502034772 08/23/2012

PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Belinda Cairns	09/16/2002
Ruihuan Chen	08/15/2002
Gretchen Frantz	08/16/2002
Kenneth J. Hillan	08/15/2002
Hartmut Koeppen	08/15/2002
Heidi S. Phillips	09/25/2002
Paul Polakis	08/16/2002
Susan D. Spencer	08/29/2002
Victoria Smith	08/15/2002
Mickey P. Williams	09/20/2002
Thomas D. wU	08/26/2002
Zenim Zhang	08/19/2002

RECEIVING PARTY DATA

Name:	Genentech, Inc.
Street Address:	1 DNA Way
City:	South San Francisco
State/Country:	CALIFORNIA
Postal Code:	94080-4990

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12661704

CORRESPONDENCE DATA

502034772

Fax Number: 6507982999 Phone: 650-798-2928

Email: sv_docketing@aporter.com

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent PATENT

REEL: 028835 FRAME: 0503

via US Mail.

Correspondent Name: Arnold & Porter LLP

Address Line 1: 1801 Page Mill Road Suite 110
Address Line 4: Palo Alto, CALIFORNIA 94304

ATTORNEY DOCKET NUMBER: GNE-0214C7C1.25127.817

NAME OF SUBMITTER: JAMES A. FOX

Total Attachments: 19

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Name:

GENENTECH, INC.

Company:

MARK T. KRESNAK

Fax Number: 16509529882

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Fax Notes:

Description Pg#

Cover Page 1

190.TXT 2

Document 1, Batch 223513

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GENENTECH, INC. MARK T. KRESNAK 1 DNA WAY SOUTH SAN FRANCISCO, CA 94080

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RECORDATION DATE: 09/27/2002

REEL/FRAME: 013133/0561

NUMBER OF PAGES: 14

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CAIRNS, BELINDA

DOC DATE: 09/16/2002

ASSIGNOR:

CHEN, RHUIHUAN

DOC DATE: 08/15/2002

ASSIGNOR:

FRANTZ, GRETCHEN

DOC DATE: 08/16/2002

ASSIGNOR:

HILLAN, KENNETH I.

DOC DATE: 08/15/2002

ASSIGNOR:

KOEPPEN, HARTMUT

DOC DATE: 08/15/2002

ASSIGNOR:

PHILLIP, HEIDI S.

DOC DATE: 09/25/2002

ASSIGNOR:

POLAKIS, PAUL

DOC DATE: 08/16/2002

OPK/ADDIGNMENID 3/30/02 3:33 PAGE 3/4 RIGHTMA

013133/0561 PAGE 2

ASSIGNOR:

SPENCER, SUSAN D.

DOC DATE: 08/29/2002

ASSIGNOR:

SMITH, VICTORIA

DOC DATE: 08/15/2002

ASSIGNOR:

WILLIAMS, P. MICKEY

DOC DATE: 09/20/2002

ASSIGNOR:

WU, THOMAS D.

DOC DATE: 08/26/2002

ASSIGNOR:

ZHANG, ZEMIN

DOC DATE: 08/19/2002

ASSIGNEE:

GENENTECH, INC.

1 DNA WAY

SOUTH SAN FRANCISCO, CALIFORNIA

94080-4990

SERIAL NUMBER: 10177488

FILING DATE: 06/19/2002

PATENT NUMBER: ISSUE DATE:

JEFFREY OLSEN, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

Sep-27-Q2 03:08pm

From-Generatech Lena! 09/27/2002

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U.S. DEPARTMENT OF COMMERCE

PATENTS ONLY

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Assurption Commissioner of Parents. Please record the attached original documents or copy thereof

1. Name of conveying party(les):

Belinda Cairns; Ruthuan Chen; Greichen Frantz; Keaneth J Hillan; Hammut Koeppen; Hendi S. Phillips; Paul Polakis; Susan D Spencer; Victoria Smith; P. Mickey Williams; Thomas D. Wu; and Zemin Zhang

Additional name(s) of conveying name(ses) ansched? Yes X No.

3. Nature of conveyance:

X Assignment

2. Name and address of receiving party(ies):

Name: GENENTECH, INC.

Internal Address:

Street Address: 1 DNA Way

City: South San Francisco State: California

ZIP: 94080-4990

Additional name(s) & address(es) attached? Yes X No

Execution Date: 09/16/2002; 08/15/2002; 08/16/2002; 08/15/2002;

08/15/2002; 09/25/2002; 08/16/2002; 08/29/2002; 08/15/2002;

09/20/2002; 08/26/2002; and 08/19/2002, respectively.

Application number(s) or patent number(s):

if this document is being filed regether with a new application, the execution date of the application is:

A Parent Application No (4)

B. Patent No.(s)

10/177,488

Additional numbers attached? Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Mark T. Kresnak, Ph.D. GENENTECH, INC. 1 DNA Way South San Francisco, CA 94080

- 6. Total number of applications and patents involved: 1
- 7. Total fee (37 CFR 3.41): \$ 40.00
 - _ Enclosed
 - X Authorized to be charged to deposit account
- 8. Deposit account number: 07-0630

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Mark T Kronnik, Ph D Name of Person Signing Registration No. 42,767

Total number of pages comprising cover sheet, attachments and document: 15

Genentech, Inc.

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FACSIMILE TRANSMITTAL

1 DNA WAY South San Francisco, CA 94080 (650) 225-1000 Facsimile: (650) 952-9881

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Fax No.: 1-703-306-5995

FROM: Mark T. Kresnak, Ph.D.

Registration No.:42,767

RE:

U.S. Serial No.: 10/177,488

Our Docket No.: P5001R1

Number of Pages including this cover sheet - 15

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Atty Dkt No: P5001R1

Assistant Commissioner of Patents: Please record the attached original documents or copy thereof.

1	Name	٥f	conveying	party	ies'	١:
١.	IVALUE	u	COLLYCYLLE	Durch		,,

Belinda Cairns; Ruihuan Chen; Gretchen Frantz; Kenneth J. Hillan; Hartmut Koeppen; Heidi S. Phillips; Paul Polakis; Susan D. Spencer, Victoria Smith; P. Mickey Williams; Thomas D. Wu; and Zemin Zhang

Additional name(s) of conveying party(ies) attached? Yes X No

3. Nature of conveyance:

X Assignment

2. Name and address of receiving party(ies):

Name: GENENTECH, INC.

Internal Address:

Street Address: 1 DNA Way

City: South San Francisco State: California

ZIP: 94080-4990

Additional name(s) & address(es) attached? Yes X No

Execution Date: 09/16/2002; 08/15/2002; 08/16/2002; 08/15/2002;

08/15/2002; 09/25/2002; 08/16/2002; 08/29/2002; 08/15/2002;

09/20/2002; 08/26/2002; and 08/19/2002, respectively.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/177,488

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Mark T. Kresnak, Ph.D. GENENTECH, INC. 1 DNA Way South San Francisco, CA 94080 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

Enclosed

X Authorized to be charged to deposit account

8. Deposit account number: 07-0630

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Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy

is a true copy of the original document.

Mark T. Kresnak, Ph.D. Name of Person Signing Registration No. 42,767

Total number of pages comprising cover sheet, attachments and document: 15

ASSIGNMENT

WHEREAS,

Belinda Cairns, a citizen of GB, residing at 1229 Paloma Avenue, Burlingame, CA 94010, and Ruihuan Chen, a citizen of CN, residing at 1110 Polynesia Drive #301, Foster City, CA 94404, and Gretchen Frantz, a citizen of US, residing at 135 San Benito Way, San Francisco, CA 94127, and Kenneth J. Hillan, a citizen of GB, residing at 64 Seward Street, San Francisco, CA 94114, and Hartmut Koeppen, a citizen of DE, residing at 1746 Milvia Street, Berkeley, CA 94709, and Heidi S. Phillips, a citizen of US, residing at 15 Pine Avenue, San Carlos, CA 94070, and Paul Polakis, a citizen of US, residing at 1449 Cortez Avenue, Burlingame, CA 94010, and Susan D. Spencer, a citizen of US, residing at 2395 Paradise Drive, Tiburon, CA 94920, and Victoria Smith, a citizen of US, residing at 19 Dwight Road, Burlingame, CA 94010, and P. Mickey Williams, a citizen of US, residing at 509 Alto Avenue, Half Moon Bay, CA 94010, and Thomas D. Wu, a citizen of US, residing at 113 Elsie Street, San Francisco, CA 94110, and Zemin Zhang, a citizen of US, residing at 876 Taurus Drive, Foster City, CA 94404

(hereinafter "ASSIGNORS"), have invented a new and useful invention in

COMPOSITIONS AND METHODS FOR THE DIAGNOSIS AND TREATMENT OF TUMOR

for which an application Serial No. 10/177,488 (Docket No. P5001R1) for Letters Patent has been filed by them on June 19, 2002; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said ASSIGNORS have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said ASSIGNORS covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said ASSIGNORS includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

South San Francisco	Belde Cains
Dated: 09 16 02	Belinda Cairns
South San Francisco	Ruihuan Chen
Dated:	
South San Francisco	Gretchen Frantz
Dated:	
South San Francisco	Kenneth J. Hillan
Dated:	
South San Francisco	Hartmut Koeppen
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South San Francisco	Heidi S. Phillips
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South San Francisco	Paul Polakis
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South San Francisco	Susan D. Spencer
Dated:	
South San Francisco	Victoria Smith
Dated:	
South San Francisco	P. Mickey Williams
Dated:	

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IN WITNESS WHEREOF we undersign as follows;

South San Francisco	Belinda Caims
Dated:	AR.
South San Francisco Dated: 08/15/02	Ruihuah Chen
South San Francisco	Gretchen Frantz
Dated:	
South San Francisco	Kenneth J. Hillan
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South San Francisco	Hartmut Koeppen
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South San Francisco	Heidi S. Phillips
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South San Francisco	Paul Polakis
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South San Francisco	Susan D. Spencer
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South San Francisco	Belinda Cairns
Dated:	
South San Francisco	Ruihuan Chen
Dated:	
South San Francisco	Gretchen Frantz
Dated: 8/16/07	
South San Francisco	Kenneth J. Hillan
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South San Francisco	Heidi S. Phillips
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South San Francisco	Paul Polakis
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South San Francisco	Susan D. Spencer
Dated:	Susair D. Spensor
South San Francisco	Victoria Smith
Dated:	Victoria Simui
South San Francisco	D. A.C. L Milliomo
Dated:	P. Mickey Williams

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South San Francisco	Belinda Cairns
Dated:	Delinda Odimo
South San Francisco	Rujhuan Chen
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South San Francisco	Gretchen Frantz
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South San Francisco	Kenneth J. Hillan
Dated: 8/15/02	No.
South San Francisco	Hartmut Koeppen
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South San Francisco	Heidi S. Phillips
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South San Francisco	Paul Polakis
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South San Francisco	Susan D. Spencer
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South San Francisco	P. Mickey Williams
Dated:	•

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South San Francisco	Belinda Cairns	
Dated:		
South San Francisco	Ruihuan Chen	
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South San Francisco	Gretchen Frantz	
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South San Francisco	Victoria Smith	
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South San Francisco	P. Mickey Williams	-
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South San Francisco	Belinda Cairns
Dated:	Bennia Gamo
South San Francisco	Ruihuan Chen
Dated:	Hullitali Ollei
South San Francisco	Gretchen Frantz
Dated:	Greichen Franz
South San Francisco	Kenneth J. Hillan
Dated:	Kenneur 3. rman
South San Francisco	Hartmut Koeppen
Dated:	
South San Francisco	Heidi S. Phillips
Dated: 9/25/02	•
South San Francisco	Paul Polakis
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South San Francisco	Susan D. Spencer
Dated:	Gusan St. openies.
South San Francisco	Victoria Smith
Dated:	Victoria Gillian
South San Francisco	P. Mickey Williams
Dated:	1 . WHONCY TTIMATIO

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IN WITNESS WHEREOF we undersign as follows;

South San Francisco	Belinda Cairns
Dated:	
South San Francisco	Ruihuan Chen
Dated:	1,0,1,0
South San Francisco	Gretchen Frantz
Dated:	GIOGOTOT VISITE
South San Francisco	Kenneth J. Hillan
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South San Francisco	Hartmut Koeppen
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South San Francisco	Heidi S. Phillips
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South San Francisco	Paul Polakis
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South San Francisco	Susan D. Spencer
Dated:	0.00.00.00.00.00.00.00.00.00.00.00.00.0
South San Francisco	Victoria Smith
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South San Francisco	P. Mickey Williams
Dated:	, , , , , , , , , , , , , , , , , , , ,

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Dated:	
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South San Francisco	Hartmut Koeppen
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South San Francisco	Heidi S. Phillips
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South San Francisco	Paul Polakis
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South San Francisco	<u> Man D. Junese</u> Susan D. Spencer
Dated: 8/29/02	
South San Francisco	Victoria Smith
Dated:	
South San Francisco	P. Mickey Williams
Dated:	_

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South San Francisco	Paul Polakis
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South San Francisco	Susan D. Spencer
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South San Francisco	Victoria Smith
Dated: Aug. 15 02	
South San Francisco	P. Mickey Williams
Dated:	•

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South San Francisco	P. Mickey Williams

Page 3

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Dated: 8/26/02

South San Francisco

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Thamas D WII

Zemin Zhang

South San Francisco

Dated: _____

South San Francisco

Dated: \$1902

Thomas D. Wu

Zemin Zhang

PATENT REEL: 028835 FRAME: 0523

RECORDED: 08/23/2012