Form PTO-1595 (Rev. 07/05) OMB\_No. 0651-0027 (exp. 6/30/2008) U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office RECORDATION FORM COVER SHEET CAR S-127,344 PATENTS ONLY To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 1. Name of conveying party(les) 2. Name and address of receiving party(ies) Name: United States Department of Energy UChicago Argonne, LLC Internal Address: Additional name(s) of conveying party(ies) attached? Yes 🗸 No 3. Nature of conveyance/Execution Date(s): Street Address: 1000 Independence Ave. S.W Execution Date(s) July 31, 2012 Assignment Merger City: Washington Security Agreement Change of Name Joint Research Agreement State: D.C. Government Interest Assignment Country: USA Zip: 20585 ✓ Executive Order 9424, Confirmatory License Other Additional name(s) & address(es) attached? Yes No 4. Application or patent number(s): This document is being filed together with a new application. A. Patent Application No.(s) B. Patent No.(s) Serial No. 13/446,072 Additional numbers attached? Yes ✓ No 5. Name and address to whom correspondence 6. Total number of applications and patents concerning document should be malled: involved: 1 Name: Mark P. Dvorscak 7. Total fee (37 CFR 1.21(h) & 3.41) \$\_\_\_ Internal Address: U. S. Dept. of Energy, IPLD Authorized to be charged by credit card \_\_\_ Authorized to be charged to deposit account Enclosed Street Address: 9800 S. Cass Ave ✓ None required (government interest not affecting title) 8. Payment Information City: <u>Argonne</u> a. Credit Card Last 4 Numbers \_\_\_\_\_ State: IL Zip:60439 Expiration Date Phone Number: 630-252-2393 b. Deposit Account Number \_\_\_\_\_ Fax Number: 630-252-2779 Authorized User Name Email Address: 9. Signature:

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PATENT REEL: 028949 FRAME: 0915

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## CONFIRMATORY LICENSE

Title of Invention: PROCESS FOR PRODUCING REDOX SHUTTLES

Inventors: Greg Krumdick, Krzysztof Pupek, Trevor L.. Dzwiniel

Patent Application Serial No.: 13/446,072 Filing Date: April 13, 2012

Patent Number: Issue Date:

Contractor: UChicago Argonne, LLC DOE Contract No.: DE-AC02-06CH11357

DOE Case No.: S-127,344 ANL Case No.: ANL-IN-11-039

The Contractor has reported the above-identified invention as a subject invention to DOE and has elected to retain title thereto.

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Signed this 31st day of July, 2012

Contractor Name: UChicago Argonne, LLC

Mark D. Hilliard

Chief Patent Counsel

Argonne National Laboratory UChicago Argonne, LLC

PATENT REEL: 028949 FRAME: 0916

**RECORDED: 09/10/2012**