РСТ	20-Oct-2008	28099-0026 PCT	IMPLANTABLE PURSE STRING SUTURE TENSIONING DEVICE
60/888,214	US	05-Feb-2007	SAB 21100- 0024	MINIMALLY INVASIVE SYSTEM FOR DELIVERING AND SECURING AN ANNULAR IMPLANT
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12/026,424 US 2008-0306586	US	05-Feb-2008	28099-0027 US	MINIMALLY INVASIVE SYSTEM FOR DELIVERING AND SECURING AN ANNULAR IMPLANT
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PCT/US09/061285	PCT	20-Oct-2009	28099-0028 PCT	METHOD OF POST- OPERATIVE ADJUSTMENT FOR MITRAL VALVE IMPLANT
61/151,061	US	09-Feb-2009	28099-0029	INFLATABLE MINIMALLY INVASIVE SYSTEM FOR DELIVERING AND SECURING AN ANNULAR IMPLANT

4817-7852-9540\6 11/24/2009 11:42 AM

RECORDED: 08/23/2012