

## PATENT ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT												
NATURE OF CONVEYANCE:	Corrective Assignment to correct the name of the Assignee and the state of incorporation previously recorded on Reel 028784 Frame 0387. Assignor(s) hereby confirms the name of the Assignee should read CUREX INNOVATIONS LLC and the state of incorporation is Delaware.												
CONVEYING PARTY DATA													
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>William JP Dale</td> <td>07/26/2012</td> </tr> <tr> <td>Dmitry A. Raykhman</td> <td>07/26/2012</td> </tr> </tbody> </table>		Name	Execution Date	William JP Dale	07/26/2012	Dmitry A. Raykhman	07/26/2012						
Name	Execution Date												
William JP Dale	07/26/2012												
Dmitry A. Raykhman	07/26/2012												
RECEIVING PARTY DATA													
<table border="1"> <tr> <td>Name:</td> <td>CUREX INNOVATIONS LLC</td> </tr> <tr> <td>Street Address:</td> <td>120 West 45th Street</td> </tr> <tr> <td>Internal Address:</td> <td>22nd Floor</td> </tr> <tr> <td>City:</td> <td>New York</td> </tr> <tr> <td>State/Country:</td> <td>NEW YORK</td> </tr> <tr> <td>Postal Code:</td> <td>10036-4041</td> </tr> </table>		Name:	CUREX INNOVATIONS LLC	Street Address:	120 West 45th Street	Internal Address:	22nd Floor	City:	New York	State/Country:	NEW YORK	Postal Code:	10036-4041
Name:	CUREX INNOVATIONS LLC												
Street Address:	120 West 45th Street												
Internal Address:	22nd Floor												
City:	New York												
State/Country:	NEW YORK												
Postal Code:	10036-4041												
PROPERTY NUMBERS Total: 1													
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13538257</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13538257								
Property Type	Number												
Application Number:	13538257												
CORRESPONDENCE DATA													
<p>Fax Number: 6175269899          Phone: 617.526.9600          Email: oandrews@proskauer.com  <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i></p> <p>Correspondent Name: Proskauer Rose LLP          Address Line 1: One International Place          Address Line 4: Boston, MASSACHUSETTS 02110</p>													
ATTORNEY DOCKET NUMBER:	23640-056												
NAME OF SUBMITTER:	Todd A. Gerety												

CH \$40.00 13538257

Total Attachments: 5

source=23640.056 Corrective#page1.tif

source=23640.056 Corrective#page2.tif

source=23640.056 Corrective#page3.tif

source=23640.056 Corrective#page4.tif

source=23640.056 Corrective#page5.tif

## PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>William JP Dale</td> <td>07/26/2012</td> </tr> <tr> <td>Dmitry A. Raykhman</td> <td>07/26/2012</td> </tr> </tbody> </table>		Name	Execution Date	William JP Dale	07/26/2012	Dmitry A. Raykhman	07/26/2012						
Name	Execution Date												
William JP Dale	07/26/2012												
Dmitry A. Raykhman	07/26/2012												
RECEIVING PARTY DATA													
<table border="1"> <tr> <td>Name:</td> <td>Curex Innovations, LLC</td> </tr> <tr> <td>Street Address:</td> <td>120 West 45th Street</td> </tr> <tr> <td>Internal Address:</td> <td>22nd Floor</td> </tr> <tr> <td>City:</td> <td>New York</td> </tr> <tr> <td>State/Country:</td> <td>NEW YORK</td> </tr> <tr> <td>Postal Code:</td> <td>10036-4041</td> </tr> </table>		Name:	Curex Innovations, LLC	Street Address:	120 West 45th Street	Internal Address:	22nd Floor	City:	New York	State/Country:	NEW YORK	Postal Code:	10036-4041
Name:	Curex Innovations, LLC												
Street Address:	120 West 45th Street												
Internal Address:	22nd Floor												
City:	New York												
State/Country:	NEW YORK												
Postal Code:	10036-4041												
PROPERTY NUMBERS Total: 1													
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>13538257</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	13538257								
Property Type	Number												
Application Number:	13538257												
CORRESPONDENCE DATA													
Fax Number:	6175269899												
Phone:	617.526.9600												
Email:	oandrews@proskauer.com												
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>													
Correspondent Name:	Proskauer Rose LLP												
Address Line 1:	One International Place												
Address Line 4:	Boston, MASSACHUSETTS 02110												
ATTORNEY DOCKET NUMBER:	23640-056												
NAME OF SUBMITTER:	John C. Stellabotte												
Total Attachments: 4 source=23640_056_Executed_Assignment#page1.tif source=23640_056_Executed_Assignment#page2.tif source=23640_056_Executed_Assignment#page3.tif source=23640_056_Executed_Assignment#page4.tif													

CH \$40.00 13538257

ASSIGNMENT

WHEREAS, We, William JP Dale and Dmitry A. Raykhman have invented one or more inventions described in an application (or provisional application) for Letters Patent of the United States entitled:

Systems and Methods for Open Execution Auction Trading of Financial Instruments

and identified by

☒ Attorney Docket No. 23640-056, and/or executed by us on even date herewith and about to be filed in the United States Patent Office;

☒ Application No. 13/538,257 filed in the United States Patent Office on June 29, 2012;  
and

WHEREAS, Cürex Innovations LLC (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the State of New York, and having a usual place of business at 120 West 45<sup>th</sup> Street, 22<sup>nd</sup> Floor, New York, NY 10036-4041 desires to acquire an interest therein, in accordance with agreements duly entered into with us;

→ Delaware

799  
9/10/12

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the invention(s) described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon or claim priority under United States law or international convention, including but not limited to non-provisionals, continuations, divisionals, reissues, reexaminations, extensions, and substitutions of said application or such Letters Patent, and any right, title and interest we may have in provisional applications to which said application claims priority; said invention(s), applications and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all of our rights arising under or pursuant to any and all United States laws and international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent, including but not limited to any cause(s) of action and damages accruing prior to this assignment. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said invention(s), carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all Letters Patent to ASSIGNEE in its own name as assignee of our entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention(s) to said ASSIGNEE, its successors, assigns, and legal representatives, but at its or their expense and charges, including the execution of non-provisional,

Joint Assignment  
Attorney Docket No. 23640-056  
U.S. Application No. 13/538,257  
Page 2 of 4

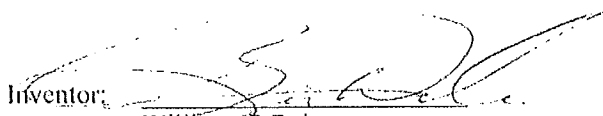
substitution, continuation, divisional, reissue, reexamination, or corresponding foreign or international patent applications;

AND, we hereby further agree to provide statements or testimony in any interference or other proceeding in which said invention(s) or any application or patent directed thereto may be involved;

AND, we hereby authorize ASSIGNEE or its attorneys or agents to insert the correct serial number and filing date into this assignment, if none is indicated on the date of our execution of this assignment;

AND, we hereby authorize and request the Director of the United States Patent and Trademark Office to issue such Letters Patent as shall be granted upon said application, or applications based thereon, to said ASSIGNEE, its successors, assigns, or legal representatives.

*IN TESTIMONY WHEREOF*, we have hereunto set our hands-and affixed our seals on the date(s) set forth below.

Inventor: 

William JP Dale

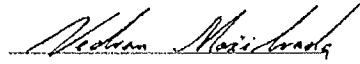
State/Commonwealth of )  
County of ) ss

On this 21 day of July, 2012, before me, the undersigned notary public, personally appeared William JP Dale, proved to me through satisfactory evidence of identification, which were \_\_\_\_\_, to be the person whose name is signed on the preceding or attached document in my presence.

\_\_\_\_\_  
Notary Public

My Commission Expires: \_\_\_\_\_

**WITNESS**

Witness Signature: 

Witness Name: VEDRAN MAZIBRADA

Witness Date: 7/26/12


Inventor:   
Dmitry A. Raykhman

State/Commonwealth of \_\_\_\_\_ )  
County of \_\_\_\_\_ ) ss

On this 26 day of July, 2012, before me, the undersigned notary public, personally appeared Dmitry A. Raykhman, proved to me through satisfactory evidence of identification, which were \_\_\_\_\_, to be the person whose name is signed on the preceding or attached document in my presence.

\_\_\_\_\_  
Notary Public  
My Commission Expires: \_\_\_\_\_

WITNESS

Witness Signature:   
Witness Name: VEDRAN MAZIBRADA  
Witness Date: 7/26/12