

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2015)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Roy Philip Splieth (07/11/2012), Gennaro A. Barile
(07/11/2012) & Robert Bell (07/07/2012)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

- ☒ Assignment ☐ Merger ☐ Change of Name
☐ Security Agreement ☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Howmedica Osteonics Corp.

Internal Address: _____

Street Address: _____

325 Corporate Drive

City: Mahwah

State: New Jersey

Country: United States of America Zip: 07430

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)
29/425,906

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Raymond W. Augustin
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.: OSTEONICS 3.1-876
Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: 908-654-5000

Fax Number: 908-654-7866

Email Address: ataylor@ldlkm.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

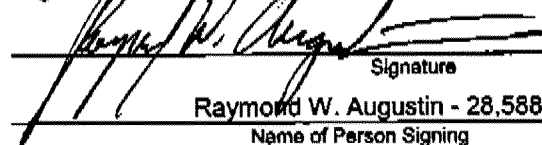
- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 12-1095

Authorized User Name Raymond W. Augustin

9. Signature


Signature
Raymond W. Augustin - 28,588
Name of Person Signing

September 7, 2012

Date

Total number of pages including cover sheet, attachments, and documents:

4

CH \$40.00 121095 29425906

former PTO/SB/15 (05/01) Modified LDLKM

ASSIGNMENT OF DESIGN APPLICATION	Docket Number (Optional) OSTEONICS 3.1-876
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Whereas, I, Roy Philip Splieth of
22 Midland Avenue; Central Valley, New York 10917
hereafter referred to as assignor, have with others invented certain new and useful improvements in
HUMERAL HEAD RETRACTOR

☒ for which an application for a United States Design Patent was filed on June 28, 2012
Application Number 29/425,906

☐ for which an application for a United States Design Patent was executed by me _____

☐ for which an International Application was filed on _____, as
_____, designating the United States.

And

Whereas, Howmedica Osteonics Corp.
a corporation of New Jersey herein referred to "assignee" whose mailing address is
325 Corporate Drive; Mahwah, New Jersey 07430

is desirous of acquiring the entire right, title and interest in the same;

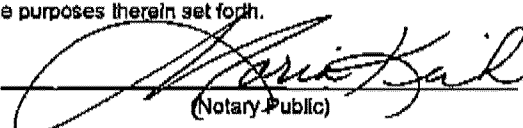
NOW, THEREFORE, in consideration of the sum of one dollar (\$ 1.00), the receipt whereof
is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said
assignee my entire right, title and interest for the United States of America and all other countries in and to said
invention and the aforesaid Design patent application and all original, divisional, continuation, substitute or reissue
applications and patents applied for or granted therefor in the United States of America and all other countries, for
said invention, including without limitation all applications and patents for said invention claiming priority or benefit of
the aforesaid Design application pursuant to any law or treaty, and including the right to claim such priority or benefit
and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said
improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the
undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further
remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Design
Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said
assignee or its representatives all facts known to the undersigned respecting said improvements, whenever
requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may
become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid
assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the
expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said
application when known.

7/11/12 (Date) Roy Splieth (Signature)

State of New Jersey) SS:
County of Bergen)

On this 11 day of July, 2012 before me personally came the above-named
Roy Philip Splieth to me personally known as the individual who executed the
foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for
the purposes therein set forth.



(Notary Public)

☒ *Total of 3 forms are submitted.

MARIA KIRK
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 8/8/2016

2407307

PATENT
REEL: 028941 FRAME: 0486

former PTO/SB/15 (05/01) Modified LDLK&M

ASSIGNMENT OF DESIGN APPLICATION	Docket Number (Optional) OSTEONICS 3.1-876
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Whereas, I, Gennaro A. Barile of
308 Hagan Place; Secaucus, New Jersey 07094
 hereafter referred to as assignor, have with others invented certain new and useful improvements in
HUMERAL HEAD RETRACTOR

☒ for which an application for a United States Design Patent was filed on June 28, 2012
 Application Number 29/425,906

☐ for which an application for a United States Design Patent was executed by me _____

☐ for which an International Application was filed on _____, as
 _____, designating the United States.

And

Whereas, Howmedica Osteonics Corp.
 a corporation of New Jersey herein referred to "assignee" whose mailing address is
325 Corporate Drive; Mahwah, New Jersey 07430

is desirous of acquiring the entire right, title and interest in the same;

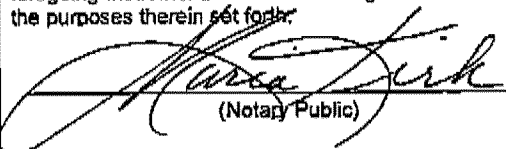
NOW, THEREFORE, in consideration of the sum of one dollar (\$ 1.00), the receipt whereof
 is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said
 assignee my entire right, title and interest for the United States of America and all other countries in and to said
 invention and the aforesaid Design patent application and all original, divisional, continuation, substitute or reissue
 applications and patents applied for or granted therefor in the United States of America and all other countries, for
 said invention, including without limitation all applications and patents for said invention claiming priority or benefit of
 the aforesaid Design application pursuant to any law or treaty, and including the right to claim such priority or benefit
 and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said
 improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the
 undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further
 remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Design
 Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said
 assignee or its representatives all facts known to the undersigned respecting said improvements, whenever
 requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may
 become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid
 assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the
 expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said
 application when known.

07-11-2012 (Date) Gennaro Barile (Signature)

State of New Jersey) SS:
 County of Bergen)

On this 11 day of July, 2012 before me personally came the above-named
Gennaro A. Barile to me personally known as the individual who executed the
 foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for
 the purposes therein set forth.


 (Notary Public)

☒ *Total of 3 forms are submitted.

MARIA KIRK
 NOTARY PUBLIC OF NEW JERSEY
 My Commission Expires 6/8/2016

PATENT
 REEL: 028941 FRAME: 0487

former PTO/SB/15 (05/01) Modified LDLK&M

ASSIGNMENT OF DESIGN APPLICATION	Docket Number (Optional) OSTEONICS 3.1-876
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Whereas, I, Robert Bell of
Crystal Clinic; 3975 Embassy Parkway; Akron, Ohio 44333
 hereafter referred to as assignor, have with others invented certain new and useful improvements in
HUMERAL HEAD RETRACTOR

☒ for which an application for a United States Design Patent was filed on June 28, 2012
 Application Number 29/425,908

☐ for which an application for a United States Design Patent was executed by me _____

☐ for which an International Application was filed on _____, as
 _____, designating the United States.

And

Whereas, Howmedica Osteonics Corp.
 a corporation of New Jersey herein referred to "assignee" whose mailing address is
325 Corporate Drive; Mahwah, New Jersey 07430

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, In consideration of the sum of one dollar (\$ 1.00), the receipt whereof
 is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said
 assignee my entire right, title and interest for the United States of America and all other countries in and to said
 invention and the aforesaid Design patent application and all original, divisional, continuation, substitute or reissue
 applications and patents applied for or granted therefor in the United States of America and all other countries, for
 said invention, including without limitation all applications and patents for said invention claiming priority or benefit of
 the aforesaid Design application pursuant to any law or treaty, and including the right to claim such priority or benefit
 and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said
 improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the
 undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further
 remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Design
 Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said
 assignee or its representatives all facts known to the undersigned respecting said improvements, whenever
 requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may
 become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid
 assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the
 expenses incident to said applications to be borne and paid by said assignee.


And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said
 application when known.

7/7/12 (Date) Robert Bell (Signature)

State of Ohio) SS:
 County of Summit)

On this 7th day of July, 2012 before me personally came the above-named
Robert Bell to me personally known as the individual who executed the
 foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for
 the purposes therein set forth.

Sharyl A. Hobell
 (Notary Public)



SHARYL A. HOBELL
 Notary Public
 State of Ohio
 My Commission Expires
 July 24, 2015

☒ *Total of 3 forms are submitted.