

Form PTO-1595 (Rev. 01-09)  
OMB No. 0651-0027 (exp. 02/28/2009)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

1) William C. Galloway  
2) Ryan A. Callison  
3) George J. Scholhamer III

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: Pivot3, Inc.  
Internal Address: \_\_\_\_\_  
Street Address: 6605 Cypresswood Drive  
City: Spring  
State: Texas  
Country: USA Zip: 77379

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**  
Execution Date(s) 1-2) 06/29/2009; 3) 07/16/2009

Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
Corrective document for Assignees name for Assignment  
 Other recorded at Reel/Frame 027890 / 0054

**4. Application or patent number(s):**  This document is being filed together with a new application.

A. Patent Application No.(s)  
Application No. 13/358,306 (PIVOT1140-3)

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Sprinkle IP Law Group  
Internal Address: \_\_\_\_\_  
Street Address: 1301 West 25th Street, Suite 408  
City: Austin  
State: Texas Zip: 78705  
Phone Number: 512.637.9220  
Fax Number: 512.371.9088  
Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:** one

**7. Total fee (37 CFR 1.21(h) & 3.41)** \$ 40.00

Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number: 503183  
Authorized User Name: Ari G. Akmal

**9. Signature:** \_\_\_\_\_ August 28, 2012  
Signature Date  
Ari G. Akmal, Reg. No. 51,038  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 9

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CH \$40.00 503183 13358306

USPTO

3/21/2012 9:48:39 AM PAGE 4/004 Fax Server

TO: SPRINKLE IP LAW GROUP C... NY:1301 W. 25TH STREET

MAR-16-2012 FRI 03:52 PM

FAX NO.

P. 02

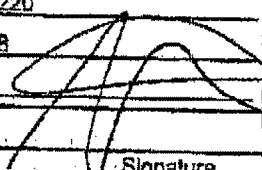
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Form PTO-1595 (Rev. 01-09)  
OMB No. 0651-0027 (exp. 02/28/2009)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET  
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

<b>1. Name of conveying party(ies)</b> WILLIAM C. GALLOWAY RYAN A. CALLISON GEORGE J. SCHOLHAMER III  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<b>2. Name and address of receiving party(ies)</b> Name: <u>PIVOT3</u> Internal Address: _____ Street Address: <u>8605 CYPRESSWOOD DRIVE</u> City: <u>SPRING</u> State: <u>TEXAS</u> Country: <u>UNITED STATES</u> Zip: <u>77379</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>3. Nature of conveyance/Execution Date(s):</b> Execution Date(s) <u>06/29/2009 &amp; 07/16/2009</u> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____		<b>4. Application or patent number(s):</b> <input type="checkbox"/> This document is being filed together with a new application. A. Patent Application No.(s) APPLICATION NO.: <u>13/358,306</u> FILING DATE: <u>01/25/2012</u> DOCKET NO.: <u>PIVOT1140-3</u> B. Patent No.(s) Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>5. Name and address to whom correspondence concerning document should be mailed:</b> Name: <u>SPRINKLE IP LAW GROUP, CUST. # 44654</u> Internal Address: _____ Street Address: <u>1301 W. 25TH STREET, SUITE 408</u> City: <u>AUSTIN</u> State: <u>TEXAS</u> Zip: <u>78705</u> Phone Number: <u>512-637-9220</u> Fax Number: <u>512-371-9088</u> Email Address: _____		<b>6. Total number of applications and patents involved:</b> <u>1</u> <b>7. Total fee (37 CFR 1.21(h) &amp; 3.41) \$</b> <u>40.00</u> <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)	
<b>9. Signature:</b>  Signature ARI G. AKMAL Name of Person Signing		<b>8. Payment Information</b> Deposit Account Number: <u>50-3183</u> Authorized User Name: <u>ARI G. AKMAL</u> Date: <u>March 16, 2012</u> Total number of pages including cover sheet, attachments, and documents: <u>3</u>	

CH 540-0V-50318-13358306

Documents to be recorded (including cover sheet) should be faxed to (877) 273-0148, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA, 22313-1450

ATTORNEY DOCKET  
PIVOT1140-1

PATENT APPLICATION  
No. 12/479,434

- 1 of 2 -

ASSIGNMENT

This Assignment is made by William C. Galloway, of Magnolia, TX, Ryan A. Callison, of Magnolia, TX, and George J. Scholhamer III of Tomball, TX ("Assignors").

WHEREAS, Assignors have invented a new and useful invention entitled "METHOD AND SYSTEM FOR REBUILDING DATA IN A DISTRIBUTED RAID SYSTEM", for which an application for United States Letters Patent was made on June 5, 2009, bearing an application number of 12/479,434; and

WHEREAS, Assignors believe themselves to be the original inventors of the invention including any and all improvements disclosed in said application ("Invention"); and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said Invention, said application, on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application for protection ("Applications"), and any patents, invention registrations or other forms of protection ("Patents") that may be granted for said Invention from any Applications in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the Invention and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign, and transfer to <sup>Pivot3, Inc.</sup> ~~Pivot3~~, having a principal place of business at 6605 Cypresswood Drive, Spring, Texas 77379 (hereinafter referred to as "Assignee"), the entire right, title, and interest in and to said Invention, said Applications and any Patents that may be granted for said Invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and/or their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing the Patents in the United States and throughout the world for said Invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said Invention, said application, and any Patents granted for said Invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

*WCS.*  
6-4-12  
*bc*  
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*ML*  
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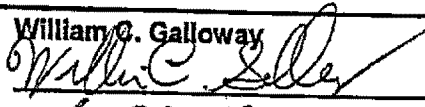
ATTORNEY DOCKET  
PIVOT1140-1

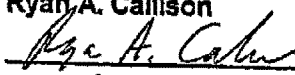
PATENT APPLICATION  
No. 12/479,434


- 2 of 2 -

Assignors authorize and request the Commissioner for Patents of the United States and of all foreign countries to issue any Patents granted for said Invention, whether on said application or on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application, to Assignee, its successors and assigns, as the assignee of the entire interest in said Invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates provided below.

Name of Sole/First Inventor:	William C. Galloway
Signature:	
Date:	6-29-09

Name of Additional Inventor:	Ryan A. Callison
Signature:	
Date:	6-29-09

Name of Additional Inventor:	George J. Scholhamer III
Signature:	
Date:	7/16/2009

ATTORNEY DOCKET  
PIVOT1140-1

Copy  
From  
Parent

PATENT APPLICATION  
No. 12/479,434

- 1 of 2 -

ASSIGNMENT

This Assignment is made by **William C. Galloway, of Magnolia, TX, Ryan A. Callison, of Magnolia, TX, and George J. Scholhamer III of Tomball, TX** ("Assignors").

WHEREAS, Assignors have invented a new and useful invention entitled "**METHOD AND SYSTEM FOR REBUILDING DATA IN A DISTRIBUTED RAID SYSTEM**", for which an application for United States Letters Patent was made on **June 5, 2009**, bearing an application number of **12/479,434**; and

WHEREAS, Assignors believe themselves to be the original inventors of the invention including any and all improvements disclosed in said application ("Invention"); and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said Invention, said application, on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application for protection ("Applications"), and any patents, invention registrations or other forms of protection ("Patents") that may be granted for said Invention from any Applications in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the Invention and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign, and transfer to **Pivot3**, having a principal place of business at **6605 Cypresswood Drive, Spring, Texas 77379** (hereinafter referred to as "Assignee"), the entire right, title, and interest in and to said Invention, said Applications and any Patents that may be granted for said Invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and/or their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing the Patents in the United States and throughout the world for said Invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said Invention, said application, and any Patents granted for said Invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

PATENT  
REEL: 028856 FRAME: 0932

ATTORNEY DOCKET  
PIVOT1140-1

PATENT APPLICATION  
No. 12/479,434

- 2 of 2 -

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IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates provided below.

\_\_\_\_\_  
 Name of Sole/First Inventor: William C. Galloway  
 Signature: *William C. Galloway*  
 Date: 6-29-09

\_\_\_\_\_  
 Name of Additional Inventor: Ryan A. Callison  
 Signature: *Ryan A. Callison*  
 Date: 6-29-09

\_\_\_\_\_  
 Name of Additional Inventor: George J. Scholhamer III  
 Signature: *George J. Scholhamer III*  
 Date: 7/16/2009