

08/28/2012



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CASE 27380 US

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Daniel Hunziker
- 2) Werner Neidhart

2. Name and address of receiving party(ies):

Name: F. HOFFMANN-LA ROCHE AGInternal Address: A SWISS COMPANYAdditional name(s) of conveying party(ies) attached? Yes X No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Street Address: 124 GRENZACHERSTRASSE
CH-4070Country: BASEL, SWITZERLANDExecution Date: MARCH 5, 2012

Additional name(s) & address(es) attached:

Yes ☐ No X

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

USSN 13/423,303

B. Patent No.(s)

FILED: MARCH 19, 2012

Additional numbers attached? ☐ Yes X No

PATENT

REEL: 028858 FRAME: 0122

Page 2 of 2

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE W. JOHNSTON

Internal Address:

HOFFMANN-LA ROCHE INC.

PATENT LAW DEPARTMENT

Street address: 340 KINGSLAND STREET

City: NUTLEY State: NJ Zip: 07110

6. Total number of applications and patents involved: ONE

7. Total fee (37 CFR 3.41).... \$. 40.00

☒ Authorized to be charged to deposit account

☒ Authorized any deficiencies to be charged to deposit account

8. Deposit account number:

08-2525

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

BETTYANN HEDEMUS

Name of Person Signing

Bettyann Hedemus
Signature

4/10/12
Date

CONTACT: PAULA NEE
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Total number of pages including cover sheet, attachments and document: 3

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U.S.A.

Case 27380

Assignment

WHEREAS, I/WE

Daniel Hunziker and Werner Neidhart

the ASSIGNOR(S), have invented certain new and useful improvements in

NEW AZASPIRODECANONE COMPOUNDS

which are described and claimed in application for United States Letters Patent in respect of which a Declaration and Power of Attorney was executed on 05.03.2012

which application is based upon the following foreign application(s)

in Europe on March 25, 2011 under No. 11159865.2

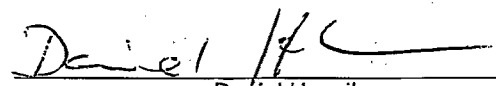
NOW, THEREFOR, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable considerations to me (us) in hand paid, the receipt and sufficiency whereof are hereby acknowledged, I/we have sold, assigned and set over, and by these presents do hereby sell, assign and set over unto

**F. Hoffmann-La Roche AG, a Swiss Company
of Grenzacherstrasse 124, CH-4070 Basle, (Switzerland) the Assignee**

and the said Assignee's legal representatives, successors and assigns, the entire right, title and interest, in and to the said invention within the United States of America and its territories and possessions, and in and to said application and the Letters Patent of the United States of America that may be granted therefor, together with the right to extend the protection thereof to the various territories and possessions now owned or which may be acquired hereafter by the United States of America; and I/we do hereby request and authorize the Commissioner of Patents to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this assignment.

UPON SAID CONSIDERATION, I/we do hereby covenant and agree with the said Assignee that I/we will not execute any writing or do any act whatsoever conflicting with these presents, and that I/we will at any time upon request without further or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reissued, or extended Letters Patent of the United States of America on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, including but not limited to, giving testimony in any proceedings or transactions involving such applications or patents, and executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of all parties hereto.

IN WITNESS WHEREOF, this Assignment has been executed by the Assignor(s) at Basle, Switzerland, on 05.03.12


Daniel Hunziker
Werner Neidhart