Form PTO-1595 (Rev. 07/05) U.S. DEPARTMENT OF COMMERCE OMB No. 0651-0027 (exp. 6/30/2008) United States Petent and Trademark Office RECORDATION FORM COVER SHEET CAR S-131,107 **PATENTS ONLY** To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 1. Name of conveying party(ies) 2. Name and address of receiving party(ies) Florida Turbine Technologies, Inc. Name: United States Department of Energy Internal Address: Additional name(s) of conveying party(ies) attached? Yes 🗸 No 3. Nature of conveyance/Execution Date(s): Street Address: 1000 Independence Ave., S.W Execution Date(s) June 13, 2012 Assignment Merger City: Washington Security Agreement Change of Name ___ Joint Research Agreement State: D.C. Government Interest Assignment Country: USA ___ Zip:20585 Executive Order 9424, Confirmatory License Other Additional name(s) & address(es) attached?
Yes 🗹 No 4. Application or patent number(s): This document is being filed together with a new application." A. Patent Application No.(s) B. Patent No.(s) Serial No. 12/606,463 Additional numbers attached? Yes Vo 5. Name and address to whom correspondence 6. Total number of applications and patents concerning document should be mailed: Involved: 1 Name: Mark P. Dvorscak 7. Total fee (37 CFR 1.21(h) & 3.41) \$____ Internal Address: U. S. Dept. of Energy, IPLD Authorized to be charged by credit card Authorized to be charged to deposit account Enclosed Street Address: 9800 S. Cass Ave ✓ None required (government interest not affecting title) 8. Payment Information City: Argonne a. Credit Card Last 4 Numbers State: <u>IL Zip:60439</u> Expiration Date Phone Number: 630-252-2393 b. Deposit Account Number ____ Fax Number: 630-252-2779 Authorized User Name Email Address: 9. Signature: Signature Total number of pages including cover Michael J. Dobbs #58.093 sheet, attachments, and documents: Name of Person Signing

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PATENT REEL: 028950 FRAME: 0099

5131,107

Confirmatory Instrument Application for:

Title of Invention: Tip Cap for Turbine Rotor Blade

Inventor(s): Keith D. Kimmel

Serial No.:

12/606,463

Docket No.: F829R

U.S. Patent No.:

Filing Date: 10/27/2009

Contract No.: DE-FG02-07ER84668

The invention identified above is a "Subject Invention" as defined in FAR 52.227-11 -"Patent Rights - Retention by the Contractor (June 1997)", and other regulations as applicable to contracts, grants, cooperative agreements or other transactions, the contractor (grantee, etc.) is required to confirm that the U.S. Government has a license in the subject invention as described below.

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Signature

For:

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PATENT **REEL: 028950 FRAME: 0100**

RECORDED: 09/10/2012